

RECEIVED  
 DEC 3 PM 4:21  
 SEC / TM

091-10093 fr

For Internal Use Only  
 Sec File No. 9-

Submit 1 Original  
 and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504  
 Expires: August 31, 2010  
 Estimated average burden  
 Hours per response.....3.60

UNITED STATES  
 SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:

National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Fund

3. Class of New Derivative Securities Product:

Equity

4. Name of Underlying Instrument:

high yield debt securities

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

HYLD

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Domestic bond markets

8. Settlement Methodology of New Derivative Securities Product:

cash

9. Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date: December 1, 2010

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	DEC 3 2010



James C. Yong  
Chief Regulatory Officer  
james.yong@nsx.com

RECEIVED

2010 DEC -3 PM 4: 15

SEC / TM

December 1, 2010

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

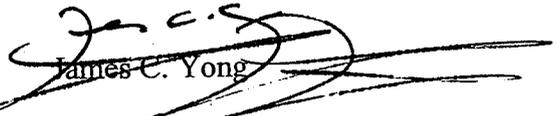
Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- |          |           |          |           |
|----------|-----------|----------|-----------|
| 1. GLDX  | 10. NVXpC | 19. MFLA | 28. HYLD  |
| 2. URA   | 11. NTXpC | 20. MFSA | 29. XVIX  |
| 3. GSF   | 12. TVIX  | 21. ROLA | 30. NKRpC |
| 4. NORW  | 13. TVIZ  | 22. ROSA | 31. VIIX  |
| 5. ERUS  | 14. XIV   | 23. RTLA |           |
| 6. NFZpC | 15. ZIV   | 24. RTSA |           |
| 7. CVOL  | 16. VIIZ  | 25. SFLA |           |
| 8. ARY   | 17. EMLB  | 26. SFSA |           |
| 9. NVYpC | 18. EMSA  | 27. VZZ  |           |

Please contact me if you have any questions on the enclosed materials.

Very truly yours

  
James C. Yong

Encls.

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	DEC 3	2010