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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



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- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: April 27, 2011

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR 28 2011

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON APRIL 26, 2011

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
BCM	Corporation	Index Linked Notes	Barclays Capital Commodity Index Pure Beta TR	Various World Markets	Broad
BLNG	Corporation	Index Linked Notes	Barclays Capital Commodity Index Precious Metal Pure Beta TR	Various U.S. Markets	Narrow
CAFE	Corporation	Index Linked Notes	Barclays Capital Coffee Pure Beta TR Index	Various World Markets	Narrow
CHOC	Corporation	Index Linked Notes	Barclays Capital Cocoa Pure Bet TR Index	Various World Markets	Narrow
CTNN	Corporation	Index Linked Notes	Barclays Capital Cotton Pure Beta TR Index	Various World Markets	Narrow
CUPM	Corporation	Index Linked Notes	Barclays Capital Copper Pure Beta TR Index	Various World Markets	Narrow
DCNG	Corporation	Index Linked Notes	Barclays Capital Natural Gas Seasonal TR Index	Various World Markets	Narrow
DIRT	Corporation	Index Linked Notes	Barclays Capital Commodity Index Agriculture Pure Beta TR	Various U.S. Markets	Broad
FOIL	Corporation	Index Linked Notes	Barclays Capital Aluminum Pure Beta TR Index	Various World Markets	Narrow
GRWN	Corporation	Index Linked Notes	Barclays Capital Commodity Index Softs Pure Beta TR	Various U.S. Markets	Narrow

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 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON APRIL 26, 2011

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
HEVY	Corporation	Index Linked Notes	Barclays Capital Commodity Index Industrial Metals Pure Beta TR	London Metal Exchange COMEX	Narrow
LEDD	Corporation	Index Linked Notes	Barclays Capital Lead Pure Beta TR Index	Various World Markets	Narrow
LSTK	Corporation	Index Linked Notes	Barclays Capital Commodity Index Livestock Pure Beta TR	Various U.S. Markets	Narrow
NINI	Corporation	Index Linked Notes	Barclays Capital Nickel Pure Beta TR Index	Various World Markets	Narrow
OLEM	Corporation	Index Linked Notes	Barclays Capital WTI Crude Oil Pure Beta TR Index	Various World Markets	Narrow
ONG	Corporation	Index Linked Notes	Barclays Capital Commodity Index Energy Pure Beta TR	Various U.S. Markets	Narrow
SBV	Corporation	Index Linked Notes	Barclays Capital Pure Beta Series-2 TR Index	Various World Markets	Broad
SGAR	Corporation	Index Linked Notes	Barclays Capital Sugar Pure Beta TR Index	Various World Markets	Narrow
WEET	Corporation	Index Linked Notes	Barclays Capital Commodity Index Grains & Oilseeds Pure Beta TR	Various U.S. Markets	Narrow



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April 27, 2011

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding nineteen (19) derivative securities products that commenced trading on BATS Y-Exchange on April 26, 2011. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

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