

091-11961e

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



11031158

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: April 4, 2011

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | APR - 5 2011 |

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON MARCH 31, 2011

| <u>(6) Ticker Symbol</u> | <u>(2) Type of Issuer of NDSP</u> | <u>(3) Class of NDSP</u> | <u>(4) Name of Underlying Instrument</u> | <u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u> | <u>(5) Broad or Narrow</u> |
|--------------------------|-----------------------------------|--------------------------|---|--|----------------------------|
| IGS | Trust | Investment Company Units | Markit iBoxx \$ Liquid Investment Grade Index | Various U.S. Markets | Broad |
| FBM | Trust | Investment Company Units | Morningstar Basic Materials Index | Various U.S. Markets | Broad |
| FCD | Trust | Investment Company Units | Morningstar Consumer Defensive Index | Various U.S. Markets | Broad |
| FCL | Trust | Investment Company Units | Morningstar Consumer Cyclical Index | Various U.S. Markets | Broad |
| FTQ | Trust | Investment Company Units | Morningstar Communication Services Index | Various U.S. Markets | Broad |
| FEG | Trust | Investment Company Units | Morningstar Energy Index | Various U.S. Markets | Broad |
| FFL | Trust | Investment Company Units | Morningstar Financial Services Index | Various U.S. Markets | Broad |
| FHC | Trust | Investment Company Units | Morningstar Health Care Index | Various U.S. Markets | Broad |
| FIL | Trust | Investment Company Units | Morningstar Industrials Index | Various U.S. Markets | Broad |
| FLG | Trust | Investment Company Units | Morningstar Large Cap Index | Various U.S. Markets | Broad |

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| <u>(6) Ticker Symbol</u> | <u>(2) Type of Issuer of NDSP</u> | <u>(3) Class of NDSP</u> | <u>(4) Name of Underlying Instrument</u> | <u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u> | <u>(5) Broad or Narrow</u> |
|--------------------------|-----------------------------------|--------------------------|--|--|----------------------------|
| FMM | Trust | Investment Company Units | Morningstar Mid Cap Index | Various U.S. Markets | Broad |
| FMU | Trust | Investment Company Units | Morningstar US Market Index | Various U.S. Markets | Broad |
| FOS | Trust | Investment Company Units | Morningstar Small Cap Index | Various U.S. Markets | Broad |
| FRL | Trust | Investment Company Units | Morningstar Real Estate Index | Various U.S. Markets | Broad |
| FTQ | Trust | Investment Company Units | Morningstar Technology Index | Various U.S. Markets | Broad |
| FUI | Trust | Investment Company Units | Morningstar Utilities Index | Various U.S. Markets | Broad |



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April 4, 2011

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding sixteen (16) derivative securities products that commenced trading on BATS Y-Exchange on March 31, 2011. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

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|----------------------|---------------------------------|
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| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | APR - 5 2011 |