

October 8, 2010

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



10065884

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NASDAQ OMX PHLX, Inc. (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company

3. Class of New Derivative Securities Product:
Exchange Traded Fund

4. Name of Underlying Instrument:
**ALPS Thomson Reuters/Jefferies CRB Global Industrial Metals
Equity Index Fund**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-based

6. Ticker Symbol(s) of New Derivative Securities Product:
CRBI

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Listed on: NYSE, NASDAQ, ASX, Hong Kong, Toronto, Mexico, OMX, Tokyo, London

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):
N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Joan Conley

Act **Securities Exchange Act of 1934**

Title:
Secretary

Section **19b-4**

Rule **19b-4(e)**

Telephone Number:
301 978-8735

Public Availability: **OCT 13 2010**

Manual Signature of Official Responsible for Form:

Date: **October 8, 2010**