

091-13120

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
NYSE Arca, Inc.



11032464

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Corporation

3. Class of New Derivative Securities Product:  
Index-Linked Securities

4. Name of Underlying Instrument:  
ETRACS Daily Short 1-Month S&P 500 VIX Futures ETN due September 6, 2041

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad Based

6. Ticker Symbol(s) of New Derivative Securities Product:  
AAVX

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
CHICAGO BOARD OPTIONS EXCHANGE, CBOE Futures Exchange

8. Settlement Methodology of New Derivative Securities Product:  
Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.

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**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Sudhir Bhattacharyya

Title:  
Vice President - Legal

Telephone Number:  
(212) 656-2039

Manual Signature of Official Responsible for Form:

September 15, 2011

SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 19 2011

Sudhir Bhattacharyya

NYSE Arca, Inc.  
11 Wall Street  
New York, NY 10005



tel: 212.656.2920  
fax: 212.656.8101  
SBhattacharyya@nyx.com

**Via Overnight Mail**  
September 15, 2011

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

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**Re: Forms 19b-4(e) – ETRACS S&P 500 VIX Futures ETNS due  
September 6, 2041 (12 issues)**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the following securities:

<b>ETRACS Daily Short 1-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>AAVX</b>
<b>ETRACS Daily Short 2-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>BBVX</b>
<b>ETRACS Daily Short 3-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>CCVX</b>
<b>ETRACS Daily Short 4-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>DDVX</b>
<b>ETRACS Daily Short 5-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>EEVX</b>
<b>ETRACS Daily Short 6-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>FFVX</b>

<b>ETRACS 1-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>VXAA</b>
<b>ETRACS 2-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>VXBB</b>
<b>ETRACS 3-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>VXCC</b>
<b>ETRACS 4-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>VXDD</b>
<b>ETRACS 5-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>VXEE</b>
<b>ETRACS 6-Month S&amp;P 500 VIX Futures ETN due September 6, 2041</b>	<b>VXFF</b>

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

<b>Act</b>	<b>Securities Exchange Act of 1934</b>
<b>Section</b>	<b>19b-4</b>
<b>Rule</b>	<b>19b-4(e)</b>
<b>Public Availability:</b>	<b>SEP 19 2011</b>