

091-122268

For Internal Use Only
Sec File No. 9

RECEIVED
2011 MAY -3 PM 5:00
SEC / MR

Submit 1 Original
and 4 copies

OMB APPROVAL
OMB Number: 3235-0504
Expires July 31, 2004
Estimated average burden
hours per response 2.00

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



11031427

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Barclays Capital Inc

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

FOIL : Barclays Capital Aluminum Pure Beta TR Index; CHOC : Barclays Capital Cocoa Pure Beta TR Index; CAFE : Barclays Capital Coffee Pure Beta TR Index; CUPM : Barclays Capital Copper Pure Beta TR Index; CTNN : Barclays Capital Cotton Pure Beta TR Index; OLEM : Barclays Capital Crude Oil Pure Beta TR Index; LEDD : Barclays Capital Lead Pure Beta TR Index; NINI : Barclays Capital Nickel Pure Beta TR Index; SGAR : Barclays Capital Sugar Pure Beta TR Index; BCM : Barclays Capital Commodity Index Pure Beta TR; DIRT : The Barclays Capital Commodity Index Agriculture Pure Beta TR; ONG : Barclays Capital Commodity Index Energy Pure Beta TR; WEET : Barclays Capital Commodity Index Grains & Oilseeds Pure Beta TR; HEVY : Barclays Capital Commodity Index Industrial Metals Pure Beta TR; LSTK : Barclays Capital Commodity Index Livestock Pure Beta TR

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 15 indexes referred to in item 4 above are Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

FOIL , CHOC , CAFE , CUPM , CTNN , OLEM , LEDD , NINI , SGAR , BCM , DIRT , ONG , WEET , HEVY , LSTK

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

FOIL : ARCA, AMEX, NASDAQ, NYSE; CHOC : ARCA, AMEX, NASDAQ, NYSE; CAFE : ARCA, AMEX, NASDAQ, NYSE; CUPM : ARCA, AMEX, NASDAQ, NYSE; CTNN : ARCA, AMEX, NASDAQ, NYSE; OLEM : ARCA, AMEX, NASDAQ, NYSE; LEDD : ARCA, AMEX, NASDAQ, NYSE; NINI : ARCA, AMEX, NASDAQ, NYSE; SGAR : ARCA, AMEX, NASDAQ, NYSE; BCM : ARCA, AMEX, NASDAQ, NYSE; DIRT : ARCA, AMEX, NASDAQ, NYSE; ONG : ARCA, AMEX, NASDAQ, NYSE; WEET : ARCA, AMEX, NASDAQ, NYSE; HEVY : ARCA, AMEX, NASDAQ, NYSE; LSTK : ARCA, AMEX, NASDAQ, NYSE

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Eric W Hess

Title: General Counsel

Telephone Number: 201-942-8239

Manual Signature of Official Responsible for Form: *Eric W Hess*

Date: 29-Apr-11

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	MAY 3 2011