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and 9 Copies

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



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Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Act Securities Exchange Act of 1934

Manual Signature of Official Responsible for Form:

Section 19b-4

Date: June 6, 2011

Rule 19b-4(e) JUN -8 2011

Public Availability:

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON MAY 27, 2011

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
BDCL	Corporation	Index Linked Notes	Wells Fargo Business Development Company Index	Various U.S. Markets	Broad
SOIL	Trust	Investment Company Units	Solactive Global Fertilizers/Potash Index	Various World Markets	Broad
CVRT	Trust	Investment Company Units	The BofA Merrill Lynch All U.S. Convertibles Index	Various U.S. Markets	Broad
HBTA	Trust	Investment Company Units	Russell-Axioma U.S. Large Cap High Beta Index	Various U.S. Markets	Broad
HMTM	Trust	Investment Company Units	Russell-Axioma U.S. Large Cap High Momentum Index	Various U.S. Markets	Broad
HVOL	Trust	Investment Company Units	Russell-Axioma U.S. Large Cap High Volatility Index	Various U.S. Markets	Broad
LBTA	Trust	Investment Company Units	Russell-Axioma U.S. Large Cap Low Beta Index	Various U.S. Markets	Broad
LVOL	Trust	Investment Company Units	Russell-Axioma U.S. Large Cap Low Volatility Index	Various U.S. Markets	Broad
SHBT	Trust	Investment Company Units	Russell-Axioma U.S. Small Cap High Beta Index	Various U.S. Markets	Broad
SHMO	Trust	Investment Company Units	Russell-Axioma U.S. Small Cap High Momentum Index	Various U.S. Markets	Broad

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SHVY	Trust	Investment Company Units	Russell-Axioma U.S. Small Cap High Volatility Index	Various U.S. Markets	Broad
SLBT	Trust	Investment Company Units	Russell-Axioma U.S. Small Cap Low Beta Index	Various U.S. Markets	Broad
SLVY	Trust	Investment Company Units	Russell-Axioma U.S. Small Cap Low Volatility Index	Various U.S. Markets	Broad



June 6, 2011

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding thirteen (13) derivative securities products that commenced trading on BATS Y-Exchange on May 27, 2011. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

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Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
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