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Submit 1 Original  
and 9 Copies

SEC / MR

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
Hours per response: 3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



11031988

- Name of Self-Regulatory Organization Trading New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
Russell-Axioma U.S. Small Cap Low Beta Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:  
SLBT
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:  
cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8893

Manual Signature of Official Responsible for Form:

Date: July 15, 2011

|              |                                 |
|--------------|---------------------------------|
| Act          | Securities Exchange Act of 1934 |
| Section      | 19b-4                           |
| Rule         | 19b-4(e)                        |
| Public       | JUL 19 2011                     |
| Availability |                                 |



James C. Yong  
Chief Regulatory Officer  
james.yong@nsx.com

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2011 JUL 19 AM 10:00

SEC / MR

July 15, 2011

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | JUL 19 2011                     |

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- |           |          |          |
|-----------|----------|----------|
| 1. FISN   | 24. COWL | 47. DBJP |
| 2. IOIL   | 25. COWS | 48. ROOF |
| 3. MEXS   | 26. BDCL | 49. CURE |
| 4. SPHB   | 27. SOIL | 50. SICK |
| 5. CARZ   | 28. CVRT | 51. MATL |
| 6. SPLV   | 29. LBTA | 52. MATS |
| 7. BONO   | 30. HBTA | 53. TOTS |
| 8. FMK    | 31. LVOL | 54. PXLG |
| 9. HKK    | 32. HVOL | 55. PXLV |
| 10. AGRG  | 33. HMTM | 56. OILZ |
| 11. CONG  | 34. SLBT | 57. GASZ |
| 12. GRPC  | 35. SHBT | 58. HYS  |
| 13. CNTR  | 36. SLVY | 59. FLOT |
| 14. EQUIN | 37. SHVY | 60. FWDD |
| 15. LWPE  | 38. SHMO | 61. FWDI |
| 16. VROM  | 39. BARN | 62. FWDB |
| 17. GTIP  | 40. RSUN | 63. LGEM |
| 18. ITIP  | 41. ABCS | 64. GGEM |
| 19. CNPF  | 42. SDIV | 65. VGEM |
| 20. UUPT  | 43. DBEM | 66. HGEM |
| 21. UUNT  | 44. DBEF | 67. IGEM |
| 22. RUSL  | 45. DDBR | 68. QGEM |
| 23. RUSS  | 46. DBCN | 69. TGEM |

Ms. Gail Jackson  
July 15, 2011  
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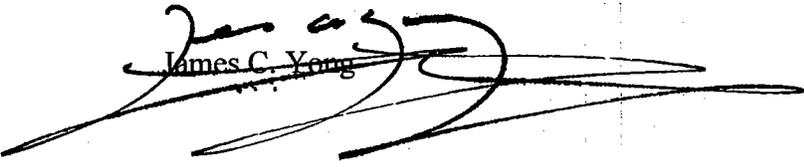
70. UGEM  
71. MATH  
72. SKYY  
73. VZZB

74. DFVL  
75. DFVS  
76. EMER  
77. NKY

78. XMPT  
79. HDG  
80. SCHZ  
81. RRF

Please contact me if you have any questions on the enclosed materials.

Very truly yours

  
James C. Yang

Encls.