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2011 JUN -1 PM 2:00

SEC / MR

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



11031561

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: May 31, 2011

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	JUN - 1 2011
Availability:	

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON MAY 26, 2011

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
CNPF	Trust	Investment Company Units	Global X Canada Preferred ETF	Various World Markets	Broad
UDNT	Corporation	Index Linked Notes	Deutsche Bank Long U.S. Dollar Index® (USDx) Futures Index - Excess Return™	Various U.S. Markets	Broad
UUPT	Corporation	Index Linked Notes	Deutsche Bank Long U.S. Dollar Index® (USDx) Futures Index - Excess Return™	Various U.S. Markets	Broad
COWL	Trust	Investment Company Units	DAX Global Agribusiness Index	Various World Markets	Broad
COWS	Trust	Investment Company Units	DAX Global Agribusiness Index	Various World Markets	Broad
RUSL	Trust	Investment Company Units	DAX Global Russia+ Index	Various World Markets	Broad
RUSS	Trust	Investment Company Units	DAX Global Russia+ Index	Various World Markets	Broad



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May 31, 2011

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding seven (7) derivative securities products that commenced trading on BATS Exchange on May 26, 2011. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

A handwritten signature in black ink, appearing to read 'Anders Franzon', is written over a horizontal line.

Anders Franzon
VP, Associate General Counsel
913.815.7154