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OFFICIAL USE

### Notice of Government Securities Broker or Government Securities Dealer Activities To Be Filed by a Financial Institution Under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934

1. Check appropriate regulatory agency (ARA):

- A.  Comptroller of the Currency
- B.  Board of Governors of the Federal Reserve System
- C.  Federal Deposit Insurance Corporation
- D.  Office of Thrift Supervision
- E.  Securities and Exchange Commission

2. Conducts business as:

- A.  Government Securities Broker
- B.  Government Securities Dealer
- C.  Government Securities Broker and Dealer

3. Filing status of notice:

- A.  Notice
- B.  Amendment

4. A. Full name of the financial institution:

Gomez, Andres (NMN)

D/B/A AG CONSULTANTS

B. Address of principal office of financial institution:

460 7<sup>th</sup> AVENUE BROOKLYN, NY 11215

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

D. Mailing address if different from (B) or (C):

E. Name, title and telephone number of contact person with respect to this notice:

ANDRES Gomez

PRESIDENT

917 682 3658

Name

Title

Telephone

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above?

A.  Yes

B.  No

(If yes, provide addresses and describe activities.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name			
Last	First	Middle	Title
GOMEZ	ANDRES	NMN	Managing Director

Note: Attach a separate Form G-FIN-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in item 6.

7. Has any "associated person" (see definition in paragraph A.7. of the instructions) responded "yes" to any question in Item 17 of Form G-FIN-4, or "yes" to one or more questions in Items 23 through 26 of Form MSD-4 or Item 22 on Form U-4?

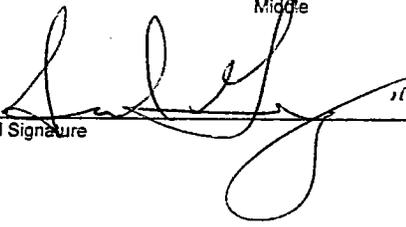
A.  Yes                      B.  No

Note: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. (See 17 C.F.R. 400.4(c).) Similar requirements are applicable to Form MSD-4 and Form U-4.

8. The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current and complete.

Please print name and title of person executing this notice:

ANDRES	Gomez	PRESIDENT
First	Middle	Last
		Title

	March 24, 2011
Manual Signature	Date

**FORM G-FIN-4**

**Disclosure Form for Person Associated with a  
Financial Institution Government Securities Broker or Dealer**

OMB APPROVAL
OMB #1535-0089

1. Applicant Name Gomez Andres NMN  
Last First Middle (If none, so specify)

2. Financial Institution Government Securities Broker or Dealer:

A. Name AG CONSULTANTS  
 B. Registration Number 8 - 68056  
 C. Main Address 460 7th avenue

3. Office of Employment of Applicant 460 7th avenue

4. Date of Employment of Applicant 11 / 04 / 2008

5. To Be Filed With The Following (Indicate One):

- Board of Governors of the Federal Reserve System
- Comptroller of the Currency
- Federal Deposit Insurance Corporation
- Director Office of Thrift Supervision
- Securities and Exchange Commission

6. It is anticipated that the applicant will perform the following functions in the capacity indicated:

	Capacity	
	Supervisory	Non-Supervisory
A. Underwriting, trading or sales of government securities:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
B. Financial advisory or consultant services for issuers in connection with the issuance of government securities:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
C. Research or investment advice, other than general economic information or advice, with respect to government securities in connection with the activities described in (A) and (B) above:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
D. Activities other than those specifically mentioned which involve communication, directly or indirectly, with public investors in government securities in connection with the activities described in (A) and (B) above:	<input checked="" type="checkbox"/>	<input type="checkbox"/>
E. Processing and clearance activities with respect to government securities:	<input checked="" type="checkbox"/>	N/A
F. Maintenance of records involving activities described in (A) through (E) above:	<input checked="" type="checkbox"/>	N/A

7. For the purpose of verifying the information furnished on this form by the applicant named in item 1 above, this institution has made inquiry of all employers of the applicant during the immediately preceding three years, as set forth below, concerning the accuracy and completeness of the information provided.

Employer	Name and Position of Person Contacted
<b>AG Consultants</b>	<b>Olga Pabon Vice President</b>
<b>JP Morgan Chase</b>	<b>Loretta Washington Branch Manager</b>
<b>New England Securities</b>	<b>Harry Rouzseau Managing Principal</b>

\_\_\_\_\_  
Date Print Name of Supervisor of Applicant Signature of Supervisor of Applicant

**PERSONAL HISTORY OF APPLICANT**

8. Gomez Andres NMN  
Last Name First Middle

9. 055-66-3359  
Social Security Number (Completion is not mandatory)

10. 460 7th avenue  
Resident Street Address

11. Brooklyn NY 11215  
City State ZIP Code

12. 07 / 21 / 1977  
Date of Birth

13. New York, US  
Place of Birth

14. Any other name ever used or by which known: \_\_\_\_\_

15. Employment History

The following is a complete, consecutive statement of all my business connections for the past ten years starting with my current position:

Name of Employer, Complete Address and Type of Business	From		To		Position Held	Reason for Leaving	Full or Part Time
	Mo.	Yr.	Mo.	Yr.			
AG Consultants	06/2008		Present		President	N/A	Full
JP Morgan Chase	06/2007		06/2008		Manager	Transition	Full
New England Financial	05/2004		06/2007		Reg. Rep.	Transition	Full

16. Residential History

The following is a complete, consecutive statement of all my residential addresses for the past five years starting with my current residential address:

**460 7th avenue, Brooklyn New york 11215**

Address (Street, City, State, ZIP Code)	From		To	
	Mo.	Yr.	Mo.	Yr.

**IF THE ANSWER TO ANY OF THE FOLLOWING QUESTIONS IS YES, ATTACH COMPLETE DETAILS**

17. Definitions

- Investment or Investment-Related - Pertaining to securities, commodities, banking, insurance, or real estate (including, but not limited to acting as or being associated with a government securities broker or dealer, municipal securities dealer, broker-dealer, investment company, investment adviser, futures sponsor, bank, or savings and loan association).
- Involved - Doing an act or aiding, abetting, counseling, commanding, inducing, conspiring with or failing reasonably to supervise another in doing an act.
- Foreign Financial Regulatory Authority - Includes any (a) foreign securities authority; (b) other governmental body or foreign equivalent of a self-regulatory organization empowered by a foreign government to administer or enforce its laws relating to the regulation of investment or investment-related activities; or (c) membership organization, a function of which is to regulate the participation of its members in the activities listed above.

A. Have you, within the 10 years preceding the date of this filing, been convicted of or plead guilty or nolo contendere ("no contest") in a domestic or foreign court to:

- (1) a felony or misdemeanor involving: investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, or bribery, forgery, counterfeiting or extortion? Yes  No
- (2) any other felony? Yes  No

B. Has any domestic or foreign court ever:

- (1) enjoined you in connection with any investment-related activity? Yes  No
- (2) found that you were involved in a violation of investment-related statutes or regulations? Yes  No

C. Has the U.S. Securities and Exchange Commission or the Commodity Futures Trading Commission ever:

- (1) found you to have made a false statement or omission? Yes  No
- (2) found you to have been involved in a violation of investment-related regulations or statutes? Yes  No
- (3) found you to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? Yes  No
- (4) entered an order denying, suspending or revoking your registration or disciplined you by restricting your activities? Yes  No
- (5) imposed a civil money penalty on you, or ordered you to cease and desist from any activity? Yes  No

D. Has any other federal regulatory agency, any state regulatory agency, or foreign financial regulatory authority ever:

- (1) found you to have made a false statement or omission or been dishonest, unfair or unethical? Yes  No
- (2) found you to have been involved in a violation of investment regulations or statutes? Yes  No
- (3) found you to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? Yes  No
- (4) entered an order against you in connection with investment-related activity? Yes  No
- (5) denied, suspended, or revoked your registration or license or otherwise prevented you from associating with an investment related business, or disciplined you by restricting your activities? Yes  No
- (6) revoked or suspended your license as an attorney, accountant or federal contractor? Yes  No

E. Has any self-regulatory organization or commodities exchange:

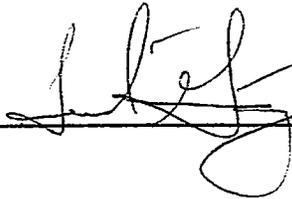
- (1) found you to have made a false statement or omission? Yes  No
- (2) found you to have been involved in a violation of its rules? Yes  No
- (3) found you to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked or restricted? Yes  No
- (4) disciplined you by expelling or suspending you from membership, barring or suspending your association with its members, or restricting your activities? Yes  No

F. Has any foreign government, court, regulatory agency, or exchange ever entered an order against you related to investments or fraud other than as reported in items 17.A., B., or D.?

- Yes  No

- G. Are you now the subject of any complaint, investigation, or proceeding that could result in a "yes" answer to parts A-F of this item? ..... Yes  No
- H. Have you been discharged or permitted to resign because you were accused of:
- (1) violating investment-related statutes, regulations, rules, or industry standards of conduct? ..... Yes  No
- (2) fraud or the wrongful taking of property? ..... Yes  No
- (3) failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct? ..... Yes  No

Date March 23, 2011

Signature of Applicant 

### FORM G-FIN-4 ACKNOWLEDGMENT

18. Applicant Name Gomez Andres NMN

19. Financial Institution Government Securities Broker or Dealer Name AG CONSULTANTS

20. Financial Institution Government Securities Broker or Dealer Address 460 7th avenue  
Brooklyn, NY 11215

Receipt Stamp

21. Attention: Chairman A. Gomez

WHEN THE FORM G-FIN-4 IS RECEIVED BY THE APPROPRIATE REGULATORY AGENCY, THIS ACKNOWLEDGMENT WILL BE STAMPED TO SHOW RECEIPT AND RETURNED TO THE PERSON NAMED IN ITEM 21. THE STAMPED ACKNOWLEDGMENT SHOULD BE RETAINED TO SUBSTANTIATE FILING.

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to: Bureau of the Public Debt, Government Securities Regulations Staff, Room 315, 999 E Street, N.W., Washington, DC 20239-0001; and to the Office of Management and Budget, (1535-0089) Washington, DC 20503.