

091-119382

For Internal Use Only	Submit 1 Original and 9 Copies	OMB Approval No.:
Sec File No. 91 - RECEIVED		Expires:

2011 APR -1 PM 3:33  
SEC / MR

Estimated average burden hours per response: 2.00

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**



11031135

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
NYSE Arca, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Open-End Management Investment Company

3. Class of New Derivative Securities Product:  
Investment Company Units

4. Name of Underlying Instrument:  
Morningstar Energy Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:  
FEG

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
NYSE, NYSE Amex and Nasdaq

8. Settlement Methodology of New Derivative Securities Product:  
Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Janet L. McGinness

Title:  
Corporate Secretary

Telephone Number:  
(212) 656-2039

Manual Signature of Official Responsible for Form:  
March 30, 2011

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR 1 2011

*Janet McGinness*

Janet L. McGinness  
Corporate Secretary



**NYSE Arca.**

RECEIVED  
2011 APR -1 PM 3:29  
SEC / MR

NYSE Arca, Inc.  
11 Wall Street  
New York, NY 10005

tel: 212.656.2039  
fax: 212.656.8101  
jmcginness@nyx.com

**Via Overnight Mail**

March 30, 2011

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

**Re: Form 19b-4(e) – Focus Morningstar ETFs (15 Funds)**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the following securities:

- Focus Morningstar US Market Index ETF (FMU)
- Focus Morningstar Large Cap Index ETF (FLG)
- Focus Morningstar Mid Cap Index ETF (FMM)
- Focus Morningstar Small cap Index ETF (FOS)
- Focus Morningstar Basic Materials Index ETF (FBM)
- Focus Morningstar Communication Services Index ETF (FCQ)
- Focus Morningstar Consumer Cyclical Index ETF (FCL)
- Focus Morningstar Consumer Defensive Index ETF (FCD)
- Focus Morningstar Energy Index ETF (FEG)
- Focus Morningstar Financial Services Index ETF (FFL)
- Focus Morningstar Health Care Index ETF (FHC)
- Focus Morningstar Industrials Index ETF (FIL)
- Focus Morningstar Real Estate Index ETF (FRL)
- Focus Morningstar Technology Index ETF (FTQ)
- Focus Morningstar Utilities Index ETF (FUI)

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR 1 2011