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SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



11030210

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: October 22, 2010

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 25 2010

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 18, 2010

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
AADR	Trust	Investment Company Units	Actively managed ETF	Various U.S. markets	Broad
AAJ	Trust	Investment Company Units	MSCI AC Daily TR Net Asia Ex J	Various world markets	Broad
ACWI	Trust	Investment Company Units	MSCI AC World Daily TR Net USD	Various world markets	Broad
ACWX	Trust	Investment Company Units	MSCI AC World Daily TR Net Ex	Various world markets	Broad
ADJ	Corporation	Notes	S&P 500 Index	Various U.S. markets	Broad
ADRA	Trust	Investment Company Units	BNYMellonAsia50	Various world markets	Broad
ADRD	Trust	Investment Company Units	BNYMellonDev100	Various world markets	Broad
ADRE	Trust	Investment Company Units	BNYMellonEmg50	Various world markets	Broad
ADRU	Trust	Investment Company Units	BNYMellonEU100	Various world markets	Broad
ADZ	Corporation	Notes	DBLCl Optimum Yield Agricultur	Various world markets	Broad
AFK	Trust	Investment Company Units	DJ AfricaTtns50T	Various world markets	Broad
AGA	Corporation	Notes	DBLCl Optimum Yield Agricultur	Various world markets	Broad
AGF	Corporation	Notes	DBLCl Optimum Yield Agricultur	Various world markets	Broad
AGG	Trust	Investment Company Units	BarCap US Agg Total Return Val	Various U.S. markets	Broad
AGQ	Trust	Investment Company Units	London Silver Market Fixing Lt	Various world markets	Narrow
AGZ	Trust	Investment Company Units	Barclays US Agency Index	Various U.S. markets	Broad
AIA	Trust	Investment Company Units	S&P ASIA 50 INDEX	Various world markets	Broad
ALT	Trust	Investment Company Units	Actively managed ETF	Various U.S. markets	Broad
AMJ	Corporation	Notes	ALERIAN MLP INDEX	Various world markets	Broad
AMM	Corporation	Notes	Index Basket	Various world markets	Broad
AOA	Trust	Investment Company Units	S&P Target Risk Aggressive Ind	Various world markets	Broad
AOK	Trust	Investment Company Units	S&P Target Risk Conservative I	Various world markets	Broad
AOM	Trust	Investment Company Units	S&P Target Risk Moderate Index	Various world markets	Broad
AOR	Trust	Investment Company Units	S&P Target Risk Growth Index (Various world markets	Broad
ATA	Corporation	Notes	Index Basket	Various world markets	Broad
AUS	Trust	Investment Company Units	S&P/ASX 200 INDEX	Various world markets	Broad
AXDI	Trust	Investment Company Units	MSCI ACWI xUS/CONDIS Net TR	Various world markets	Broad
AXEN	Trust	Investment Company Units	MSCI ACWI xUS/ENERGY Net TR	Various world markets	Broad
AXFN	Trust	Investment Company Units	MSCI ACWI xUS/FINANCE	Various world markets	Broad
AXHE	Trust	Investment Company Units	MSCI ACWI xUS/HLTHCARE Net TR	Various world markets	Broad
AXID	Trust	Investment Company Units	MSCI ACWI xUS/INDUSTR L Net TR	Various world markets	Broad
AXIT	Trust	Investment Company Units	MSCI ACWI xUS/INF TECH Net TR	Various world markets	Broad
AXMT	Trust	Investment Company Units	MSCI ACWI xUS/MATERIAL Net TR	Various world markets	Broad
AXSL	Trust	Investment Company Units	MSCI ACWI xUS/CON STPL Net TR	Various world markets	Broad
AXTE	Trust	Investment Company Units	MSCI ACWI xUS/TEL SVC Net TR	Various world markets	Broad
AXUT	Trust	Investment Company Units	MSCI ACWI xUS/UTILITY Net TR	Various world markets	Broad
AYT	Corporation	Notes	Barclays Capital GEMS Asia-8 I	Various world markets	Broad
BAB	Trust	Investment Company Units	Build America Bond Index	Various U.S. markets	Broad
BABS	Trust	Investment Company Units	Build America Bond Index	Various U.S. markets	Broad
BABZ	Trust	Investment Company Units	Actively managed ETF	Various U.S. markets	Broad
BBH	Trust	Investment Company Units	AMEX BIOTECH HOLDERS IDX	Various world markets	Broad
BDD	Corporation	Notes	DBLCl-OY IND METAL INDEX	Various world markets	Broad
BDG	Corporation	Notes	DBLCl-OY IND METAL INDEX	Various world markets	Broad
BDH	Trust	Investment Company Units	AMEX BROADBAND HOLDRS IX	Various world markets	Broad
BGU	Trust	Investment Company Units	RUSSELL 1000 INDEX	Various world markets	Broad
BGZ	Trust	Investment Company Units	RUSSELL 1000 INDEX	Various world markets	Broad
BHH	Trust	Investment Company Units	AMEX BUS TO BUS HOLDR IX	Various world markets	Broad
BIB	Trust	Investment Company Units	NASDAQ BIOTECH INDEX	Various world markets	Broad
BIK	Trust	Investment Company Units	S&P BRIC 40 Net TR	Various world markets	Broad
BIL	Trust	Investment Company Units	SPDR BARCLAYS CAPITAL 1-3 MO	Various U.S. markets	Narrow
BIS	Trust	Investment Company Units	NASDAQ BIOTECH INDEX	Various world markets	Broad
BIV	Trust	Investment Company Units	Barclays Capital U.S. 5-10 Year Government/Credit Bond Index	Various world markets	Broad
BJK	Trust	Investment Company Units	S-Net Gaming TR	Various U.S. markets	Broad
BKF	Trust	Investment Company Units	MSCI Daily TR Net EM BRIC USD	Various world markets	Broad
BLV	Trust	Investment Company Units	Vanguard Long-Term Bond ETF	Various world markets	Broad
BND	Trust	Investment Company Units	Barclays Capital U.S. Aggregate Bond Index	Various world markets	Broad
BNZ	Trust	Investment Company Units	New Zealand dollar	Various world markets	Narrow
BOM	Corporation	Notes	DBLCl-OY IND METAL INDEX	Various world markets	Broad
BOS	Corporation	Notes	DBLCl-OY IND METAL INDEX	Various world markets	Broad
BRAF	Trust	Investment Company Units	Solactive Brazil Financials Index	Various world markets	Broad
BRAQ	Trust	Investment Company Units	Sol Brazil Csmr Index	Various world markets	Broad
BRAZ	Trust	Investment Company Units	Sol Brazil Mid Cap Index	Various world markets	Broad
BRF	Trust	Investment Company Units	MV Brazil Small-Cap TR	Various world markets	Broad
BRIL	Trust	Investment Company Units	BNYMellonBRIC	Various world markets	Broad
BRIS	Trust	Investment Company Units	BNYMellonBRIC	Various world markets	Broad
BRU	Trust	Investment Company Units	BEL 20 INDEX	Various world markets	Broad
BRXX	Trust	Investment Company Units	IndXX Brazil Infrastruct	Various world markets	Broad
BSC	Corporation	Notes	BG SmiCpValueTR	Various world markets	Broad
BSCB	Trust	Investment Company Units	BulletShr USD Corp 2011	Various world markets	Broad
BSCC	Trust	Investment Company Units	BulletShr USD Corp 2012	Various world markets	Broad
BSCD	Trust	Investment Company Units	BulletShr USD Corp 2013	Various world markets	Broad
BSC E	Trust	Investment Company Units	BulletShr USD Corp 2014	Various world markets	Broad
BSCF	Trust	Investment Company Units	BulletShr USD Corp 2015	Various world markets	Broad
BSCG	Trust	Investment Company Units	BulletShr USD Corp 2016	Various world markets	Broad
BSC H	Trust	Investment Company Units	BulletShr USD Corp 2017	Various world markets	Broad
BSR	Corporation	Notes	ALERIAN MLP INDEX	Various world markets	Broad
BSV	Trust	Investment Company Units	Barclays Capital U.S. 1-5 Year Government/Credit Bond Index	Various U.S. markets	Broad
BVL	Corporation	Notes	BG LrgCpValueTR	Various world markets	Broad
BVT	Corporation	Notes	BG TrIMktValueTR	Various world markets	Broad
BWV	Corporation	Notes	CBOE BUYWRITE MONTHLY IX	Various world markets	Broad
BWX	Trust	Investment Company Units	SPDR BARCLAYS CAPITAL INTL D	Various world markets	Broad
BWZ	Trust	Investment Company Units	SPDR BARCLAYS CAP S/T ITR BD	Various world markets	Broad

ATTACHMENT TO FORM 19b-4(e)
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 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 18, 2010

BXDB	Corporation	Notes	S&P 500 Total Return	Various world markets	Broad
BXDC	Corporation	Notes	S&P 500 Total Return	Various world markets	Broad
BXDD	Corporation	Notes	S&P 500 Total Return	Various world markets	Broad
BXUB	Corporation	Notes	S&P 500 Total Return	Various world markets	Broad
BXUC	Corporation	Notes	S&P 500 Total Return	Various world markets	Broad
BZF	Trust	Investment Company Units	Brazilian Real	Various world markets	Narrow
BZQ	Trust	Investment Company Units	MSCI BRAZIL	Various world markets	Broad
CCX	Trust	Investment Company Units	Money market rates of "Commodity Currencies"	Various world markets	Broad
CEW	Trust	Investment Company Units	Various world currencies	Various world markets	Broad
CFT	Trust	Investment Company Units	Barclays Capital U.S. Credit Bond Index	Various U.S. markets	Broad
CGW	Trust	Investment Company Units	S&P GLOBAL WATER INDEX	Various world markets	Broad
CHIB	Trust	Investment Company Units	Solactive China Tech TR	Various world markets	Broad
CHIE	Trust	Investment Company Units	Solactive China Energy T	Various world markets	Broad
CHII	Trust	Investment Company Units	Solactive China Industri	Various world markets	Broad
CHIM	Trust	Investment Company Units	Solactive China Material	Various world markets	Broad
CHIQ	Trust	Investment Company Units	Solactive China Consum T	Various world markets	Broad
CHIX	Trust	Investment Company Units	Solactive China Financia	Various world markets	Broad
CHXX	Trust	Investment Company Units	IndXX China Infrastruct	Various world markets	Broad
CIU	Trust	Investment Company Units	Barclays Capital U.S. Intermediate Credit Bond Index	Various U.S. markets	Broad
CLY	Trust	Investment Company Units	ISHARES 10+ YEAR CREDIT BOND	Various world markets	Broad
CMD	Trust	Investment Company Units	DJUBS Commodity	Various world markets	Broad
CMF	Trust	Investment Company Units	S&P California AMT-Free Municip	Various U.S. markets	Broad
CNDA	Trust	Investment Company Units	IQ Canada Small Cap Index TR	Various U.S. markets	Broad
CNY	Corporation	Notes	S&P Chinese Renminbi TR	Various world markets	Narrow
COPX	Trust	Investment Company Units	Solactive Glb Copper Min	Various world markets	Broad
CORN	Trust	Investment Company Units	Tecurium Corn Fd Bench	Various world markets	Narrow
CORP	Trust	Investment Company Units	PIMCO INV GRADE CORP BD	Various world markets	Broad
COW	Corporation	Notes	DJUBS LvstckT	Various world markets	Broad
CPI	Trust	Investment Company Units	IQ CPI Inflation Hedged Index	Various world markets	Broad
CQQQ	Trust	Investment Company Units	Alphashares China Technology I	Various world markets	Broad
CRBA	Trust	Investment Company Units	TR/J CRB Agri Index	Various world markets	Broad
CRBI	Trust	Investment Company Units	TR/J CRB Metals Index	Various world markets	Broad
CRBQ	Trust	Investment Company Units	TR/Jeffries CRB GI Comdty	Various world markets	Broad
CRO	Trust	Investment Company Units	Zack Ctry Rotatn	Various world markets	Broad
CSD	Trust	Investment Company Units	CLEAR SPINOFF INDEX	Various world markets	Broad
CSJ	Trust	Investment Company Units	Barclays Capital U.S. 1-3 Year Treasury Bond Index	Various U.S. markets	Broad
CSLS	Corporation	Notes	CS Long/Short Liquid Net	Various world markets	Broad
CSM	Trust	Investment Company Units	Credit Suisse 130/30	Various world markets	Broad
CU	Trust	Investment Company Units	ISE Global Copper Index	Various world markets	Broad
CUD	Trust	Investment Company Units	Canadian Dollar	Various world markets	Narrow
CUT	Trust	Investment Company Units	Beacon Gbl Tim Ind	Various world markets	Broad
CVY	Trust	Investment Company Units	Zacks Multi-Asset Income Index	Various world markets	Broad
CWB	Trust	Investment Company Units	Barclays Capital U.S. Convertible Bond >\$500MM Index	Various U.S. markets	Broad
CWI	Trust	Investment Company Units	MSCI AC World Daily TR Net Ex	Various world markets	Broad
CXA	Trust	Investment Company Units	Barclays Capital Managed Money Municipal California Index	Various U.S. markets	Broad
CYB	Trust	Investment Company Units	Chinese Yuan	Various world markets	Narrow
CZA	Trust	Investment Company Units	ZACKS MID CAP CORE INDEX	Various world markets	Broad
CZI	Trust	Investment Company Units	BNYMellonChinaSI	Various world markets	Broad
CZM	Trust	Investment Company Units	BNYMellonChinaSI	Various world markets	Broad
DAG	Corporation	Notes	DBLCI Optimum Yield Agricultur	Various world markets	Broad
DAX	Trust	Investment Company Units	DAX INDEX	Various world markets	Broad
DBA	Trust	Investment Company Units	DBLCI-OY AGRICULT INDEX	Various world markets	Broad
DBB	Trust	Investment Company Units	DBLCI-OY IND METAL INDEX	Various world markets	Broad
DBC	Trust	Investment Company Units	DB LIQUID COMDITY IX ER	Various world markets	Broad
DBE	Trust	Investment Company Units	DBLCI-OY ENERGY INDEX	Various world markets	Broad
DBN	Trust	Investment Company Units	WisdomTree International Basic	Various world markets	Broad
DBO	Trust	Investment Company Units	DBLCI-OY CRUDE OIL INDEX	Various world markets	Narrow
DBP	Trust	Investment Company Units	DBLCI-OY PRC METAL INDEX	Various world markets	Narrow
DBR	Trust	Investment Company Units	WisdomTree International Healt	Various world markets	Broad
DBS	Trust	Investment Company Units	DBLCI-OY SILVER INDEX	Various world markets	Narrow
DBT	Trust	Investment Company Units	WisdomTree International Techn	Various world markets	Broad
DBU	Trust	Investment Company Units	WisdomTree International Utili	Various world markets	Broad
DBV	Trust	Investment Company Units	DEUTSCHE BANK G10 CFH	Various world markets	Broad
DDG	Trust	Investment Company Units	DJ US Oil&Gas	Various world markets	Broad
DDI	Trust	Investment Company Units	WisdomTree International Indus	Various world markets	Broad
DDM	Trust	Investment Company Units	DOW JONES INDUS. AVG	Various world markets	Broad
DDP	Corporation	Notes	DB LIQUID COMDITY IX ER	Various world markets	Broad
DEB	Trust	Investment Company Units	WisdomTree Europe Dividend Tot	Various world markets	Broad
DEE	Corporation	Notes	DB LIQUID COMDITY IX ER	Various world markets	Broad
DEF	Trust	Investment Company Units	SABRIENT DEFENSIVE EQTY	Various world markets	Broad
DEM	Trust	Investment Company Units	WisdomTree Emerging Markets Eq	Various world markets	Broad
DENT	Trust	Investment Company Units	Actively managed ETF	Various world markets	Broad
DES	Trust	Investment Company Units	WT SMALLCAP DIV TR INDEX	Various world markets	Broad
DEW	Trust	Investment Company Units	WisdomTree Global Equity Incom	Various U.S. markets	Broad
DFE	Trust	Investment Company Units	WisdomTree Europe SmallCap Div	Various world markets	Broad
DFJ	Trust	Investment Company Units	WisdomTree Japan SmallCap Divi	Various world markets	Broad
DGG	Trust	Investment Company Units	WisdomTree International Commu	Various world markets	Broad
DGL	Trust	Investment Company Units	DBLCI-OY GOLD INDEX	Various world markets	Narrow
DGP	Corporation	Notes	DBLCI-OY GOLD INDEX	Various world markets	Narrow
DGS	Trust	Investment Company Units	WisdomTree Emerging Markets Sm	Various world markets	Broad
DGT	Trust	Investment Company Units	Dow Jones Global Titans 50 USD	Various world markets	Broad
DGZ	Corporation	Notes	DBLCI-OY GOLD INDEX	Various world markets	Narrow
DHS	Trust	Investment Company Units	WT EQUITY INCOME INDEX	Various world markets	Broad
DIG	Trust	Investment Company Units	DJ US Oil&Gas	Various world markets	Narrow

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 18, 2010

DIM	Trust	Investment Company Units	WisdomTree International MidCa	Various world markets	Broad
DJCI	Corporation	Notes	DJUBS Commodity TR	Various world markets	Broad
DJP	Corporation	Notes	DJUBS Commodity TR	Various world markets	Broad
DKA	Trust	Investment Company Units	WisdomTree International Energ	Various world markets	Broad
DLBL	Corporation	Notes	Barclays Capital Long-bond US	Various U.S. markets	Broad
DLBS	Corporation	Notes	Barclays Capital Long-bond US	Various U.S. markets	Broad
DLN	Trust	Investment Company Units	WT LARGE CAP DIV TR INDEX	Various world markets	Broad
DLS	Trust	Investment Company Units	WisdomTree International Small	Various world markets	Broad
DMM	Trust	Investment Company Units	Various housing related assets	Various world markets	Broad
DND	Trust	Investment Company Units	WisdomTree Pacific ex-Japan Di	Various world markets	Broad
DNH	Trust	Investment Company Units	WisdomTree Pacific ex-Japan Eq	Various world markets	Broad
DNL	Trust	Investment Company Units	WisdomTree World ex-U.S. Growt	Various world markets	Broad
DOD	Corporation	Notes	DJ High Yield Select 10 TR	Various world markets	Broad
DOG	Trust	Investment Company Units	DOW JONES INDUS. AVG	Various world markets	Broad
DOL	Trust	Investment Company Units	WisdomTree International Large	Various world markets	Broad
DON	Trust	Investment Company Units	WT MIDCAP DIV TR INDEX	Various world markets	Broad
DOO	Trust	Investment Company Units	WisdomTree International Divid	Various world markets	Broad
DPC	Trust	Investment Company Units	WisdomTree International Consu	Various world markets	Broad
DPK	Trust	Investment Company Units	MSCI EAFE	Various world markets	Broad
DPN	Trust	Investment Company Units	WisdomTree International Consu	Various world markets	Broad
DPU	Corporation	Notes	DB LIQUID COMDTY IX ER	Various world markets	Broad
DRF	Trust	Investment Company Units	WisdomTree International Finan	Various world markets	Broad
DRN	Trust	Investment Company Units	MSCI US REIT INDEX	Various world markets	Broad
DRR	Corporation	Notes	Double Short Euro Index	Various world markets	Narrow
DRV	Trust	Investment Company Units	MSCI US REIT INDEX	Various world markets	Broad
DRW	Trust	Investment Company Units	WisdomTree International Real	Various world markets	Broad
DSC	Trust	Investment Company Units	DJTSM US SmlTr	Various world markets	Broad
DSG	Trust	Investment Company Units	DJTSM US SmlGrTr	Various world markets	Broad
DSI	Trust	Investment Company Units	MSCI KLD 400 Social GR	Various world markets	Broad
DSTJ	Corporation	Notes	NYSE US Long Bd Tsy Fut	Various world markets	Broad
DSV	Trust	Investment Company Units	DJTSM US SmlCpVI	Various world markets	Broad
DSXJ	Corporation	Notes	NYSE US 10Y Tsy Futures	Various world markets	Broad
DTD	Trust	Investment Company Units	WT DIVIDEND TR INDEX	Various world markets	Broad
DTH	Trust	Investment Company Units	WisdomTree DEFA Equity Income	Various world markets	Broad
DTN	Trust	Investment Company Units	WisdomTree Domestic Dividends	Various world markets	Broad
DTO	Corporation	Notes	DBIQ Deutsche Commodities DBLC	Various U.S. markets	Broad
DTUL	Corporation	Notes	Barclays Capital 2Y US Treasur	Various world markets	Narrow
DTUS	Corporation	Notes	Barclays Capital 2Y US Treasur	Various world markets	Narrow
DTYL	Corporation	Notes	Barclays Capital 10Y US Treasu	Various world markets	Narrow
DTYS	Corporation	Notes	Barclays Capital 10Y US Treasu	Various world markets	Narrow
DUG	Trust	Investment Company Units	DJ US Oil&Gas	Various world markets	Narrow
DVY	Trust	Investment Company Units	DJ US SELECT DIVIDEND IX	Various world markets	Broad
DWM	Trust	Investment Company Units	WisdomTree DEFA Index (TR)	Various world markets	Broad
DWX	Trust	Investment Company Units	S&P INTL DIV OPPORTUNIT	Various world markets	Broad
DXD	Trust	Investment Company Units	DOW JONES INDUS. AVG	Various world markets	Broad
DXJ	Trust	Investment Company Units	WisdomTree Japan Hedged Equity	Various world markets	Broad
DXO	Corporation	Notes	DBLC-OY CRUDE OIL INDEX	Various world markets	Narrow
DYY	Corporation	Notes	DB LIQUID COMDTY IX ER	Various world markets	Broad
DZK	Trust	Investment Company Units	MSCI EAFE	Various world markets	Broad
DZZ	Corporation	Notes	DBLC-OY GOLD INDEX	Various world markets	Narrow
ECH	Trust	Investment Company Units	MSCI Chile IMI USD Net	Various world markets	Broad
ECNS	Trust	Investment Company Units	MSCI China Small Cap USD Net	Various world markets	Broad
ECON	Trust	Investment Company Units	Dow Jones Emerging Markets Consumer Titans 30 Index	Various world markets	Broad
EDC	Trust	Investment Company Units	MSCI EM	Various world markets	Broad
EDV	Trust	Investment Company Units	Lehman Brothers Treasury STRIPS 20-30 Year Equal Par Bond Index	Various U.S. markets	Narrow
EDZ	Trust	Investment Company Units	MSCI EM	Various world markets	Broad
EEB	Trust	Investment Company Units	BNYMellonBRIC	Various world markets	Broad
EEG	Trust	Investment Company Units	DJ EMERGING SECTOR 100	Various world markets	Broad
EEH	Corporation	Notes	S&P BNP PARIBAS SPECTRUM	Various world markets	Broad
EEM	Trust	Investment Company Units	MSCI Daily TR Net Emerging Mar	Various world markets	Broad
EEN	Trust	Investment Company Units	BNYMellonEPAS	Various world markets	Broad
EEO	Trust	Investment Company Units	DJ Emerg Oil & Gas 30	Various world markets	Broad
EES	Trust	Investment Company Units	WisdomTree SmallCap Earnings I	Various world markets	Broad
EET	Trust	Investment Company Units	MSCI EM	Various world markets	Broad
EEV	Trust	Investment Company Units	MSCI EM	Various world markets	Broad
EEZ	Trust	Investment Company Units	WisdomTree Top 100 Index (TR)	Various world markets	Broad
EFA	Trust	Investment Company Units	MSCI Daily TR Net EAFE USD	Various world markets	Broad
EFG	Trust	Investment Company Units	MSCI Daily TR Net Growth EAFE	Various world markets	Broad
EFN	Trust	Investment Company Units	DJ Emerg Financials 30	Various world markets	Broad
EFO	Trust	Investment Company Units	MSCI EAFE	Various world markets	Broad
EFU	Trust	Investment Company Units	MSCI EAFE	Various world markets	Broad
EFV	Trust	Investment Company Units	MSCI Daily TR Net Value EAFE F	Various world markets	Broad
EFZ	Trust	Investment Company Units	MSCI EAFE	Various world markets	Broad
EGB	Trust	Investment Company Units	British Pound/U.S. Dollar Exchange Rate	Various world markets	Narrow
EGPT	Trust	Investment Company Units	MV Egypt TR Index	Various world markets	Broad
EIDO	Trust	Investment Company Units	MSCI INDONESIA IMI	Various world markets	Broad
EIRL	Trust	Investment Company Units	MSCI Ireland 25/50 IMI	Various world markets	Broad
EIS	Trust	Investment Company Units	MSCI Israel Capped Index Net U	Various world markets	Broad
EKH	Trust	Investment Company Units	AMEX EUR 2001 HOLDERS IX	Various world markets	Broad
ELD	Trust	Investment Company Units	Actively managed ETF	Various world markets	Broad
ELG	Trust	Investment Company Units	DJTSM USLrgGrTr	Various world markets	Broad
ELR	Trust	Investment Company Units	DJTSM US LrgTr	Various world markets	Broad
ELV	Trust	Investment Company Units	DJTSM USLrgVTr	Various world markets	Broad
EMFN	Trust	Investment Company Units	MSCI EM/FINANCIALS	Various world markets	Broad

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PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 18, 2010

EMG	Trust	Investment Company Units	DJTSM USMidGrTr	Various world markets	Broad
EMIF	Trust	Investment Company Units	S&P EmergingMkt Infrastr	Various world markets	Broad
EMLC	Trust	Investment Company Units	JPMorgan Government Bond Index	Various world markets	Broad
EMM	Trust	Investment Company Units	DJTSM US MidCap	Various world markets	Broad
EMMT	Trust	Investment Company Units	MSCI EM/MATERIAL	Various world markets	Broad
EMT	Trust	Investment Company Units	DJEmgMtlMng30	Various world markets	Broad
EMV	Trust	Investment Company Units	DJTSM US MidCpVI	Various world markets	Broad
ENY	Trust	Investment Company Units	SWM EnergyInc TR	Various world markets	Broad
ENZL	Trust	Investment Company Units	MSCI New Zealand IMI USD Net	Various world markets	Broad
EPHE	Trust	Investment Company Units	MSCI Philippines IMI USD Net	Various world markets	Broad
EPI	Trust	Investment Company Units	WisdomTree India Earn Ix	Various world markets	Broad
EPOL	Trust	Investment Company Units	MSCI POLAND	Various world markets	Broad
EPP	Trust	Investment Company Units	MSCI Daily TR Net Pacific Free	Various world markets	Broad
EPS	Trust	Investment Company Units	WisdomTree Earnings 500 Index	Various world markets	Broad
EPU	Trust	Investment Company Units	MSCI All Peru Capped	Various world markets	Broad
EPV	Trust	Investment Company Units	MSCI EUROPE	Various world markets	Broad
EQL	Trust	Investment Company Units	Bank of America Securities – Merrill Lynch Equal Sector Weight Index	Various U.S. markets	Broad
ERE	Trust	Investment Company Units	Euro/U.S. Dollar Exchange Rate	Various world markets	Narrow
ERO	Corporation	Notes	EUR/USD Exchange Rate	Various world markets	Narrow
ERX	Trust	Investment Company Units	Russ1000Energy	Various world markets	Broad
ERY	Trust	Investment Company Units	Russ1000Energy	Various world markets	Broad
ESR	Trust	Investment Company Units	MSCI Daily TR Net Emerging Mar	Various world markets	Broad
EU	Trust	Investment Company Units	Price of the Euro currency	Various world markets	Narrow
EUFN	Trust	Investment Company Units	MSCI EUR/FINANCE	Various world markets	Broad
EUM	Trust	Investment Company Units	MSCI EM	Various world markets	Broad
EJO	Trust	Investment Company Units	Price of the Euro currency	Various world markets	Narrow
EUSA	Trust	Investment Company Units	MSCI Daily TR Gross USA USD	Various U.S. markets	Broad
EVX	Trust	Investment Company Units	NYSE Arca Enviro Service	Various world markets	Broad
EWA	Trust	Investment Company Units	MSCI Daily TR Net Australia US	Various world markets	Broad
EWC	Trust	Investment Company Units	MSCI Daily TR Net Canada USD	Various world markets	Broad
EWD	Trust	Investment Company Units	MSCI Daily TR Net Sweden USD	Various world markets	Broad
EWG	Trust	Investment Company Units	MSCI Daily TR Net Germany USD	Various world markets	Broad
EWH	Trust	Investment Company Units	MSCI Daily TR Net Hong Kong US	Various world markets	Broad
EWI	Trust	Investment Company Units	MSCI Daily TR Net Italy USD	Various world markets	Broad
EWJ	Trust	Investment Company Units	MSCI Daily TR Net Japan USD	Various world markets	Broad
EWK	Trust	Investment Company Units	MSCI Belgium IMI USD Net	Various world markets	Broad
EWL	Trust	Investment Company Units	MSCI Daily TR Net Switzerland	Various world markets	Broad
EWM	Trust	Investment Company Units	MSCI Daily TR Net Malaysia USD	Various world markets	Broad
EWN	Trust	Investment Company Units	MSCI Netherlands IMI USD Net	Various world markets	Broad
EWO	Trust	Investment Company Units	MSCI Austria IMI USD Net	Various world markets	Broad
EWP	Trust	Investment Company Units	MSCI Daily TR Net Spain USD	Various world markets	Broad
EWQ	Trust	Investment Company Units	MSCI Daily TR Net France USD	Various world markets	Broad
EWS	Trust	Investment Company Units	MSCI SINGAPORE	Various world markets	Broad
EWY	Trust	Investment Company Units	MSCI Daily TR Net Emerging Mar	Various world markets	Broad
EWU	Trust	Investment Company Units	MSCI Daily TR Net UK USD	Various world markets	Broad
EWV	Trust	Investment Company Units	MSCI JAPAN	Various world markets	Broad
EWZ	Trust	Investment Company Units	MSCI Daily TR Net Emerging Mar	Various world markets	Broad
EWX	Trust	Investment Company Units	S&P BMI EM SC (-2B)	Various world markets	Broad
EYU	Trust	Investment Company Units	MSCI KOREA	Various world markets	Broad
EWZ	Trust	Investment Company Units	MSCI Daily TR Net Brazil USD	Various world markets	Broad
EWZS	Trust	Investment Company Units	MSCI Brazil Small Cap USD Net	Various world markets	Broad
EXB	Trust	Investment Company Units	BCN GLB EXC BRK AST MNG	Various world markets	Broad
EXI	Trust	Investment Company Units	GLOBAL 1200 INDUSTRIAL INX	Various world markets	Broad
EXT	Trust	Investment Company Units	WISDOMTREE EARNINGS INDX	Various world markets	Broad
EZA	Trust	Investment Company Units	MSCI Daily TR Net Emerging Mar	Various world markets	Broad
EZJ	Trust	Investment Company Units	MSCI JAPAN	Various world markets	Broad
EZM	Trust	Investment Company Units	WisdomTree MidCap Earnings Ind	Various world markets	Broad
EZU	Trust	Investment Company Units	MSCI Daily TR Net EMU	Various world markets	Broad
EZY	Trust	Investment Company Units	WisdomTree LargeCap Value Inde	Various world markets	Broad
FAA	Trust	Investment Company Units	NYSE Arca Global Airline	Various world markets	Broad
FAB	Trust	Investment Company Units	DEFINED MULTI CAP VALUE	Various world markets	Broad
FAD	Trust	Investment Company Units	DEFINED MULTI CAP GROWTH	Various world markets	Broad
FAN	Trust	Investment Company Units	ISE Global Wind Energy	Various world markets	Broad
FAS	Trust	Investment Company Units	Russ1000Financial Svc	Various world markets	Broad
FAZ	Trust	Investment Company Units	Russ1000Financial Svc	Various world markets	Broad
FBT	Trust	Investment Company Units	AMEX BIOTECH INDEX	Various world markets	Broad
FCG	Trust	Investment Company Units	ISE REVERE NAT GAS INDEX	Various world markets	Narrow
FCGL	Trust	Investment Company Units	ISE REVERE NAT GAS INDEX	Various world markets	Narrow
FCGS	Trust	Investment Company Units	ISE REVERE NAT GAS INDEX	Various world markets	Narrow
FCHI	Trust	Investment Company Units	FTSE China(HK Listed)	Various world markets	Broad
FCV	Trust	Investment Company Units	FAITHSHARES CATHOLIC VAL	Various world markets	Broad
FDD	Trust	Investment Company Units	STXE SD 30 \$ Pr	Various world markets	Broad
FDL	Trust	Investment Company Units	MSTARDividendLd	Various world markets	Broad
FDM	Trust	Investment Company Units	DJ SelectMicroCp	Various world markets	Broad
FDN	Trust	Investment Company Units	DJ COMP INTERNET INDEX	Various world markets	Broad
FDV	Trust	Investment Company Units	Credit Suisse US Value	Various world markets	Broad
FEU	Trust	Investment Company Units	STXE 50 € Pr	Various world markets	Broad
FEX	Trust	Investment Company Units	DEFINED LRG CAP CORE IDX	Various world markets	Broad
FEZ	Trust	Investment Company Units	ESTX 50 \$ NRt	Various world markets	Broad
FFR	Trust	Investment Company Units	FTSE E/N DEVELOPED \$	Various world markets	Broad
FGD	Trust	Investment Company Units	DJGblSelectDiv	Various world markets	Broad
FIO	Trust	Investment Company Units	FTSE NAREIT In/OF CapTR	Various world markets	Broad
FIVZ	Trust	Investment Company Units	PIMCO 3-7 YR US TREAS IND FD	Various world markets	Narrow
FIW	Trust	Investment Company Units	ISE WATER INDEX	Various world markets	Broad

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 18, 2010

FKL	Trust	Investment Company Units	FAITHSHARES LUTHERAN VAL	Various world markets	Broad
FLAT	Corporation	Notes	Barclays Capital US Treasury 2	Various U.S. markets	Narrow
FLM	Trust	Investment Company Units	ISE GLBL ENGI & CONSTR	Various world markets	Broad
FMV	Trust	Investment Company Units	FAITHSHARE METHODIST VAI	Various world markets	Broad
FNI	Trust	Investment Company Units	ISE CHINDIA INDEX	Various world markets	Broad
FNX	Trust	Investment Company Units	DEFINED MID CAP CORE IDX	Various world markets	Broad
FOC	Trust	Investment Company Units	FAITHSHARE CHRISTIAN VAL	Various world markets	Broad
FPX	Trust	Investment Company Units	IPOX-100 US INDEX	Various world markets	Broad
FRI	Trust	Investment Company Units	S&P REIT INDEX	Various world markets	Broad
FRN	Trust	Investment Company Units	BNYMellonNFtDR	Various world markets	Broad
FTA	Trust	Investment Company Units	DEFINED LRG CP VAL OPP	Various world markets	Broad
FTC	Trust	Investment Company Units	DEFINED LRG CP GRW OPP	Various world markets	Broad
FTY	Trust	Investment Company Units	FTSE NAREIT RL EST 50 TR	Various world markets	Broad
FUD	Corporation	Notes	UBS Bloomberg CMCi Food USD To	Various world markets	Broad
FUE	Corporation	Notes	MLCX - Biofuels - Exchange Ser	Various U.S. markets	Broad
FVI	Trust	Investment Company Units	VALUE LINE EQUITY ALLOC	Various world markets	Broad
FVL	Trust	Investment Company Units	VALUE LINE 100 INDEX	Various world markets	Broad
FXA	Trust	Investment Company Units	Australian Dollar	Various world markets	Narrow
FXB	Trust	Investment Company Units	British Pound	Various world markets	Narrow
FXC	Trust	Investment Company Units	Canadian Dollar	Various world markets	Narrow
FXD	Trust	Investment Company Units	STRATAQUANT CONS DISCRET	Various world markets	Broad
FXE	Trust	Investment Company Units	Euro	Various world markets	Narrow
FXF	Trust	Investment Company Units	Swiss Franc	Various world markets	Narrow
FXG	Trust	Investment Company Units	STRATAQUANT CONS STAPLES	Various world markets	Broad
FXH	Trust	Investment Company Units	STRATAQUANT HEALTH CARE	Various world markets	Broad
FXI	Trust	Investment Company Units	FTSE XINHUA CHINA 25 IDX	Various world markets	Broad
FXL	Trust	Investment Company Units	STRATAQUANT TECHNOLOGY	Various world markets	Broad
FXM	Trust	Investment Company Units	Mexican Peso	Various world markets	Narrow
FXN	Trust	Investment Company Units	STRATAQUANT ENERGY	Various world markets	Broad
FXO	Trust	Investment Company Units	STRATAQUANT FINANCIALS	Various world markets	Broad
FXP	Trust	Investment Company Units	FTSE/XINHUA CHINA 25	Various world markets	Broad
FXR	Trust	Investment Company Units	STRATAQUANT INDUSTRIALS	Various world markets	Broad
FXS	Trust	Investment Company Units	Swedish Krona	Various world markets	Narrow
FXU	Trust	Investment Company Units	STRATAQUANT UTILITIES	Various world markets	Broad
FXV	Trust	Investment Company Units	Japanese Yen	Various world markets	Narrow
FXZ	Trust	Investment Company Units	STRATAQUANT MATERIALS	Various world markets	Broad
FYX	Trust	Investment Company Units	DEFINED SML CAP CORE IDX	Various world markets	Broad
FZB	Trust	Investment Company Units	FAITHSHARES BAPTIST VALU	Various world markets	Broad
GAF	Trust	Investment Company Units	S&P Middle East and Africa BMI	Various world markets	Broad
GAZ	Corporation	Notes	DJUBS NatrlGasTR	Various world markets	Broad
GBB	Corporation	Notes	GBP/USD Exchange Rate	Various world markets	Narrow
GBF	Trust	Investment Company Units	Barclays Capital U.S. Government/Credit Bond Index	Various U.S. markets	Broad
GCC	Trust	Investment Company Units	Greenhaven Cont Cmnty TR	Various world markets	Broad
GCE	Corporation	Notes	Claymore CEF Index	Various world markets	Broad
GDV	Trust	Investment Company Units	NYSE Arca Gold Miners Ix	Various world markets	Broad
GDJ	Trust	Investment Company Units	MV Jr Gold Miners TR Idx	Various world markets	Broad
GEX	Trust	Investment Company Units	Ardour Gbl XL TR	Various world markets	Broad
GII	Trust	Investment Company Units	Macquarie Gbl Infra 100T	Various world markets	Broad
GLD	Trust	Investment Company Units	London Gold Market Fixing Ltd	Various world markets	Narrow
GLI	Trust	Investment Company Units	ISHARES 10+ YEAR GOVT/CR BD	Various world markets	Narrow
GLL	Trust	Investment Company Units	London Gold Market Fixing Ltd	Various world markets	Narrow
GMF	Trust	Investment Company Units	S&P Asia Pacific Emerging BMI	Various world markets	Broad
GML	Trust	Investment Company Units	S&P Latin America BMI	Various world markets	Broad
GMM	Trust	Investment Company Units	S&P Emerging Markets BMI TR US	Various world markets	Broad
GMMB	Trust	Investment Company Units	Actively managed ETF	Various U.S. markets	Broad
GMTB	Trust	Investment Company Units	Actively managed ETF	Various U.S. markets	Broad
GNR	Trust	Investment Company Units	S&P Gbl Nat Res USD	Various world markets	Broad
GOE	Trust	Investment Company Units	Merrill Lynch Commodity index	Various world markets	Broad
GRES	Trust	Investment Company Units	IQ ARB Global Resources Index	Various world markets	Broad
GRI	Trust	Investment Company Units	C & S REALTY GLB REL MAJ	Various world markets	Broad
GRI	Trust	Investment Company Units	C & S REALTY GLB REL MAJ	Various world markets	Broad
GRID	Trust	Investment Company Units	NASDAQ OMX Clean Edge Smart Grid Infrastructure Index	Various world markets	Broad
GRN	Corporation	Notes	Barclays Capital Global Carbon	Various world markets	Broad
GRU	Corporation	Notes	Merrill Lynch Commodity index	Various world markets	Broad
GRV	Trust	Investment Company Units	MSCI WORLD	Various world markets	Broad
GSC	Corporation	Notes	S&P GSCI Enhan TR	Various world markets	Broad
GSD	Trust	Investment Company Units	FTSE ALL-WORLD DEV X US	Various world markets	Broad
GSD	Trust	Investment Company Units	FTSE ALL-WORLD DEV X US	Various world markets	Broad
GSG	Trust	Investment Company Units	S&P GSCI Tot Return Indx	Various world markets	Broad
GSO	Trust	Investment Company Units	FTSE ALL-WORLD EX US IDX	Various world markets	Broad
GSO	Trust	Investment Company Units	FTSE ALL-WORLD EX US IDX	Various world markets	Broad
GSP	Corporation	Notes	S&P GSCI Tot Return Indx	Various world markets	Broad
GSR	Trust	Investment Company Units	FTSE ALL-WORLD ALL EMRG	Various world markets	Broad
GSS	Trust	Investment Company Units	FTSE ALL-WORLD INDEX	Various world markets	Broad
GSS	Trust	Investment Company Units	FTSE ALL-WORLD INDEX	Various world markets	Broad
GSZ	Trust	Investment Company Units	FTSE All World Series Asia Pac	Various world markets	Broad
GSZ	Trust	Investment Company Units	FTSE All World Series Asia Pac	Various world markets	Broad
GULF	Trust	Investment Company Units	WisdomTree Middle East Dividen	Various world markets	Broad
GUR	Trust	Investment Company Units	S&P European Emerging TR USD	Various world markets	Broad
GVI	Trust	Investment Company Units	Barclays Capital U.S. Intermediate Government/Credit Bond Index	Various U.S. markets	Narrow
GVT	Trust	Investment Company Units	RUSSELL 1000 INDEX	Various world markets	Broad
GWL	Trust	Investment Company Units	S&P Developed Ex-US BMI	Various world markets	Broad
GWO	Corporation	Notes	CS Glob Warm Index	Various world markets	Broad
GWX	Trust	Investment Company Units	S&P Developed Ex-U.S. BMI Unde	Various world markets	Broad

ATTACHMENT TO FORM 19b-4(e)
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GXC	Trust	Investment Company Units	S&P China BMI	Various world markets	Broad
GXF	Trust	Investment Company Units	FTSE Nordic 30 Index	Various world markets	Broad
GXG	Trust	Investment Company Units	FTSE Colombia20 Index	Various world markets	Broad



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October 22, 2010

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four hundred twenty-one (421) derivative securities products that commenced trading on BATS Y-Exchange on October 18, 2010. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 25 2010