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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



11031426

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
UBS Securities LLC

3. Class of New Derivative Securities Product:
Investment Company Unit

4. Name of Underlying Instrument:

BDCS: Wells Fargo® Business Development Company Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:
The 1 index referred to in item 4 above is Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
BDCS

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

BDCS: ARCA, AMEX, NASDAQ, NYSE

8. Settlement Methodology of New Derivative Securities Product:
T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Eric W Hess

Title: General Counsel

Telephone Number: 201-942-8239

Manual Signature of Official Responsible for Form: *Eric W Hess*

Date:

Act 29 - Securities Exchange Act of 1934
Section 19b-4
Rule 19b-4(e)
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