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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549



11030450

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: December 8, 2010

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	DEC - 9 2010

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON DECEMBER 2, 2010

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
EMLB	Corporation	Index Linked Notes	MSCI Emerging Markets Net Total Return Index	Various World Markets	Broad
EMSA	Corporation	Index Linked Notes	MSCI Emerging Markets Net Total Return Index	Various World Markets	Broad
MFLA	Corporation	Index Linked Notes	MSCI EAFE [®] Net Total Return Index	Various World Markets	Broad
MFSA	Corporation	Index Linked Notes	MSCI EAFE [®] Net Total Return Index	Various World Markets	Broad
ROLA	Corporation	Index Linked Notes	Russell 1000 [®] Total Return Index	Various U.S. Markets	Broad
ROSA	Corporation	Index Linked Notes	Russell 1000 [®] Total Return Index	Various U.S. Markets	Broad
RTLA	Corporation	Index Linked Notes	Russell 2000 [®] Total Return Index	Various U.S. Markets	Broad
RTSA	Corporation	Index Linked Notes	Russell 2000 [®] Total Return Index	Various U.S. Markets	Broad
SFLA	Corporation	Index Linked Notes	S&P 500 [®] Total Return Index	Various U.S. Markets	Broad
SFSA	Corporation	Index Linked Notes	S&P 500 [®] Total Return Index	Various U.S. Markets	Broad

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 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
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<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
VZZ	Corporation	Index Linked Notes	S&P 500 VIX Mid-Term Futures™ Index TR	CBOE	Broad
TVIX	Corporation	Index Linked Notes	S&P 500 VIX Short-Term Futures Index ER	CBOE	Broad
TVIZ	Corporation	Index Linked Notes	S&P 500 VIX Short-Term Futures Index ER	CBOE	Broad
VIXX	Corporation	Index Linked Notes	S&P 500 VIX Short-Term Futures Index ER	CBOE	Broad
VIZZ	Corporation	Index Linked Notes	S&P 500 VIX Mid-Term Futures™ Index ER	CBOE	Broad
XIV	Corporation	Index Linked Notes	S&P 500 VIX Short-Term Futures Index ER	CBOE	Broad
ZIV	Corporation	Index Linked Notes	S&P 500 VIX Mid-Term Futures™ Index ER	CBOE	Broad
KBWD	Trust	Investment Company Units	KBW Financial Sector Dividend Yield Index	Various U.S. Markets	Broad
KBWP	Trust	Investment Company Units	KBW Property & Casualty Index	Various U.S. Markets	Broad
KBWX	Trust	Investment Company Units	KBW Global ex-U.S. Financial Sector Index	Various World Markets	Broad

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<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
KBWY	Trust	Investment Company Units	KBW Premium Yield Equity REIT Index	Various U.S. Markets	Broad



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December 8, 2010

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding twenty-one (21) derivative securities product that commenced trading on BATS Y-Exchange on December 2, 2010. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	DEC - 9 2010
Availability:	