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OMB APPROVAL
OMB Number: 3235-0504
Expires July 31, 2004
Estimated average burden
hours per response: 2.00

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



11032263

Information Required of a Self-Regulatory Organization Listing and Trading a
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Rydex Investments

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

EWSM: S&P SmallCap 600® Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

EWSM

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

EWSM: AMEX, ARCA, NYSE, NASDAQ

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Thomas McManus

Title:

Chief Regulatory Officer

Telephone Number:

201-418-3471

Manual Signature of Official Responsible for Form:

Securities Exchange Act of 1934

Date:

9 Aug 11

Section

19b-4

Rule

19b-4(e)

Public
Availability:

AUG 10 2011