

091-099812m

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2010
Estimated average burden hours per response.....	3.60

RECEIVED
2010 OCT 28 11 3:26
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
SEC / TM

UNITED STATES

Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



11030209

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: October 22, 2010

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	OCT 25 2010

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 20, 2010

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument	(5) Broad or Narrow
				Trades	
HAO	Trust	Investment Company Units	AlphaShares Small Cap	Various U.S. markets	Broad
HAP	Trust	Investment Company Units	Rogers-Van Eck TR	Various world markets	Broad
HEDJ	Trust	Investment Company Units	WisdomTree DEFA International Hedged Equity Index (TR)	Various world markets	Broad
HGI	Trust	Investment Company Units	Zack Intl Yd Hog	Various world markets	Broad
HHH	Trust	Investment Company Units	AMEX INTERNET HOLDERS IDX	Various world markets	Broad
HHK	Trust	Investment Company Units	HEALTHSHARES CANCER INDX	Various world markets	Broad
HHV	Trust	Investment Company Units	HEALTHSHARES ENABLE TECH	Various world markets	Broad
HKG	Trust	Investment Company Units	HANG SENG 100 INDEX	Various world markets	Broad
HRJ	Trust	Investment Company Units	HEALTHSHARES EURO DRUGS	Various world markets	Broad
HYD	Trust	Investment Company Units	BarCap Municipal Custom HY Composite Total Return Index Value Unhedged	Various U.S. markets	Broad
HYG	Trust	Investment Company Units	iBoxx \$ Liquid High Yield Index	Various U.S. markets	Broad
IAH	Trust	Investment Company Units	AMEX INET ARCH. HLDRS IX	Various U.S. markets	Broad
IAI	Trust	Investment Company Units	DJ USSELLvSrv	Various U.S. markets	Broad
IAK	Trust	Investment Company Units	DJ USSELLnsur	Various U.S. markets	Broad
IAT	Trust	Investment Company Units	DJ USSELLRegBk	Various U.S. markets	Broad
IAU	Trust	Investment Company Units	Gold	Various world markets	Narrow
IBB	Trust	Investment Company Units	NASDAQ BIOTECH INDEX	Various U.S. markets	Broad
IBND	Trust	Investment Company Units	Barclays Capital Global Aggregate ex-USD > \$1B: Corporate Bond Index	Various U.S. markets	Broad
ICF	Trust	Investment Company Units	C & S REALTY MAJ PRTF IX	Various U.S. markets	Broad
ICI	Corporation	Notes	Barclays Intelligent Carry USD	Various world markets	Broad
ICLN	Trust	Investment Company Units	S&P Global Clean Energy Index TR	Various U.S. markets	Broad
ICN	Trust	Investment Company Units	Indian Rupee	Various world markets	Narrow
IDU	Trust	Investment Company Units	DJ US UTILITIES	Various U.S. markets	Broad
IDV	Trust	Investment Company Units	DJ EPAC SelDivTr	Various U.S. markets	Broad
IDX	Trust	Investment Company Units	MV Indonesia TR	Various world markets	Broad
IEF	Trust	Investment Company Units	Barclays Capital U.S. 7-10 Year Treasury Bond Index	Various U.S. markets	Broad
IEI	Trust	Investment Company Units	Barclays Capital U.S. 3-7 Year Treasury Bond Index	Various U.S. markets	Broad
IEO	Trust	Investment Company Units	DJ USSELOilEqPr	Various U.S. markets	Broad
IEV	Trust	Investment Company Units	Standard & Poors Europe 350 Net TR	Various world markets	Broad
IEZ	Trust	Investment Company Units	DJ USSELOilEqSr	Various U.S. markets	Broad
IFAS	Trust	Investment Company Units	FTSE E/N Dev Asia	Various world markets	Broad
IFEU	Trust	Investment Company Units	FTSE E/N Dev Europe	Various world markets	Broad
IFGL	Trust	Investment Company Units	EPRA/NAREIT Dev TR USD	Various U.S. markets	Broad
IFNA	Trust	Investment Company Units	FTSE E/N North America	Various world markets	Broad
IFSM	Trust	Investment Company Units	FTSE DEV SC ex N.A. INDX	Various world markets	Broad
IGE	Trust	Investment Company Units	S&P N AMER NAT RES	Various U.S. markets	Broad
IGF	Trust	Investment Company Units	S&P GLOBAL INFRASTRUCTUR	Various world markets	Broad
IGM	Trust	Investment Company Units	S&P N Am Tech Sector Ind	Various U.S. markets	Broad
IGN	Trust	Investment Company Units	S&P N AM TEC MMED NET IN	Various U.S. markets	Broad
IGOV	Trust	Investment Company Units	S&P/Citigroup International Treasury Bond Index Ex-US	Various world markets	Broad
IGV	Trust	Investment Company Units	S&P N AM TECH SOFT INDEX	Various U.S. markets	Broad
IGW	Trust	Investment Company Units	PHILA SEMICONDUCTOR INDX	Various U.S. markets	Broad
IHE	Trust	Investment Company Units	DJ USSELPharm	Various U.S. markets	Broad
IHF	Trust	Investment Company Units	DJ USSELHlthCrPr	Various U.S. markets	Broad
IHI	Trust	Investment Company Units	DJ USSELMedEqp	Various U.S. markets	Broad
IIH	Trust	Investment Company Units	AMEX INTRNT INFRST HLDRS	Various U.S. markets	Broad
IJJ	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
IJJ	Trust	Investment Company Units	S&P MidCap 400 Value	Various U.S. markets	Broad
IJK	Trust	Investment Company Units	S&P MidCap 400 Growth	Various U.S. markets	Broad
IJR	Trust	Investment Company Units	S&P 600 SMALLCAP INDEX	Various U.S. markets	Broad
IJS	Trust	Investment Company Units	S&P SmallCap 600 Value	Various U.S. markets	Broad
IJT	Trust	Investment Company Units	S&P SmallCap 600 Growth	Various U.S. markets	Broad
ILF	Trust	Investment Company Units	S&P LAC 40 Net TR	Various U.S. markets	Broad
INDL	Trust	Investment Company Units	Indus India Index	Various world markets	Broad
INDY	Trust	Investment Company Units	India National Stock Exchange CNX Nifty Index Total Returns	Various world markets	Broad
INDZ	Trust	Investment Company Units	Indus India Index	Various world markets	Broad
INP	Corporation	Notes	MSCI Daily TR Net Emerging Markets India USD	Various world markets	Broad
INR	Corporation	Notes	S&P Indian Rupee TR	Various world markets	Narrow
INXX	Trust	Investment Company Units	INDXX India Infrastructure Index Gr TR	Various U.S. markets	Broad
INY	Trust	Investment Company Units	Barclays Capital Managed Money Municipal New York Index	Various U.S. markets	Broad
IOO	Trust	Investment Company Units	Standard & Poors Global 100 Net TR	Various world markets	Broad
IPD	Trust	Investment Company Units	S&P BMI Wld ex US ConsD	Various world markets	Broad
IPE	Trust	Investment Company Units	BarCap US Inflation Linked Bonds TR	Various U.S. markets	Broad
IPF	Trust	Investment Company Units	S&P BMI Wld ex US Fincl	Various world markets	Broad
IPK	Trust	Investment Company Units	S&P BMI Wld ex US InfoTe	Various world markets	Broad
IPN	Trust	Investment Company Units	S&P BMI Wld ex US Indus	Various world markets	Broad
IPS	Trust	Investment Company Units	S&P BMI Wld ex US ConsS	Various world markets	Broad
IPU	Trust	Investment Company Units	S&P BMI Wld ex Utilit	Various world markets	Broad
IPW	Trust	Investment Company Units	S&P BMI World ex US Ener	Various world markets	Broad
IRO	Trust	Investment Company Units	ZACKS DIVIDEND ROTATION	Various world markets	Broad
IRV	Trust	Investment Company Units	S&P BMI World ex US Mat	Various world markets	Broad
IRY	Trust	Investment Company Units	S&P BMI Wld ex US HlthCa	Various world markets	Broad
ISHG	Trust	Investment Company Units	S&P/Citigroup International Treasury Bond Index Ex- US 1-3Year	Various U.S. markets	Broad
ISI	Trust	Investment Company Units	S&P COMPOSITE 1500 INDEX	Various U.S. markets	Broad
IST	Trust	Investment Company Units	S&P BMI Wld ex US Telec	Various world markets	Broad
ITA	Trust	Investment Company Units	DJ USSELAeroDef	Various U.S. markets	Broad
ITB	Trust	Investment Company Units	DJ USSELHomeCons	Various U.S. markets	Broad
ITE	Trust	Investment Company Units	Barclays Capital Intermediate U.S. Treasury Index	Various U.S. markets	Broad
ITF	Trust	Investment Company Units	Standard & Poors/Topix 150 Net TR	Various U.S. markets	Broad
ITM	Trust	Investment Company Units	Barclays Capital AMT-Free Intermediate Continuous Municipal Index	Various U.S. markets	Broad
ITR	Trust	Investment Company Units	Barclays Capital U.S. Intermediate Credit Index	Various U.S. markets	Broad
IVE	Trust	Investment Company Units	S&P 500 Value	Various U.S. markets	Broad

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 20, 2010

IVOG	Trust	Investment Company Units	S&P MidCap 400 Growth	Various U.S. markets	Broad
IVOO	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
IVOV	Trust	Investment Company Units	S&P MidCap 400 Value	Various U.S. markets	Broad
IIV	Trust	Investment Company Units	S&P 500 INDEX	Various U.S. markets	Broad
IWW	Trust	Investment Company Units	S&P 500 Growth	Various U.S. markets	Broad
IWB	Trust	Investment Company Units	RUSSELL 1000 INDEX	Various U.S. markets	Broad
IWC	Trust	Investment Company Units	RUSSELL MICROCAP	Various U.S. markets	Broad
IWD	Trust	Investment Company Units	RUSSELL 1000 VALUE INDEX	Various U.S. markets	Broad
IWF	Trust	Investment Company Units	RUSSELL 1000 GROWTH INDX	Various U.S. markets	Broad
IWL	Trust	Investment Company Units	Russell Top 200 Index Total Return	Various U.S. markets	Broad
IWM	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
IWN	Trust	Investment Company Units	RUSSELL 2000 VALUE IDX	Various U.S. markets	Broad
IWO	Trust	Investment Company Units	RUSSELL 2000 GROWTH IDX	Various U.S. markets	Broad
IWP	Trust	Investment Company Units	RUSSELL MIDCAP GROWTH IX	Various U.S. markets	Broad
IWR	Trust	Investment Company Units	RUSSELL MIDCAP INDEX	Various U.S. markets	Broad
IWS	Trust	Investment Company Units	RUSSELL MIDCAP VALUE IX	Various U.S. markets	Broad
IWV	Trust	Investment Company Units	RUSSELL 3000 INDEX	Various U.S. markets	Broad
IWW	Trust	Investment Company Units	RUSSELL 3000 VALUE IDX	Various U.S. markets	Broad
IWX	Trust	Investment Company Units	Russell Top 200 Value Index Total Return	Various U.S. markets	Broad
IWY	Trust	Investment Company Units	Russell Top 200 Growth Index Total Return	Various U.S. markets	Broad
IWZ	Trust	Investment Company Units	RUSSELL 3000 GROWTH IDX	Various U.S. markets	Broad
IXC	Trust	Investment Company Units	GLOBAL 1200 ENERGY	Various world markets	Broad
IXG	Trust	Investment Company Units	GLOBAL 1200 FINANCIAL	Various world markets	Broad
IXJ	Trust	Investment Company Units	GLOBAL 1200 HEALTH CARE	Various world markets	Broad
IXN	Trust	Investment Company Units	GLOBAL 1200 INFO TECH	Various world markets	Broad
IXP	Trust	Investment Company Units	GLOBAL 1200 TELECOM SRVS	Various world markets	Broad
IYC	Trust	Investment Company Units	DJ US ConsumSrv	Various U.S. markets	Broad
IYE	Trust	Investment Company Units	DJ US Oil&Gas	Various U.S. markets	Broad
IYF	Trust	Investment Company Units	DJ US Financials	Various U.S. markets	Broad
IYG	Trust	Investment Company Units	DJ US FINANCIAL SERV IDX	Various U.S. markets	Broad
IYH	Trust	Investment Company Units	DJ US HlthCare	Various U.S. markets	Broad
IYJ	Trust	Investment Company Units	DJ US Industrials	Various U.S. markets	Broad
IYK	Trust	Investment Company Units	DJ US ConsumGds	Various U.S. markets	Broad
IYM	Trust	Investment Company Units	DJ US BasicMat	Various U.S. markets	Broad
IYR	Trust	Investment Company Units	DJ US REAL ESTATE INDEX	Various U.S. markets	Broad
IYT	Trust	Investment Company Units	DOW JONES TRANS. AVG	Various U.S. markets	Broad
IYW	Trust	Investment Company Units	DJ US TECHNOLOGY	Various U.S. markets	Broad
IYY	Trust	Investment Company Units	DJ US INDEX	Various U.S. markets	Broad
IYZ	Trust	Investment Company Units	DJUSelTelcomT	Various U.S. markets	Broad
JCO	Trust	Investment Company Units	DJUSCont	Various U.S. markets	Broad
JEM	Corporation	Notes	Barclays GEMS USD Index	Various world markets	Broad
JFT	Corporation	Notes	FIRST TRUST 130/30INDEX	Various U.S. markets	Broad
JJA	Corporation	Notes	DJUBS Agri TR	Various U.S. markets	Broad
JJC	Corporation	Notes	DJUBS CopperTR	Various U.S. markets	Broad
JJE	Corporation	Notes	DJUBS EnE	Various U.S. markets	Broad
JJG	Corporation	Notes	DJUBS GrainsT	Various U.S. markets	Broad
JJM	Corporation	Notes	DJUBS IndMT	Various U.S. markets	Broad
JJN	Corporation	Notes	DJUBS NickelTR	Various world markets	Broad
JJP	Corporation	Notes	DJUBS PrcMT	Various U.S. markets	Broad
JJS	Corporation	Notes	DJUBS SftsT	Various U.S. markets	Broad
JJT	Corporation	Notes	DJUBS TinTR	Various world markets	Broad
JJU	Corporation	Notes	DJUBS AluminTR	Various world markets	Broad
JKD	Trust	Investment Company Units	MORNINGSTAR LRG CORE IX	Various world markets	Broad
JKE	Trust	Investment Company Units	MORNINGSTAR LRG GRTH IX	Various world markets	Broad
JKF	Trust	Investment Company Units	MORNINGSTAR LRG VALU IX	Various world markets	Broad
JKG	Trust	Investment Company Units	MORNINGSTAR MID CORE IX	Various world markets	Broad
JKH	Trust	Investment Company Units	MORNINGSTAR MID GRTH IX	Various world markets	Broad
JKI	Trust	Investment Company Units	MORNINGSTAR MID VALU IX	Various world markets	Broad
JKJ	Trust	Investment Company Units	MORNINGSTAR SML CORE IX	Various world markets	Broad
JKK	Trust	Investment Company Units	MORNINGSTAR SML GRTH IX	Various world markets	Broad
JKL	Trust	Investment Company Units	MORNINGSTAR SML VALU IX	Various world markets	Broad
JNK	Trust	Investment Company Units	Barclays Capital High Yield Very Liquid Index	Various U.S. markets	Broad
JPP	Trust	Investment Company Units	RUSSELL/NOMURA PRIME IX	Various world markets	Broad
JPX	Trust	Investment Company Units	MSCI PACIFIC x JAPAN	Various world markets	Broad
JRE	Trust	Investment Company Units	Tokyo Stock Exchange REIT Index Dividend Included	Various world markets	Broad
JSC	Trust	Investment Company Units	RUSSELL/NOMURA SMALL CAP	Various U.S. markets	Broad
JVS	Trust	Investment Company Units	DJIMIntTns100	Various world markets	Broad
JXI	Trust	Investment Company Units	GLOBAL 1200 UTILITIES IX	Various world markets	Broad
JYF	Trust	Investment Company Units	Japanese Yen	Various world markets	Narrow
JYN	Corporation	Notes	Japanese Yen to US Dollar Exchange Rate	Various world markets	Narrow
KBE	Trust	Investment Company Units	KBW BANK INDEX	Various U.S. markets	Broad
KCE	Trust	Investment Company Units	KBW CAPITAL MARKETS INDEX	Various U.S. markets	Broad
KIE	Trust	Investment Company Units	KBW INSURANCE INDEX	Various U.S. markets	Broad
KLD	Trust	Investment Company Units	MSCI USA ESG Select Gros	Various U.S. markets	Broad
KME	Trust	Investment Company Units	KBWMrtgFinanceTR	Various U.S. markets	Broad
KOL	Trust	Investment Company Units	Stowe GIBI Coal TR	Various U.S. markets	Broad
KRE	Trust	Investment Company Units	KBW REGIONAL BANKING INX	Various U.S. markets	Broad
KROO	Trust	Investment Company Units	IQ Australia Small Cap Index TR	Various U.S. markets	Broad
KRS	Trust	Investment Company Units	KBW REGIONAL BANKING INX	Various U.S. markets	Broad
KRU	Trust	Investment Company Units	KBW REGIONAL BANKING INX	Various U.S. markets	Broad
KWT	Trust	Investment Company Units	Ardour Solar TR	Various U.S. markets	Broad
KXI	Trust	Investment Company Units	GLOBAL 1200 CONS STAP IX	Various world markets	Broad
LAG	Trust	Investment Company Units	BarCap US Agg Total Return Value Unhedged USD	Various U.S. markets	Broad
LATM	Trust	Investment Company Units	MV LatAm Small-Cap TR	Various world markets	Broad

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 20, 2010

LBJ	Trust	Investment Company Units	S&P LAC 40 Net TR	Various U.S. markets	Broad
LBND	Corporation	Notes	DB Long US Treasury Bond Futures Index	Various U.S. markets	Broad
LD	Corporation	Notes	DJUBS LeadTR	Various world markets	Broad
LDN	Trust	Investment Company Units	FTSE 100 INDEX	Various world markets	Broad
LHB	Trust	Investment Company Units	S&P LAC 40 Net TR	Various U.S. markets	Broad
LIT	Trust	Investment Company Units	Solactive Global Lithium	Various world markets	Broad
LQD	Trust	Investment Company Units	iBoxx \$ Liquid Investment Grade Index	Various U.S. markets	Broad
LSC	Corporation	Notes	S&P CndyTrendIndISpotTR	Various U.S. markets	Broad
LTL	Trust	Investment Company Units	DJUSSElTelcom	Various U.S. markets	Broad
LTPZ	Trust	Investment Company Units	The BofA Merrill Lynch 15+ Year US Inflation-Linked Treasury Index	Various U.S. markets	Broad
LVL	Trust	Investment Company Units	S&P Global Divid	Various world markets	Broad
LWC	Trust	Investment Company Units	Barclays Capital U.S. Long Credit Index	Various U.S. markets	Broad
MBB	Trust	Investment Company Units	Barclays Capital U.S. MBS Index	Various U.S. markets	Broad
MBG	Trust	Investment Company Units	Barclays Capital U.S. MBS Index	Various U.S. markets	Broad
MCRO	Trust	Investment Company Units	IQ Hedge Macro Index (TR)	Various world markets	Broad
MDY	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
MES	Trust	Investment Company Units	DJ GCC 40T	Various world markets	Broad
MGC	Trust	Investment Company Units	MSCI US LARGE	Various U.S. markets	Broad
MGK	Trust	Investment Company Units	MSCI US LAR GR	Various U.S. markets	Broad
MGV	Trust	Investment Company Units	MSCI US LAR VA	Various U.S. markets	Broad
MINT	Trust	Investment Company Units	Citigroup 3 Month Treas Bill Local Currency	Various U.S. markets	Broad
MKH	Trust	Investment Company Units	AMEX MKT 2000 HOLDERS IDX	Various U.S. markets	Broad
MLN	Trust	Investment Company Units	Barclays Capital AMT-Free Long Continuous Municipal Index	Various U.S. markets	Broad
MLPG	Corporation	Notes	Alerian Natural Gas MLP	Various world markets	Broad
MLPI	Corporation	Notes	ALERIAN MLP INFRAST	Various world markets	Broad
MLPL	Corporation	Notes	ALERIAN MLP INFRAST	Various world markets	Broad
MLPN	Corporation	Notes	Cushing 30 MLP	Various U.S. markets	Broad
MNA	Trust	Investment Company Units	IQ ARB Merger Arbitrage Index TR	Various world markets	Broad
MOO	Trust	Investment Company Units	DAXGIBl AgriBus Perf USD	Various world markets	Broad
MTK	Trust	Investment Company Units	MORGAN STANLEY TECH INDX	Various U.S. markets	Broad
MUAA	Trust	Investment Company Units	S&P AMT-Free Municipal Series 2012 Index Total Return	Various U.S. markets	Broad
MUAB	Trust	Investment Company Units	S&P AMT-Free Municipal Series 2013 Index Total Return	Various U.S. markets	Broad
MUAC	Trust	Investment Company Units	S&P AMT-Free Municipal Series 2014 Index Total Return	Various U.S. markets	Broad
MUAD	Trust	Investment Company Units	S&P AMT-Free Municipal Series 2015 Index Total Return	Various U.S. markets	Broad
MUAE	Trust	Investment Company Units	S&P AMT-Free Municipal Series 2016 Index Total Return	Various U.S. markets	Broad
MUAF	Trust	Investment Company Units	S&P AMT-Free Municipal Series 2017 Index Total Return	Various U.S. markets	Broad
MUB	Trust	Investment Company Units	S&P National AMT-Free Municipal Bond Index TR	Various U.S. markets	Broad
MUNI	Trust	Investment Company Units	Actively managed fund	Various U.S. markets	Broad
MVV	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
MWJ	Trust	Investment Company Units	RUSSELL MIDCAP INDEX	Various U.S. markets	Broad
MWN	Trust	Investment Company Units	RUSSELL MIDCAP INDEX	Various U.S. markets	Broad
MXI	Trust	Investment Company Units	GLOBAL 1200 MATERIAL INX	Various world markets	Broad
MYI	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
MZG	Trust	Investment Company Units	Mornstar Manu Sup Sec TR	Various U.S. markets	Broad
MZN	Trust	Investment Company Units	Mornstar Info Sup Sec TR	Various U.S. markets	Broad
MZO	Trust	Investment Company Units	Mornstar Serv Sup Sec TR	Various U.S. markets	Broad
MZZ	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
NASI	Trust	Investment Company Units	MSCI North America ESG	Various world markets	Broad
NFO	Trust	Investment Company Units	SABRIENT INSIDER SENTMT	Various U.S. markets	Broad
NLR	Trust	Investment Company Units	DAXGIBl NucErgy Perf USD	Various world markets	Broad
NUCL	Trust	Investment Company Units	S&P Global Nuclear Energy Index Total Return USD	Various world markets	Broad
NXT	Trust	Investment Company Units	NYSE ARCA TECH 100 INDEX	Various U.S. markets	Broad
NY	Trust	Investment Company Units	NYSE U.S. 100 INDEX	Various U.S. markets	Broad
NYC	Trust	Investment Company Units	NYSE COMPOSITE INDEX	Various U.S. markets	Broad
NYF	Trust	Investment Company Units	S&P New York AMT-Free Municipal Bond Index TR	Various U.S. markets	Broad
OEF	Trust	Investment Company Units	S&P 100 INDEX	Various U.S. markets	Broad
OIH	Trust	Investment Company Units	AMEX OIL SERV HOLDERS IDX	Various U.S. markets	Broad
OIL	Corporation	Notes	S&P GSCI Crude Oil T Ret	Various world markets	Broad
OLO	Corporation	Notes	DBLCl-OY CRUDE OIL INDEX	Various world markets	Broad
ONEF	Trust	Investment Company Units	Actively managed fund	Various world markets	Broad
OOK	Trust	Investment Company Units	SPADE Oklahoma	Various U.S. markets	Broad
OTP	Trust	Investment Company Units	OCEAN TOMO 300 PATENT	Various U.S. markets	Broad
OTR	Trust	Investment Company Units	OCEAN TOMO 300 PATENT GR	Various U.S. markets	Broad
PAF	Trust	Investment Company Units	FTSE RAFI Dev AP x Jpn	Various world markets	Broad
PAGG	Trust	Investment Company Units	NASDAQ OMX GLOBAL AGRI	Various world markets	Broad
PALL	Trust	Investment Company Units	London Platinum and Palladium Market Palladium PM Fix Price/USD	Various world markets	Narrow
PAO	Trust	Investment Company Units	RiverFront GIB T Bal&Grw	Various world markets	Broad
PBD	Trust	Investment Company Units	WidrHil USD	Various U.S. markets	Broad
PBE	Trust	Investment Company Units	DYNAMIC BIO&G INTELLIDEX	Various U.S. markets	Broad
PBJ	Trust	Investment Company Units	DYNAMIC F&BEV INTELLIDEX	Various U.S. markets	Broad
PBP	Trust	Investment Company Units	CBOE BUYWRITE MONTHLY IX	Various U.S. markets	Broad
PBS	Trust	Investment Company Units	DYNAMIC MEDIA INTELLIDEX	Various U.S. markets	Broad
PBTQ	Trust	Investment Company Units	NASDAQ OMX GIB Biotech	Various world markets	Broad
PBW	Trust	Investment Company Units	WILDERHILL CLEAN ENER IX	Various U.S. markets	Broad
PCA	Trust	Investment Company Units	RiverFront GIB T Grw&Inc	Various world markets	Broad
PCEF	Trust	Investment Company Units	S-Network Comp CEF Index	Various U.S. markets	Broad
PCY	Trust	Investment Company Units	DBIQ Emerging Markets USD Liquid Balanced Index	Various world markets	Broad
PDN	Trust	Investment Company Units	FTSE RAFI Developed ex US Mid Small 1500 Index	Various U.S. markets	Broad
PDP	Trust	Investment Company Units	Dorsey Wright Technical Leaders Index	Various U.S. markets	Broad
PEF	Trust	Investment Company Units	FTSE RAFI Europe Index	Various world markets	Broad
PEH	Trust	Investment Company Units	QSG Europe	Various world markets	Broad
PEJ	Trust	Investment Company Units	DYNAMIC L&ENT INTELLIDEX	Various U.S. markets	Broad
PEY	Trust	Investment Company Units	MERGENT DIVIDEND ACHV 50	Various U.S. markets	Broad
PEZ	Trust	Investment Company Units	DYNAMIC CN DS INTELLIDEX	Various world markets	Broad

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 20, 2010

PFA	Trust	Investment Company Units	QSG DvIntOpp	Various world markets	Broad
PF	Trust	Investment Company Units	S&P PREFER STOCK IX CME	Various U.S. markets	Broad
PF1	Trust	Investment Company Units	DYNAMIC FINCL INTELLIDEX	Various world markets	Broad
PFM	Trust	Investment Company Units	AMEX DIVIDEND ACHIEVE IX	Various U.S. markets	Broad
PF	Trust	Investment Company Units	RED ROCKS ITNL LST PR EQ	Various world markets	Broad
PGD	Corporation	Notes	Barclays Capital GEMS Pegged Currency Index	Various world markets	Broad
PGF	Trust	Investment Company Units	WF Hyb & Pfd Financial	Various U.S. markets	Broad
PGJ	Trust	Investment Company Units	USX CHINA INDEX	Various U.S. markets	Broad
PGM	Corporation	Notes	DJUBS PlatinumTR	Various world markets	Broad
PGX	Trust	Investment Company Units	Merrill Lynch Fixed Rate Preferred Securities Index	Various world markets	Broad
PHB	Trust	Investment Company Units	RAFI High Yield Bond Idx	Various world markets	Broad
PHJ	Trust	Investment Company Units	MERGENT HGR DIV ACHVR IX	Various U.S. markets	Broad
PHO	Trust	Investment Company Units	PALISADES WATER INDEX	Various U.S. markets	Broad
PHW	Trust	Investment Company Units	DYNAMIC H&CEL INTELLIDEX	Various world markets	Broad
PIC	Trust	Investment Company Units	DYNAMIC INSUR INTELLIDEX	Various world markets	Broad
PICB	Trust	Investment Company Units	S&P International Corporate Bond Index Total Return	Various world markets	Broad
PID	Trust	Investment Company Units	MERGENT INT DIV ACHVR IX	Various U.S. markets	Broad
PIE	Trust	Investment Company Units	DWA International Developed Markets Technical Leaders Index (TR)	Various world markets	Broad
PIN	Trust	Investment Company Units	Indus India Index	Various world markets	Broad
PIO	Trust	Investment Company Units	PALISADES GL WATER INDEX	Various U.S. markets	Broad
PIQ	Trust	Investment Company Units	DYNAMIC TOP 200 INTELL	Various U.S. markets	Broad
PIV	Trust	Investment Company Units	S&P 500 High Quality Rankings Index Total Return	Various U.S. markets	Broad
PIZ	Trust	Investment Company Units	DWA International Developed Markets Technical Leaders Index (TR)	Various world markets	Broad
PJB	Trust	Investment Company Units	DYNAMIC BANKING INTELL	Various world markets	Broad
PJF	Trust	Investment Company Units	DYNAMIC LARGE CAP INTELL	Various world markets	Broad
PJG	Trust	Investment Company Units	DYNAMIC MID CAP INTELL	Various world markets	Broad
PJM	Trust	Investment Company Units	DYNAMIC SMALL CAP INTELL	Various world markets	Broad
PJO	Trust	Investment Company Units	FTSE RAFI JAPAN INDEX	Various world markets	Broad
PJP	Trust	Investment Company Units	DYNAMIC PHARM INTELLIDEX	Various U.S. markets	Broad
PKB	Trust	Investment Company Units	DYNAMIC BLG&C INTELLIDEX	Various world markets	Broad
PKN	Trust	Investment Company Units	WNA Nuclear	Various U.S. markets	Broad
PKOL	Trust	Investment Company Units	NASDAQ OMX Glb Coal	Various world markets	Broad
PKW	Trust	Investment Company Units	SHARE BUYBACK ACHIEVERS	Various U.S. markets	Broad
PLK	Trust	Investment Company Units	Lehman Brothers 1-3 Year U.S. Treasury Index	Various U.S. markets	Broad
PLND	Trust	Investment Company Units	MV Poland TR Index	Various world markets	Broad
PLTM	Trust	Investment Company Units	ISE Global Platinum Indx	Various U.S. markets	Broad
PLW	Trust	Investment Company Units	RYAN/MERGENT 1-30Y TSYLD	Various U.S. markets	Broad
PMNA	Trust	Investment Company Units	NASDAQ OMX MidEastNAfric	Various world markets	Broad
PMR	Trust	Investment Company Units	DYNAMIC RETL INTELLIDEX	Various world markets	Broad
PMY	Corporation	Notes	Merrill Lynch Commodity index eXtra (Precious metals Plus) Total Return Index	Various world markets	Broad
PNQI	Trust	Investment Company Units	NASDAQ INTERNET INDEX	Various U.S. markets	Broad
PNXQ	Trust	Investment Company Units	ASDAQ Q-50 Index	Various U.S. markets	Broad
PPA	Trust	Investment Company Units	SPADEFENSE INDEX	Various U.S. markets	Broad
PPH	Trust	Investment Company Units	AMEX PHARM HOLDERS INDEX	Various U.S. markets	Broad
PPLT	Trust	Investment Company Units	London Platinum & Palladium Market Platinum PM Fix USD	Various world markets	Narrow
PQBW	Trust	Investment Company Units	CBOE NDX 100 BUYWRITE IX	Various U.S. markets	Broad
PQSC	Trust	Investment Company Units	FTSE NASD SMALL CAP IX	Various U.S. markets	Broad
PRB	Trust	Investment Company Units	BarCap Muni Prerefunded - TSY Escrowed Index Total Return Index Value	Various U.S. markets	Broad
PRF	Trust	Investment Company Units	FTSE RAFI US 1000 Index	Various U.S. markets	Broad
PRFE	Trust	Investment Company Units	FTSE RAFI US Oil and Gas	Various U.S. markets	Broad
PRFF	Trust	Investment Company Units	FTSE RAFI US Financials	Various U.S. markets	Broad
PRFG	Trust	Investment Company Units	FTSE RAFI US Cons Goods	Various U.S. markets	Broad
PRFH	Trust	Investment Company Units	FTSE RAFI US Health Care	Various U.S. markets	Broad
PRFM	Trust	Investment Company Units	FTSE RAFI US Basic Mater	Various U.S. markets	Broad
PRFN	Trust	Investment Company Units	FTSE RAFI US Industrials	Various U.S. markets	Broad
PRFQ	Trust	Investment Company Units	FTSE RAFI US Tele Tech	Various U.S. markets	Broad
PRFS	Trust	Investment Company Units	FTSE RAFI US Consume Srv	Various U.S. markets	Broad
PRFU	Trust	Investment Company Units	FTSE RAFI US Utilities	Various U.S. markets	Broad
PRFZ	Trust	Investment Company Units	FTSE RAFI US 1500	Various U.S. markets	Broad
PRN	Trust	Investment Company Units	DYNAMIC INDUS INTELLIDEX	Various world markets	Broad
PSAU	Trust	Investment Company Units	NASDAQ OMX Glb Gold & PM	Various world markets	Broad
PSJ	Trust	Investment Company Units	DYNAMIC SFTWR INTELLIDEX	Various U.S. markets	Broad
PSK	Trust	Investment Company Units	Wells Fargo Hyb & Pfd	Various world markets	Broad
PSL	Trust	Investment Company Units	DYNAMIC CN ST INTELLIDEX	Various world markets	Broad
PSP	Trust	Investment Company Units	Global Listed Private Equity Index (USD)	Various world markets	Broad
PSQ	Trust	Investment Company Units	NASDAQ 100 STOCK INDX	Various U.S. markets	Broad
PSR	Trust	Investment Company Units	FTSE NAREIT EQTY REITS	Various U.S. markets	Broad
PST	Trust	Investment Company Units	Barclays Capital 7-10 Year U.S. Treasury Bond Index	Various U.S. markets	Broad
PSTL	Trust	Investment Company Units	NASDAQ OMX Glb Steel	Various world markets	Broad
PTD	Corporation	Notes	UBS Bloomberg CICI Components USD Excess Return Platinum	Various world markets	Broad
PTE	Trust	Investment Company Units	DYNAMIC TL&W INTELLIDEX	Various world markets	Broad
PTF	Trust	Investment Company Units	DYNAMIC TECHN INTELLIDEX	Various world markets	Broad
PTH	Trust	Investment Company Units	DYNAMIC HLTHC INTELLIDEX	Various world markets	Broad
PTJ	Trust	Investment Company Units	DYNAMIC HLTHCARE INTELL	Various world markets	Broad
PTM	Corporation	Notes	UBS Bloomberg CICI Components USD Total Return Platinum	Various world markets	Broad
PTO	Trust	Investment Company Units	New Frontier Global Dynamic Growth Index	Various world markets	Broad
PTRP	Trust	Investment Company Units	NASDAQ OMX G Energy Tran	Various world markets	Broad
PUA	Trust	Investment Company Units	QSG APOpp	Various world markets	Broad
PUI	Trust	Investment Company Units	DYNAMIC UTIL INTELLIDEX	Various world markets	Broad
PUW	Trust	Investment Company Units	WILDERHILL PROGR ENERGY	Various world markets	Broad
PVI	Trust	Investment Company Units	Bloomberg US Municipal AMT Free Weekly VRDO Index	Various U.S. markets	Broad
PWB	Trust	Investment Company Units	DYNAMIC LC GR INTELLIDEX	Various U.S. markets	Broad
PWC	Trust	Investment Company Units	DYNAMIC MKT INTELLIDEX	Various U.S. markets	Broad
PWJ	Trust	Investment Company Units	DYNAMIC MC GR INTELLIDEX	Various U.S. markets	Broad

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 20, 2010

PWND	Trust	Investment Company Units	NASDAQ OMX CnEdgGibWEI	Various world markets	Broad
PWO	Trust	Investment Company Units	DYNAMIC OTC INTELLIDEX	Various U.S. markets	Broad
PWP	Trust	Investment Company Units	DYNAMIC MC VL INTELLIDEX	Various U.S. markets	Broad
PWT	Trust	Investment Company Units	DYNAMIC SC GR INTELLIDEX	Various U.S. markets	Broad
PWV	Trust	Investment Company Units	DYNAMIC LC VL INTELLIDEX	Various U.S. markets	Broad
PWY	Trust	Investment Company Units	DYNAMIC SC VL INTELLIDEX	Various U.S. markets	Broad
PWZ	Trust	Investment Company Units	Merrill Lynch California Insured Long-Term Core Municipal Securities Index	Various U.S. markets	Broad
PXE	Trust	Investment Company Units	DYNAMIC EEX&P INTELLIDEX	Various world markets	Broad
PFX	Trust	Investment Company Units	FTSE RAFI Dvlp X US 1000	Various world markets	Broad
PXH	Trust	Investment Company Units	FTSE RAFI Emerg Mkt Indx	Various world markets	Broad
PXI	Trust	Investment Company Units	DYNAMIC ENRGY INTELLIDEX	Various world markets	Broad
PXJ	Trust	Investment Company Units	DYNAMIC OILSV INTELLIDEX	Various world markets	Broad
PXN	Trust	Investment Company Units	LUX NANOTECH INDEX	Various world markets	Broad
PXQ	Trust	Investment Company Units	DYNAMIC NETWK INTELLIDEX	Various U.S. markets	Broad
PXR	Trust	Investment Company Units	S-Net Em Infra	Various world markets	Broad
PYH	Trust	Investment Company Units	VALUE LINE IND ROTATION	Various world markets	Broad
PYZ	Trust	Investment Company Units	DYNAMIC BS MT INTELLIDEX	Various world markets	Broad
PZA	Trust	Investment Company Units	Merrill Lynch Insured National Long-Term Core Municipal Securities Index	Various U.S. markets	Broad
PZD	Trust	Investment Company Units	CLEANTECH INDEX	Various world markets	Broad
PZI	Trust	Investment Company Units	ZACKS MICRO CAP INDEX	Various U.S. markets	Broad
PZJ	Trust	Investment Company Units	ZACKS SMALL CAP INDEX	Various U.S. markets	Broad
PZT	Trust	Investment Company Units	Merrill Lynch New York Insured Long-Term Core Municipal Securities Index	Various U.S. markets	Broad



RECEIVED
2010 OCT 25 PM 5:26
SEC / TN

October 22, 2010

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four hundred twenty-one (356) derivative securities products that commenced trading on BATS Y-Exchange on October 20, 2010. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 25 2010