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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
BATS Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See attached.
3. Class of New Derivative Securities Product:  
See attached.
4. Name of Underlying Instrument:  
See attached.
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See attached.
6. Ticker Symbol(s) of New Derivative Securities Product:  
See attached.
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
See attached.
8. Settlement Methodology of New Derivative Securities Product:  
Trades locked in at Exchange and settled at NSCC.
9. Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: April 4, 2011

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR - 5 2011

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.  
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON MARCH 31, 2011

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
IGS	Trust	Investment Company Units	Markit iBoxx \$ Liquid Investment Grade Index	Various U.S. Markets	Broad
FBM	Trust	Investment Company Units	Morningstar Basic Materials Index	Various U.S. Markets	Broad
FCD	Trust	Investment Company Units	Morningstar Consumer Defensive Index	Various U.S. Markets	Broad
FCL	Trust	Investment Company Units	Morningstar Consumer Cyclical Index	Various U.S. Markets	Broad
FTQ	Trust	Investment Company Units	Morningstar Communication Services Index	Various U.S. Markets	Broad
FEG	Trust	Investment Company Units	Morningstar Energy Index	Various U.S. Markets	Broad
FFL	Trust	Investment Company Units	Morningstar Financial Services Index	Various U.S. Markets	Broad
FHC	Trust	Investment Company Units	Morningstar Health Care Index	Various U.S. Markets	Broad
FIL	Trust	Investment Company Units	Morningstar Industrials Index	Various U.S. Markets	Broad
FLG	Trust	Investment Company Units	Morningstar Large Cap Index	Various U.S. Markets	Broad

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FMM	Trust	Investment Company Units	Morningstar Mid Cap Index	Various U.S. Markets	Broad
FMU	Trust	Investment Company Units	Morningstar US Market Index	Various U.S. Markets	Broad
FOS	Trust	Investment Company Units	Morningstar Small Cap Index	Various U.S. Markets	Broad
FRL	Trust	Investment Company Units	Morningstar Real Estate Index	Various U.S. Markets	Broad
FTQ	Trust	Investment Company Units	Morningstar Technology Index	Various U.S. Markets	Broad
FUI	Trust	Investment Company Units	Morningstar Utilities Index	Various U.S. Markets	Broad



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April 4, 2011

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – BATS Exchange, Inc.**

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding sixteen (16) derivative securities products that commenced trading on BATS Exchange on March 31, 2011. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
VP, Associate General Counsel  
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	APR - 5 2011