

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 4 copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires July 31, 2004  
Estimated average burden  
hours per response . 2.00

091-13237jm

RECEIVED  
2011 SEP 29 PM 5:14  
SEC / MR

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)



11032584

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Northern Trust Investments, Inc.

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

TILT: Morningstar US Market Factor Tilt Index  
TDTT: iBoxx 3-Year Target Duration TIPS Index  
TDTF: iBoxx 5-Year Target Duration TIPS Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 3 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

TILT, TDTT, TDTF

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

TILT: Amex, Arca, NYSE, Nasdaq, OTC US  
TDTT: Amex, Arca, NYSE, Nasdaq, OTC US  
TDTF: Amex, Arca, NYSE, Nasdaq, OTC US

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Thomas N. McManus

Title: Act Securities Exchange Act of 1934

Chief Regulatory Officer

Telephone Number:

201-418-3471

Manual Signature of Official Responsible for Form:

Date: Rule 19b-4(e)

26-Sep-11

Public Availability: SEP 29 2011