

091-11798

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



11030993

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Factors Capital Management, LLC

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

FSE: S&P U.S. Equity Risk Premium Total Return Index; FSA: S&P U.S. Equity Risk Premium Total Return Index; FSU: S&P 500 Non-
US Dollar Index; FOL: S&P Crude Oil - Equity Spread Total Return Index; FSG: S&P Gold - Equity Spread Total Return Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 5 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

FSE, FSA, FSU, FOL, FSG

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

FSE: NYSE Arca; FSA: NYSE Arca; FSU: NYSE Arca; FOL: NYSE Arca; FSG: NYSE Arca

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Eric W Hess

Title:

General Counsel

Telephone Number:

201-942-8239

Manual Signature of Official Responsible for Form:

Date:

7-Mar-11

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public

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