

RECEIVED

091-127942

For Internal Use Only

Sec File No. 9

2011 JUL 19 AM 10:40

SEC / MR

Submit 1 Original  
and 9 Copies

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2010
Estimated average burden	
Hours per response	3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



11031999

- Name of Self-Regulatory Organization Trading New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
Barclays Capital 5Y US Treasury Futures Targeted Exposure Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:  
DFVL
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:  
cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8893

Manual Signature of Official Responsible for Form:

Date: July 15, 2011

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	

JUL 19 2011



James C. Yong  
Chief Regulatory Officer  
james.yong@nsx.com

RECEIVED

2011 JUL 19 AM 10:00

SEC / MR

July 15, 2011

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	JUL 19 2011

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- |           |          |          |
|-----------|----------|----------|
| 1. FISN   | 24. COWL | 47. DBJP |
| 2. IOIL   | 25. COWS | 48. ROOF |
| 3. MEXS   | 26. BDCL | 49. CURE |
| 4. SPHB   | 27. SOIL | 50. SICK |
| 5. CARZ   | 28. CVRT | 51. MATL |
| 6. SPLV   | 29. LBTA | 52. MATS |
| 7. BONO   | 30. HBTA | 53. TOTS |
| 8. FMK    | 31. LVOL | 54. PXLG |
| 9. HKK    | 32. HVOL | 55. PXLV |
| 10. AGRG  | 33. HMTM | 56. OILZ |
| 11. CONG  | 34. SLBT | 57. GASZ |
| 12. GRPC  | 35. SHBT | 58. HYS  |
| 13. CNTR  | 36. SLVY | 59. FLOT |
| 14. EQUIN | 37. SHVY | 60. FWDD |
| 15. LWPE  | 38. SHMO | 61. FWDI |
| 16. VROM  | 39. BARN | 62. FWDB |
| 17. GTIP  | 40. RSUN | 63. LGEM |
| 18. ITIP  | 41. ABCS | 64. GGEM |
| 19. CNPF  | 42. SDIV | 65. VGEM |
| 20. UOPT  | 43. DBEM | 66. HGEM |
| 21. UUNT  | 44. DBEF | 67. IGEM |
| 22. RUSL  | 45. DBBR | 68. QGEM |
| 23. RUSS  | 46. DBCN | 69. TGEM |

Ms. Gail Jackson  
July 15, 2011  
Page 2 of 2

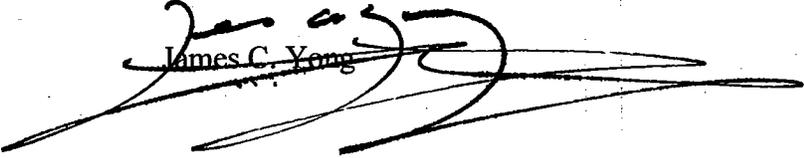
70. UGEM  
71. MATH  
72. SKYY  
73. VZZB

74. DFVL  
75. DFVS  
76. EMER  
77. NKY

78. XMPT  
79. HDG  
80. SCHZ  
81. RRF

Please contact me if you have any questions on the enclosed materials.

Very truly yours

  
James C. Yang

Encls.