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SECURITIES AND EXCHANGE COMMISSION
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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OCT 25 2010

FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



11030208

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Y-Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: October 22, 2010

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	OCT 25 2010

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 22, 2010

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
QABA	Trust	Investment Company Units	NASDAQ OMX ABA Comm Bk	Various U.S. markets	Broad
QAI	Trust	Investment Company Units	IQ Hedge Multi-Strategy Index Total Return	Various world markets	Broad
QCLN	Trust	Investment Company Units	NASDAQ LIQUID SERIES IND	Various U.S. markets	Broad
QID	Trust	Investment Company Units	NASDAQ 100 STOCK INDX	Various U.S. markets	Broad
QLD	Trust	Investment Company Units	NASDAQ 100 STOCK INDX	Various U.S. markets	Broad
QQEW	Trust	Investment Company Units	NASDAQ 100 EQL WGT INDX	Various U.S. markets	Broad
QQQQ	Trust	Investment Company Units	NASDAQ 100 STOCK INDX	Various U.S. markets	Broad
QOXT	Trust	Investment Company Units	NASDAQ-100 EX TECH INDX	Various U.S. markets	Broad
QTEC	Trust	Investment Company Units	NASDAQ-100 TECH SEC INDX	Various U.S. markets	Broad
RBL	Trust	Investment Company Units	S&P/Citigroup BMIRusCap	Various U.S. markets	Broad
RCD	Trust	Investment Company Units	S&P EQL WGT CONS DISCRET	Various U.S. markets	Broad
REA	Trust	Investment Company Units	AMEX ENERGY SELECT INDEX	Various U.S. markets	Broad
REC	Trust	Investment Company Units	AMEX ENERGY SELECT INDEX	Various U.S. markets	Broad
REK	Trust	Investment Company Units	DJ US REAL ESTATE INDEX	Various U.S. markets	Broad
REM	Trust	Investment Company Units	FTSE NAREIT AllMrtgCapTR	Various U.S. markets	Broad
RETL	Trust	Investment Company Units	R1 Retail SubSec Index	Various U.S. markets	Broad
RETS	Trust	Investment Company Units	R1 Retail SubSec Index	Various U.S. markets	Broad
REW	Trust	Investment Company Units	DJ US TECHNOLOGY	Various U.S. markets	Broad
REZ	Trust	Investment Company Units	FTSE NAREIT AllResCapTR	Various U.S. markets	Broad
RFF	Trust	Investment Company Units	Actively managed fund	Various world markets	Broad
RFG	Trust	Investment Company Units	S&P MidCap 400 Pure Grw	Various U.S. markets	Broad
RFL	Trust	Investment Company Units	AMEX FINCL SELECT INDEX	Various U.S. markets	Broad
RFN	Trust	Investment Company Units	AMEX FINCL SELECT INDEX	Various U.S. markets	Broad
RFV	Trust	Investment Company Units	S&P MidCap 400 Pure Valu	Various U.S. markets	Broad
RGI	Trust	Investment Company Units	S&P EQL WGT INDUSTRIALS	Various U.S. markets	Broad
RHM	Trust	Investment Company Units	AMEX HLTH CARE SELCET IX	Various U.S. markets	Broad
RHO	Trust	Investment Company Units	AMEX HLTH CARE SELCET IX	Various U.S. markets	Broad
RHS	Trust	Investment Company Units	S&P EQL WGT CONS STAPLES	Various U.S. markets	Broad
RJA	Corporation	Notes	Rogers International Commodity Index Agriculture Total Return	Various U.S. markets	Broad
RJI	Corporation	Notes	Rogers International Commodity Index Total Return	Various U.S. markets	Broad
RJN	Corporation	Notes	Rogers International Commodity Index Energy Total Return	Various U.S. markets	Broad
RJZ	Corporation	Notes	Rogers International Commodity Index Metals Total Return (ROGRIMTR)	Various U.S. markets	Broad
RKH	Trust	Investment Company Units	AMEX REG BANKS HOLDERS IX	Various U.S. markets	Broad
RMM	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
RMS	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
ROB	Trust	Investment Company Units	Robb Rpt Global Luxury	Various world markets	Broad
ROI	Trust	Investment Company Units	Wisdom Tree Large Cap Growth Index (TR)	Various U.S. markets	Broad
ROM	Trust	Investment Company Units	DJ US TECHNOLOGY	Various U.S. markets	Broad
RPG	Trust	Investment Company Units	S&P 500 Pure Growth	Various U.S. markets	Broad
RPQ	Trust	Investment Company Units	Actively managed fund	Various world markets	Broad
RPV	Trust	Investment Company Units	S&P 500 Pure Value	Various U.S. markets	Broad
RPX	Trust	Investment Company Units	Actively managed fund	Various world markets	Broad
RRY	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
RRZ	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
RSP	Trust	Investment Company Units	S&P EQUAL WEIGHT INDEX	Various U.S. markets	Broad
RSU	Trust	Investment Company Units	S&P 500 INDEX	Various U.S. markets	Broad
RSW	Trust	Investment Company Units	S&P 500 INDEX	Various U.S. markets	Broad
RSX	Trust	Investment Company Units	DAXglobal Russia+ USD TR	Various world markets	Broad
RTG	Trust	Investment Company Units	AMEX TECH SELECT INDEX	Various U.S. markets	Broad
RTH	Trust	Investment Company Units	AMEX RETAIL HOLDERS INDEX	Various U.S. markets	Broad
RTL	Trust	Investment Company Units	FTSE NAREIT RetailCapTR	Various U.S. markets	Broad
RTM	Trust	Investment Company Units	S&P EQL WGT MATERIALS	Various U.S. markets	Broad
RTR	Trust	Investment Company Units	S&P ADR INDEX	Various U.S. markets	Broad
RTW	Trust	Investment Company Units	AMEX TECH SELECT INDEX	Various U.S. markets	Broad
RWG	Trust	Investment Company Units	Actively managed fund	Various world markets	Broad
RWJ	Trust	Investment Company Units	S&P SmallCap 600 Index	Various U.S. markets	Broad
RWK	Trust	Investment Company Units	S&P MidCap 400 Index	Various U.S. markets	Broad
RWL	Trust	Investment Company Units	S&P 500 Index	Various U.S. markets	Broad
RWM	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
RWR	Trust	Investment Company Units	DJUSslet REIT	Various U.S. markets	Broad
RWW	Trust	Investment Company Units	Navellier Overall A-100 Index	Various world markets	Broad
RWW	Trust	Investment Company Units	S&P 500 FINANCIALS INDEX	Various U.S. markets	Broad
RWX	Trust	Investment Company Units	DJGblsctbUSRESIN	Various world markets	Broad
RXD	Trust	Investment Company Units	DJ US HlthCare	Various U.S. markets	Broad
RXI	Trust	Investment Company Units	GLOBAL 1200 CONS DISC IX	Various world markets	Broad
RXL	Trust	Investment Company Units	DJ US HlthCare	Various U.S. markets	Broad
RYE	Trust	Investment Company Units	S&P EQL WGT ENERGY	Various U.S. markets	Broad
RYF	Trust	Investment Company Units	S&P EQL WGT FINANCIALS	Various U.S. markets	Broad
RYH	Trust	Investment Company Units	S&P EQL WGT HEALTH CARE	Various U.S. markets	Broad
RYT	Trust	Investment Company Units	S&P EQL WGT INFO TECH	Various U.S. markets	Broad
RYU	Trust	Investment Company Units	S&P EQL WGT UTILITIES	Various U.S. markets	Broad
RZG	Trust	Investment Company Units	S&P SmallCap 600 Pure Gr	Various U.S. markets	Broad
RZV	Trust	Investment Company Units	S&P SmallCap 600 Pure Va	Various U.S. markets	Broad
SAA	Trust	Investment Company Units	S&P 600 SMALLCAP INDEX	Various U.S. markets	Broad
SBB	Trust	Investment Company Units	S&P 600 SMALLCAP INDEX	Various U.S. markets	Broad
SBM	Trust	Investment Company Units	DJ US BasicMat	Various U.S. markets	Broad
SBND	Corporation	Notes	DB Short US Treasury Bond Futu	Various U.S. markets	Broad
SCC	Trust	Investment Company Units	DJ US ConsumSrv	Various U.S. markets	Broad
SCHA	Trust	Investment Company Units	DJTSM US SmlCap	Various U.S. markets	Broad
SCHB	Trust	Investment Company Units	DJTSM Broad	Various U.S. markets	Broad
SCHC	Trust	Investment Company Units	FTSE Dev SctUSLIQ USD	Various world markets	Broad
SCHD	Trust	Investment Company Units	FTSE ALL-WORLD ALL EMRG	Various world markets	Broad

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SCHF	Trust	Investment Company Units	FTSE ALL-WORLD DEV X US	Various world markets	Broad
SCHG	Trust	Investment Company Units	DJTSM US LrgCpGr	Various U.S. markets	Broad
SCHO	Trust	Investment Company Units	Barclays Capital U.S. 1-3 Year Treasury Bond Index	Various U.S. markets	Broad
SCHP	Trust	Investment Company Units	Barclays Capital U.S. Treasury Inflation Protected Securities (TIPS) Index	Various U.S. markets	Broad
SCHR	Trust	Investment Company Units	Barclays Capital U.S. 3-10 Year Treasury Bond Index	Various U.S. markets	Broad
SCHV	Trust	Investment Company Units	DJTSM US LrgCpVI	Various U.S. markets	Broad
SCHX	Trust	Investment Company Units	DJTSM US LrgCap	Various U.S. markets	Broad
SCIF	Trust	Investment Company Units	MV India SMall-Cap TR	Various world markets	Broad
SCIN	Trust	Investment Company Units	INDXX India Small Cap	Various world markets	Broad
SCJ	Trust	Investment Company Units	MSCI Daily TR Net Small Cap Ja	Various world markets	Broad
SCO	Trust	Investment Company Units	DJUBS CrudeOil	Various world markets	Broad
SCPB	Trust	Investment Company Units	Barclays Capital U.S. 1-3 Year Corporate Bond Index	Various U.S. markets	Broad
SCZ	Trust	Investment Company Units	MSCI Daily TR Net Small Cap EA	Various U.S. markets	Broad
SDD	Trust	Investment Company Units	S&P 600 SMALLCAP INDEX	Various U.S. markets	Broad
SDK	Trust	Investment Company Units	RUSSELL MIDCAP GROWTH IX	Various U.S. markets	Broad
SDOW	Trust	Investment Company Units	DOW JONES INDUS. AVG	Various U.S. markets	Broad
SDP	Trust	Investment Company Units	DJ US UTILITIES	Various U.S. markets	Broad
SDS	Trust	Investment Company Units	S&P 500 INDEX	Various U.S. markets	Broad
SDY	Trust	Investment Company Units	S&P HIGH YLD DIV ARIST	Various U.S. markets	Broad
SEA	Trust	Investment Company Units	Delta Global Shipping Total Re	Various world markets	Broad
SEA	Trust	Investment Company Units	Delta Global Shipping Total Re	Various world markets	Broad
SEF	Trust	Investment Company Units	DJ US Financials	Various U.S. markets	Broad
SFK	Trust	Investment Company Units	RUSSELL 1000 GROWTH INDX	Various U.S. markets	Broad
SGOL	Trust	Investment Company Units	London Gold Market Fixing Ltd	Various world markets	Narrow
SH	Trust	Investment Company Units	S&P 500 INDEX	Various U.S. markets	Broad
SHM	Trust	Investment Company Units	Barclays Capital Managed Money Municipal Short Term Index	Various U.S. markets	Broad
SHV	Trust	Investment Company Units	Barclays Capital U.S. Short Treasury Bond Index	Various U.S. markets	Broad
SHY	Trust	Investment Company Units	Barclays Capital U.S. 1-3 Year Treasury Bond Index	Various U.S. markets	Broad
SIJ	Trust	Investment Company Units	DJ US Industrials	Various U.S. markets	Broad
SIL	Trust	Investment Company Units	Solactive Glb Silver Min	Various world markets	Broad
SIVR	Trust	Investment Company Units	London Silver Market Fixing Lt	Various world markets	Narrow
SJF	Trust	Investment Company Units	RUSSELL 1000 VALUE INDEX	Various U.S. markets	Broad
SJH	Trust	Investment Company Units	RUSSELL 2000 VALUE IDX	Various U.S. markets	Broad
SJL	Trust	Investment Company Units	RUSSELL MIDCAP VALUE IX	Various U.S. markets	Broad
SKF	Trust	Investment Company Units	DJ US Financials	Various U.S. markets	Broad
SKK	Trust	Investment Company Units	RUSSELL 2000 GROWTH INDX	Various U.S. markets	Broad
SKOR	Trust	Investment Company Units	IQ South Korea Small Cap Index	Various U.S. markets	Broad
SLV	Trust	Investment Company Units	London Silver Market Fixing Lt	Various world markets	Narrow
SLX	Trust	Investment Company Units	NYSE Arca Steel Index	Various U.S. markets	Broad
SMB	Trust	Investment Company Units	Barclays Capital AMT-Free Short Continuous Municipal Index	Various U.S. markets	Broad
SMDD	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
SMH	Trust	Investment Company Units	AMEX SEMICOND HOLDRS IDX	Various U.S. markets	Broad
SMK	Trust	Investment Company Units	MSCI MEXICO IMI	Various world markets	Broad
SMMU	Trust	Investment Company Units	Actively managed fund	Various U.S. markets	Broad
SMN	Trust	Investment Company Units	DJ US BasicMat	Various U.S. markets	Broad
SOXL	Trust	Investment Company Units	PHILA SEMICONDUCTOR INDX	Various U.S. markets	Broad
SOXS	Trust	Investment Company Units	PHILA SEMICONDUCTOR INDX	Various U.S. markets	Broad
SOXX	Trust	Investment Company Units	PHILA SEMICONDUCTOR INDX	Various U.S. markets	Broad
SPGH	Corporation	Notes	S&P 500 Gold Hedged Index	Various U.S. markets	Broad
SPXU	Trust	Investment Company Units	S&P 500 INDEX	Various U.S. markets	Broad
SPY	Trust	Investment Company Units	S&P 500 INDEX	Various U.S. markets	Broad
SQQQ	Trust	Investment Company Units	NASDAQ 100 STOCK INDX	Various U.S. markets	Broad
SRS	Trust	Investment Company Units	DJ US REAL ESTATE INDEX	Various U.S. markets	Broad
SRTY	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
SSG	Trust	Investment Company Units	DJ US TOT MKT SEMICON IX	Various U.S. markets	Broad
SSO	Trust	Investment Company Units	S&P 500 INDEX	Various U.S. markets	Broad
STPP	Corporation	Notes	Barclays Capital US Treasury 2	Various U.S. markets	Broad
STPZ	Trust	Investment Company Units	BofA Merrill Lynch 1-5 Year US Inflation-Linked Treasury Index	Various U.S. markets	Broad
SUB	Trust	Investment Company Units	S&P Short Term National AMT-Fr	Various U.S. markets	Broad
SWH	Trust	Investment Company Units	AMEX SOFTWARE HOLDRS IDX	Various U.S. markets	Broad
SZE	Corporation	Notes	S&P 500	Various U.S. markets	Broad
SZK	Trust	Investment Company Units	DJ US ConsumGds	Various U.S. markets	Broad
SZO	Corporation	Notes	DBIQ Deutsche Commodities DBLC	Various U.S. markets	Broad
SZR	Trust	Investment Company Units	South African Rand	Various world markets	Narrow
TAN	Trust	Investment Company Units	MACGlbSolarEgyTR	Various world markets	Broad
TAO	Trust	Investment Company Units	Alpha Shares ChinaRealE	Various world markets	Broad
TBF	Trust	Investment Company Units	Barclays Capital 20+ Year U.S. Treasury Index	Various U.S. markets	Broad
TBT	Trust	Investment Company Units	Barclays Capital 20+ Year U.S. Treasury Bond Index	Various U.S. markets	Broad
TDD	Trust	Investment Company Units	Zacks 2010 Lifecycle Idx	Various world markets	Broad
TDH	Trust	Investment Company Units	Zacks 2020 Lifecycle Idx	Various world markets	Broad
TDN	Trust	Investment Company Units	Zacks 2030 Lifecycle Idx	Various world markets	Broad
TDV	Trust	Investment Company Units	Zacks 2040 Lifecycle Idx	Various world markets	Broad
TDX	Trust	Investment Company Units	Zacks In-Target Lifecycl	Various world markets	Broad
TENZ	Trust	Investment Company Units	BofA Merrill Lynch 7-15 Year US Treasury Index	Various U.S. markets	Broad
TFI	Trust	Investment Company Units	Barclays Capital Municipal Managed Money Index	Various U.S. markets	Broad
TGR	Trust	Investment Company Units	S&P Target Date Retirement Inc	Various U.S. markets	Broad
THD	Trust	Investment Company Units	MSCI Thailand IMI USD Net	Various world markets	Narrow
TIP	Trust	Investment Company Units	Barclays Capital U.S. Treasury Inflation Protected Securities (TIPS) Index	Various U.S. markets	Broad
TIPZ	Trust	Investment Company Units	The BofA Merrill Lynch US Inflation-Linked Treasury Index	Various U.S. markets	Broad
TLH	Trust	Investment Company Units	Barclays Capital U.S. 10-20 Year Treasury Bond Index	Various U.S. markets	Broad
TLL	Trust	Investment Company Units	DJUSelTelcom	Various U.S. markets	Broad
TLO	Trust	Investment Company Units	Barclays Capital Long U.S. Treasury Index	Various U.S. markets	Broad
TLT	Trust	Investment Company Units	Barclays Capital U.S. 20+ Year Treasury Bond Index	Various U.S. markets	Broad
TMF	Trust	Investment Company Units	NYSE 20yr+ Tsy Bd Index	Various U.S. markets	Broad

ATTACHMENT TO FORM 19b-4(e)
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TMV	Trust	Investment Company Units	NYSE 20yr+ Tsy Bd Index	Various U.S. markets	Broad
TMW	Trust	Investment Company Units	DJTSM US	Various U.S. markets	Broad
TNA	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
TOK	Trust	Investment Company Units	MSCI Daily TR Net Kokusai USD	Various world markets	Broad
TQQQ	Trust	Investment Company Units	NASDAQ 100 STOCK INDX	Various U.S. markets	Broad
TTH	Trust	Investment Company Units	AMEX TELECOM HOLDERS IDX	Various U.S. markets	Broad
TUR	Trust	Investment Company Units	MSCI Turkey IMI USD Net	Various world markets	Broad
TUZ	Trust	Investment Company Units	BofA Merrill Lynch 1-3 Year U.S. Treasury Index	Various U.S. markets	Broad
TWM	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
TWOL	Trust	Investment Company Units	NYSE Current 2 Yr US Trs	Various U.S. markets	Broad
TWON	Trust	Investment Company Units	IQ Taiwan Small Cap Index Tota	Various U.S. markets	Broad
TWOZ	Trust	Investment Company Units	NYSE Current 2 Yr US Trs	Various U.S. markets	Broad
TWQ	Trust	Investment Company Units	RUSSELL 3000 INDEX	Various U.S. markets	Broad
TXF	Trust	Investment Company Units	SPADE Texas Index	Various U.S. markets	Broad
TYD	Trust	Investment Company Units	NYSE 7-10yr Tsy Bd Index	Various U.S. markets	Broad
TYH	Trust	Investment Company Units	Russ1000Technology	Various U.S. markets	Broad
TYO	Trust	Investment Company Units	NYSE 7-10yr Tsy Bd Index	Various U.S. markets	Broad
TYP	Trust	Investment Company Units	Russ1000Technology	Various U.S. markets	Broad
TZA	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
TZD	Trust	Investment Company Units	S&P Target Date 2010 Index TR	Various world markets	Broad
TZE	Trust	Investment Company Units	S&P Target Date 2015 Index TR	Various world markets	Broad
TZG	Trust	Investment Company Units	S&P Target Date 2020 Index TR	Various world markets	Broad
TZI	Trust	Investment Company Units	S&P Target Date 2025 Index TR	Various world markets	Broad
TZL	Trust	Investment Company Units	S&P Target Date 2030 Index TR	Various world markets	Broad
TZO	Trust	Investment Company Units	S&P Target Date 2035 Index TR	Various world markets	Broad
TZV	Trust	Investment Company Units	S&P Target Date 2040 Index TR	Various world markets	Broad
UAG	Corporation	Notes	UBS Bloomberg CMCI Agriculture	Various world markets	Broad
UBC	Corporation	Notes	UBS Bloomberg CMCI Livestock U	Various world markets	Broad
UBD	Trust	Investment Company Units	CAPITAL MARKETS BOND INX	Various U.S. markets	Broad
UBG	Corporation	Notes	UBS Bloomberg CMCI Components	Various world markets	Broad
UBM	Corporation	Notes	UBS Bloomberg CMCI Industrial	Various world markets	Broad
UBN	Corporation	Notes	UBS Bloomberg CMCI Energy USD	Various world markets	Broad
UBR	Trust	Investment Company Units	MSCI BRAZIL	Various world markets	Broad
UBT	Trust	Investment Company Units	Barclays Capital 20+ Year U.S. Treasury Index	Various U.S. markets	Broad
UCC	Trust	Investment Company Units	DJ US ConsumSrv	Various U.S. markets	Broad
UCD	Trust	Investment Company Units	DJUBS Commodity	Various U.S. markets	Broad
UCI	Corporation	Notes	UBS Bloomberg CMCI Composite U	Various world markets	Broad
UCO	Trust	Investment Company Units	DJUBS CrudeOil	Various world markets	Narrow
UDN	Trust	Investment Company Units	DEUTSCHE BANK USD SHORT	Various U.S. markets	Narrow
UDOW	Trust	Investment Company Units	DOW JONES INDUS. AVG	Various U.S. markets	Broad
UEM	Trust	Investment Company Units	CAPITAL MARKETS INDEX	Various U.S. markets	Broad
UGA	Commodity Pool	Partnership Units	Futures contracts for gasoline, crude oil, natural gas, heating oil and other petr	Various world markets	Broad
UGE	Trust	Investment Company Units	DJ US ConsumGds	Various U.S. markets	Broad
UGL	Trust	Investment Company Units	London Gold Market Fixing Ltd	Various world markets	Narrow
UHN	Commodity Pool	Partnership Units	Futures contract fo heating oil	New York Mercantile Exchange	Narrow
UKF	Trust	Investment Company Units	RUSSELL 1000 GROWTH INDX	Various U.S. markets	Broad
UKK	Trust	Investment Company Units	RUSSELL 2000 GROWTH IDX	Various U.S. markets	Broad
UKW	Trust	Investment Company Units	RUSSELL MIDCAP GROWTH IX	Various U.S. markets	Broad
ULE	Trust	Investment Company Units	Euro currency	Various world markets	Narrow
ULQ	Trust	Investment Company Units	CAPITAL MARKETS LIQUID	Various U.S. markets	Broad
UMDD	Trust	Investment Company Units	S&P 400 MIDCAP INDEX	Various U.S. markets	Broad
UMX	Trust	Investment Company Units	MSCI MEXICO IMI	Various world markets	Broad
UNG	Trust	Investment Company Units	Henry Hub Natural Gas Spot Pri	Various U.S. markets	Narrow
UNL	Commodity Pool	Partnership Units	Futures contracts on natural gas	New York Mercantile Exchange	Broad
UPRO	Trust	Investment Company Units	S&P 500 INDEX	Various U.S. markets	Broad
UPV	Trust	Investment Company Units	MSCI EUROPE	Various world markets	Broad
UPW	Trust	Investment Company Units	DJ US UTILITIES	Various U.S. markets	Broad
URE	Trust	Investment Company Units	DJ US REAL ESTATE INDEX	Various U.S. markets	Broad
URR	Corporation	Notes	Double Long Euro Index	Various world markets	Broad
URTY	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
USCI	Trust	Investment Company Units	SummerHaven Dyn Cmd TR	Various U.S. markets	Broad
USD	Trust	Investment Company Units	DJ US TOT MKT SEMICON IX	Various U.S. markets	Broad
USL	Trust	Investment Company Units	Futures contracts on light, sweet crude oil	New York Mercantile Exchange	Broad
USO	Trust	Investment Company Units	Bloomberg West Texas Intermedi	Various U.S. markets	Broad
UST	Trust	Investment Company Units	Barclays Capital 7-10 Year U.S. Treasury Index	Various U.S. markets	Broad
USV	Corporation	Notes	UBS Bloomberg CMCI Components	Various world markets	Broad
UTH	Trust	Investment Company Units	AMEX UTILITIES HOLDERS IX	Various U.S. markets	Broad
UUP	Trust	Investment Company Units	DEUTSCHE BANK USD LONG	Various U.S. markets	Narrow
UVG	Trust	Investment Company Units	RUSSELL 1000 VALUE INDEX	Various U.S. markets	Broad
UVT	Trust	Investment Company Units	RUSSELL 2000 VALUE IDX	Various U.S. markets	Broad
UVU	Trust	Investment Company Units	RUSSELL MIDCAP VALUE IX	Various U.S. markets	Broad
UWC	Trust	Investment Company Units	RUSSELL 3000 INDEX	Various U.S. markets	Broad
UWM	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
UXI	Trust	Investment Company Units	DJ US Industrials	Various U.S. markets	Broad
UXJ	Trust	Investment Company Units	MSCI PACIFIC x JAPAN	Various world markets	Broad
UYG	Trust	Investment Company Units	DJ US Financials	Various U.S. markets	Broad
UYM	Trust	Investment Company Units	DJ US BasicMat	Various U.S. markets	Broad
VAW	Trust	Investment Company Units	MSCI US IMI/MT	Various U.S. markets	Broad
VB	Trust	Investment Company Units	MSCI US SMALL	Various U.S. markets	Broad
VBK	Trust	Investment Company Units	MSCI US SM GR	Various U.S. markets	Broad
VBR	Trust	Investment Company Units	MSCI US SMALL CAP VALUE	Various U.S. markets	Broad
VCIT	Trust	Investment Company Units	Barclays Capital U.S. 5-10 Year Corporate Bond Index	Various U.S. markets	Broad
VCLT	Trust	Investment Company Units	Barclays Capital U.S. 10+ Year Corporate Index	Various U.S. markets	Broad
VCR	Trust	Investment Company Units	MSCI US IMI/CD	Various U.S. markets	Broad

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VCSH	Trust	Investment Company Units	Barclays Capital U.S. 1-5 Year Corporate Index	Various U.S. markets	Broad
VDC	Trust	Investment Company Units	MSCI US IMI/CS	Various U.S. markets	Broad
VDE	Trust	Investment Company Units	MSCI US INV MKT ENERGY	Various U.S. markets	Broad
VEA	Trust	Investment Company Units	MSCI Daily TR Net EAFE USD	Various world markets	Broad
VEU	Trust	Investment Company Units	FTSE All World Series All Worl	Various world markets	Broad
VFH	Trust	Investment Company Units	MSCI US IMI/FN	Various U.S. markets	Broad
VGIT	Trust	Investment Company Units	Barclays Capital U.S. 3-10 Year Government Float Adjusted Index	Various U.S. markets	Broad
VGK	Trust	Investment Company Units	MSCI Daily TR Net Europe USD	Various world markets	Broad
VGSH	Trust	Investment Company Units	Barclays Capital U.S. 1-3 Year Government Float Adjusted Index	Various U.S. markets	Broad
VGX	Trust	Investment Company Units	MSCI US IMI/IT	Various U.S. markets	Broad
VHT	Trust	Investment Company Units	MSCI US IMI/HC	Various U.S. markets	Broad
VIG	Trust	Investment Company Units	MERGENT DIV ACHV SEL IND	Various world markets	Broad
VIOG	Trust	Investment Company Units	S&P SmallCap 600 Growth	Various U.S. markets	Broad
VIOO	Trust	Investment Company Units	S&P 600 SMALLCAP INDEX	Various U.S. markets	Broad
VIOV	Trust	Investment Company Units	S&P SmallCap 600 Value	Various U.S. markets	Broad
VIS	Trust	Investment Company Units	MSCI US INV MKT INDUSTRIAL	Various U.S. markets	Broad
VMBS	Trust	Investment Company Units	Barclays Capital U.S. MBS Float Adjusted Index	Various U.S. markets	Broad
VNM	Trust	Investment Company Units	MV Vietnam TR Index	Various world markets	Broad
VNQ	Trust	Investment Company Units	MSCI US REIT INDEX	Various U.S. markets	Broad
VO	Trust	Investment Company Units	MSCI US MID	Various U.S. markets	Broad
VOE	Trust	Investment Company Units	MSCI US MID VA	Various U.S. markets	Broad
VONE	Trust	Investment Company Units	RUSSELL 1000 INDEX	Various U.S. markets	Broad
VONG	Trust	Investment Company Units	RUSSELL 1000 INDEX	Various U.S. markets	Broad
VONV	Trust	Investment Company Units	RUSSELL 1000 VALUE INDEX	Various U.S. markets	Broad
VOO	Trust	Investment Company Units	S&P 500	Various U.S. markets	Broad
VOOG	Trust	Investment Company Units	S&P 500 Growth	Various U.S. markets	Broad
VOOV	Trust	Investment Company Units	ISE 50 INDEX SETTLEMENT	Various U.S. markets	Broad
VOT	Trust	Investment Company Units	MSCI US MID GR	Various U.S. markets	Broad
VOX	Trust	Investment Company Units	MSCI US INV MKT TELECOMM	Various U.S. markets	Broad
VPL	Trust	Investment Company Units	MSCI PACIFIC	Various world markets	Broad
VPU	Trust	Investment Company Units	MSCI US IMI/UTILITIES	Various U.S. markets	Broad
VQT	Corporation	Notes	S&P 500 Dynamic VEQTOR Index T	Various U.S. markets	Broad
VRD	Trust	Investment Company Units	S&P National AMT-Free Municipa	Various U.S. markets	Broad
VSS	Trust	Investment Company Units	FTSE Global Small Cap 5e	Various world markets	Broad
VT	Trust	Investment Company Units	FTSE ALL-WORLD INDEX	Various world markets	Broad
VTHR	Trust	Investment Company Units	RUSSELL 3000 INDEX	Various U.S. markets	Broad
VTI	Trust	Investment Company Units	MSCI US BROAD MARKET IX	Various U.S. markets	Broad
VTV	Trust	Investment Company Units	MSCI US PRM/VA	Various U.S. markets	Broad
VTWG	Trust	Investment Company Units	RUSSELL 2000 GROWTH IDX	Various U.S. markets	Broad
VTWO	Trust	Investment Company Units	RUSSELL 2000 INDEX	Various U.S. markets	Broad
VTWV	Trust	Investment Company Units	RUSSELL 2000 VALUE IDX	Various U.S. markets	Broad
VUG	Trust	Investment Company Units	MSCI US PRM/GR	Various U.S. markets	Broad
VV	Trust	Investment Company Units	MSCI US PRIME	Various U.S. markets	Broad
VWO	Trust	Investment Company Units	MSCI Daily TR Net Emerging Mar	Various world markets	Broad
VXF	Trust	Investment Company Units	S&P COMPLETION INDEX	Various U.S. markets	Broad
YYM	Trust	Investment Company Units	FTSE HIGH DVD YIELD IX	Various world markets	Broad
WCAT	Trust	Investment Company Units	TR/J CRB Wildcaters Idx	Various U.S. markets	Broad
WFVK	Trust	Investment Company Units	Wilshire5000 TMI	Various U.S. markets	Broad
WIP	Trust	Investment Company Units	DB Global Gov ex-US IL Bond Ca	Various U.S. markets	Broad
WMH	Trust	Investment Company Units	AMEX WIRELESS HOLDERS IDX	Various U.S. markets	Broad
WMW	Corporation	Notes	Mornstar Wide Moat Fc TR	Various U.S. markets	Broad
WOOD	Trust	Investment Company Units	S&P Global Timber & Forestry I	Various world markets	Broad
WPS	Trust	Investment Company Units	S&P Developed Ex-U.S Property	Various world markets	Broad
WREI	Trust	Investment Company Units	WilshireUS REIT	Various U.S. markets	Broad
WXSP	Trust	Investment Company Units	Wilshire4500 Completion	Various U.S. markets	Broad
XBI	Trust	Investment Company Units	S&P Biotechnology Select Indus	Various U.S. markets	Broad
XES	Trust	Investment Company Units	S&P OIL GAS EQUIP SRVCS	Various U.S. markets	Broad
XGC	Trust	Investment Company Units	BNYMellonSmCpSIT	Various U.S. markets	Broad
XHB	Trust	Investment Company Units	S&P Homebuilders Select Indust	Various U.S. markets	Broad
XLB	Trust	Investment Company Units	AMEX MATERIALS SELECT IX	Various U.S. markets	Broad
XLBS	Trust	Investment Company Units	S&P SmallCap 600 Capped Materi	Various U.S. markets	Broad
XLE	Trust	Investment Company Units	AMEX ENERGY SELECT INDEX	Various U.S. markets	Broad
XLES	Trust	Investment Company Units	S&P SmallCap 600 Capped Energy	Various U.S. markets	Broad
XLFL	Trust	Investment Company Units	AMEX FINCL SELECT INDEX	Various U.S. markets	Broad
XLFS	Trust	Investment Company Units	S&P SmallCap 600 Capped Financ	Various U.S. markets	Broad
XLG	Trust	Investment Company Units	RUSSELL TOP 50 INDEX	Various U.S. markets	Broad
XLI	Trust	Investment Company Units	AMEX INDU SELECT INDEX	Various U.S. markets	Broad
XLIS	Trust	Investment Company Units	S&P SmallCap 600 Capped Indust	Various U.S. markets	Broad
XLK	Trust	Investment Company Units	AMEX TECH SELECT INDEX	Various U.S. markets	Broad
XLKS	Trust	Investment Company Units	S&P SmallCap 600 Capped Inform	Various U.S. markets	Broad
XLP	Trust	Investment Company Units	AMEX CONSUMER STAPLES IX	Various U.S. markets	Broad
XLPS	Trust	Investment Company Units	S&P SmallCap 600 Capped Consum	Various U.S. markets	Broad
XLU	Trust	Investment Company Units	AMEX UTIL SELECT INDEX	Various U.S. markets	Broad
XLUS	Trust	Investment Company Units	S&P SmallCap 600 Capped Utilit	Various U.S. markets	Broad
XLV	Trust	Investment Company Units	AMEX HLTH CARE SELECT IX	Various U.S. markets	Broad
XLVS	Trust	Investment Company Units	S&P SmallCap 600 Capped Health	Various U.S. markets	Broad
XLY	Trust	Investment Company Units	AMEX CONS DISC SELECT IX	Various U.S. markets	Broad
XLYS	Trust	Investment Company Units	S&P SmallCap 600 Capped Consum	Various U.S. markets	Broad
XME	Trust	Investment Company Units	S&P METALS & MINING SLCT	Various U.S. markets	Broad
XOP	Trust	Investment Company Units	S&P Oil & Gas Exploration & Pr	Various U.S. markets	Broad
XPH	Trust	Investment Company Units	S&P Pharmaceuticals Select Ind	Various U.S. markets	Broad
XPP	Trust	Investment Company Units	FTSE XINHUA CHINA 25 HKD	Various world markets	Broad
XRO	Trust	Investment Company Units	ZACKS SECTOR ROTATION IX	Various world markets	Broad
XRT	Trust	Investment Company Units	S&P Retail Select Industry TR	Various U.S. markets	Broad

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
 PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 22, 2010

XRU	Trust	Investment Company Units	Russian Ruble	Various world markets	Narrow
XSD	Trust	Investment Company Units	S&P Semiconductors Select Indu	Various U.S. markets	Broad
XXV	Corporation	Notes	S&P 500 VIX Short-Term Futures	Various U.S. markets	Broad
YAO	Trust	Investment Company Units	AlphaShares China All Cap Tota	Various world markets	Broad
YCL	Trust	Investment Company Units	Japanese Yen	Various world markets	Narrow
YCS	Trust	Investment Company Units	Japanese Yen	Various world markets	Narrow
YXI	Trust	Investment Company Units	FTSE XINHUA CHINA 25 HKD	Various world markets	Broad
ZROZ	Trust	Investment Company Units	Barclays Capital U.S. MBS Float Adjusted Index	Various U.S. markets	Broad
ZSL	Trust	Investment Company Units	Silver	Various world markets	Narrow



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SEC / TM

October 22, 2010

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four hundred twenty-one (343) derivative securities products that commenced trading on BATS Y-Exchange on October 22, 2010. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 25 2010