

For Internal Use Only
Sec File No. 9-

RECEIVED

2011 JUL 19 AM 10:19

Submit 1 Original
and 9 Copies

091-12776jn

SEC / DIV

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
Hours per response: 3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



11031981

1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:
National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust

3. Class of New Derivative Securities Product:
Equity

4. Name of Underlying Instrument:
Russell-Axioma U.S. Small Cap High Beta Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-based

6. Ticker Symbol(s) of New Derivative Securities Product:
SHBT

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Domestic Stock Exchange

8. Settlement Methodology of New Derivative Securities Product:
cash

9. Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8893

Manual Signature of Official Responsible for Form:

Date: July 15, 2011

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability	JUL 19 2011



James C. Yong
Chief Regulatory Officer
james.yong@nsx.com

RECEIVED

2011 JUL 19 AM 10:00

SEC / MR

July 15, 2011

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 19 2011

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- | | | |
|-----------|----------|----------|
| 1. FISN | 24. COWL | 47. DBJP |
| 2. IOIL | 25. COWS | 48. ROOF |
| 3. MEXS | 26. BDCL | 49. CURE |
| 4. SPHB | 27. SOIL | 50. SICK |
| 5. CARZ | 28. CVRT | 51. MATL |
| 6. SPLV | 29. LBTA | 52. MATS |
| 7. BONO | 30. HBTA | 53. TOTS |
| 8. FMK | 31. LVOL | 54. PXLG |
| 9. HKK | 32. HVOL | 55. PXLV |
| 10. AGRG | 33. HMTM | 56. OILZ |
| 11. CONG | 34. SLBT | 57. GASZ |
| 12. GRPC | 35. SHBT | 58. HYS |
| 13. CNTR | 36. SLVY | 59. FLOT |
| 14. EQUIN | 37. SHVY | 60. FWDD |
| 15. LWPE | 38. SHMO | 61. FWDI |
| 16. VROM | 39. BARN | 62. FWDB |
| 17. GTIP | 40. RSUN | 63. LGEM |
| 18. ITIP | 41. ABCS | 64. GGEM |
| 19. CNPF | 42. SDIV | 65. VGEM |
| 20. UUPT | 43. DBEM | 66. HGEM |
| 21. UUNT | 44. DBEF | 67. IGEM |
| 22. RUSL | 45. DBBR | 68. QGEM |
| 23. RUSS | 46. DBCN | 69. TGEM |

Ms. Gail Jackson
July 15, 2011
Page 2 of 2

70. UGEM
71. MATH
72. SKYY
73. VZZB

74. DFVL
75. DFVS
76. EMER
77. NKY

78. XMPT
79. HDG
80. SCHZ
81. RRF

Please contact me if you have any questions on the enclosed materials.

Very truly yours


James C. Yong

Encls.