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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



11031429

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

First Trust Advisors LP

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

FNK: Defined Mid Cap Value Index; FNY: Defined Mid Cap Growth Index; FYT: Defined Small Cap Value Index; FYC: Defined Small Cap Growth Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 4 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

FNK, FNY, FYT, FYC

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

FNK: AMEX, ARCA, NASDAQ, NYSE; FNY: AMEX, ARCA, NASDAQ, NYSE; FYT: AMEX, ARCA, NASDAQ, NYSE; FYC: AMEX, ARCA, NASDAQ, NYSE

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Eric W Hess

Title:

General Counsel

Telephone Number:

201-942-8239

Manual Signature of Official Responsible for Form:

Date:

28-Apr-11

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAY 3 2011