

091-13230

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OMB Number: 3235-0504

Expires July 31, 2004  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

UBS AG

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

- VXAA : S&P 500 VIX Short-Term Futures Index TR
- VXBB : S&P 500 VIX 2-Month Futures Index TR
- VXCC : S&P 500 VIX 3-Month Futures Index TR
- VXDD : S&P 500 VIX 4-Month Futures Index TR
- VXEE : S&P 500 VIX Mid-Term Futures Index TR
- VXFF : S&P 500 VIX 6-Month Futures Index TR



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5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 6 indexes referred to in item 4 above are Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

VXAA , VXBB , VXCC , VXDD , VXEE , VXFF ,

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

- VXAA : ARCA, AMEX, NASDAQ, NYSE
- VXBB : ARCA, AMEX, NASDAQ, NYSE
- VXCC : ARCA, AMEX, NASDAQ, NYSE
- VXDD : ARCA, AMEX, NASDAQ, NYSE
- VXEE : ARCA, AMEX, NASDAQ, NYSE
- VXFF : ARCA, AMEX, NASDAQ, NYSE

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Thomas N. McManus

Title:

Chief Regulatory Officer

Telephone Number:

201-418-3471

Manual Signature of Official Responsible for Form:

Date:

20-Sep-11

Act  
Securities Exchange Act of 1934  
Section  
Official Responsible for Form:  
Rule 19b-4(e)  
Public Availability: SEP 29 2011