

09H320020

RECEIVED

For Internal Use Only
Sec File No. 9-

2011 SEP 29 PM 4: 31

Submit 1 Original
and 9 Copies

SEC / MR

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2010
Estimated average burden	
Hours per response.....	3.60

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



11032553

- Name of Self-Regulatory Organization Trading New Derivative Securities Product:
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
Equity
- Name of Underlying Instrument:
U.S. Market Neutral Beta Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:
BTAH
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:
cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public Availability: SEP 29 2011

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8893

Manual Signature of Official Responsible for Form:

Date: September 26, 2011



James C. Yong
Chief Regulatory Officer
james.yong@nsx.com

RECEIVED

2011 SEP 29 PM 4: 23

SEC / MR

September 26, 2011

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

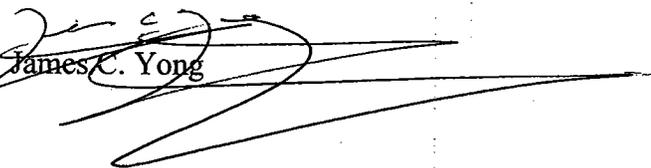
Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- | | | |
|---------|----------|----------|
| 1. EIPO | 12. MOM | 23. CANE |
| 2. EIPL | 13. SIZ | 24. SOYB |
| 3. EWMD | 14. QLT | 25. WEAT |
| 4. EWSM | 15. NOMO | 26. IVOP |
| 5. HILO | 16. CHEP | 27. CSMN |
| 6. INCO | 17. BTAH | 28. TILT |
| 7. MORT | 18. BTAL | 29. GUNR |
| 8. EEMS | 19. PFIG | 30. TDTT |
| 9. TZW | 20. TWTI | 31. TDTF |
| 10. TZY | 21. SSDD | 32. RMB |
| 11. XVZ | 22. SSDL | 33. DSUM |

Please contact me if you have any questions on the enclosed materials.

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 29 2011

Very truly yours


James C. Yong

Encls.