

October 8, 2010

For Internal Use Only

Sec File No. 9-

Submit 1 Original

and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504

Expires: July 31, 2004

Estimated average burden

hours per response. 2.00

RECEIVED

2010 OCT 13 AM 10:14

SEC / MR

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



10065744

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NASDAQ OMX PHLX, Inc. (traded pursuant to unlisted trading privileges)
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company
3. Class of New Derivative Securities Product:
Index Fund Shares
4. Name of Underlying Instrument:
Halter USX China Index
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-based
6. Ticker Symbol(s) of New Derivative Securities Product:
PGJ
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Listed on: NYSE Amex, NASDAQ, NYSE
8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T + 3 (cash settled)
9. Position Limits of New Derivative Securities Product (if applicable):
N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Secretary

Telephone Number:

301 978-8735

Manual Signature of Official Responsible for Form:

Date: **October 8, 2010**

Securities Exchange Act of 1934

Section **19b-4**
Rule **19b-4(e)**

Public
Availability: **OCT 13 2010**

SEC 2449 (6-03)