

091-11753jm

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

RECEIVED
2011 MAR -9 PM 3:04
SEC / MR

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
Hours per response. 3.60

FORM 19b-4(e)

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



11030948

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:
National Stock Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
3. Class of New Derivative Securities Product:
Equity
4. Name of Underlying Instrument:
CBOE Volatility Index
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based
6. Ticker Symbol(s) of New Derivative Securities Product:
VIXY
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Domestic Stock Exchanges
8. Settlement Methodology of New Derivative Securities Product:
cash
9. Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date: March 7, 2011

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	MAR - 9 2010
Availability:	



James C. Yong
Chief Regulatory Officer
james.yong@nsx.com

RECEIVED

2011 MAR -9 AM 4:36

March 7, 2011

SEC / MR

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- | | | |
|-----------|-----------|----------|
| 1. KBWY | 22. VIXM | 43. XTL |
| 2. KBWD | 23. ALUM | 44. HDGE |
| 3. KBWX | 24. NTCpD | 45. VXUS |
| 4. KBWP | 25. NANpD | 46. NAGS |
| 5. NOMpC | 26. NNCpD | 47. AND |
| 6. STIP | 27. EWAC | 48. TPS |
| 7. WITE | 28. SCHH | 49. ASEA |
| 8. EWRI | 29. SCHM | 50. FONE |
| 9. EWRS | 30. AGOL | 51. TBAR |
| 10. EWRM | 31. IVO | 52. CRUD |
| 11. EWEF | 32. NZFpC | 53. EBND |
| 12. EWEM | 33. EMGX | 54. EDIV |
| 13. ERND | 34. EMVX | 55. FSE |
| 14. NUGT | 35. BSJC | 56. FSA |
| 15. DUST | 36. BSJD | 57. FSU |
| 16. FLYX | 37. BSJE | 58. FOL |
| 17. NZWpC | 38. BSJEF | 59. FSG |
| 18. RALS | 39. EAPS | 60. BKLN |
| 19. NXIpC | 40. TRNM | 61. ARGT |
| 20. EDF | 41. XHE | |
| 21. VIXY | 42. XTN | |

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR _ 9 2010

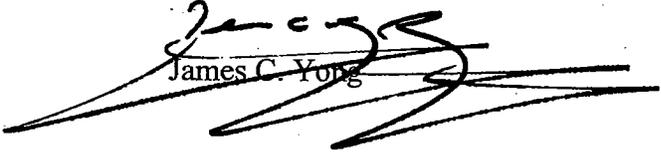
One Financial Place
440 South LaSalle Street Suite 2600
Chicago, Illinois 60605

Phone 312.786.8893
Fax 312.939.7239
www.nsx.com

Ms. Gail Jackson
March 7, 2011
Page 2 of 2

Please contact me if you have any questions on the enclosed materials.

Very truly yours


James C. Yong

Encls.