	DC	11-09710+1
For Internal Use Only	Submit 1 Original	OMB AP
Sec File No. 9-	RECEIVED and 4 copies	OMB Number: 3
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	2010 AUG - 4 AM 11: 48	Estimated avera
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	SEC / TM	
	SECURITIES AND EXCHANGE COMMISSION	
•	WASHINGTON, D.C. 20549	
	AMENDED FORM 19b-4(e)	
		100483
Iı	nformation Required of a Self-Regulatory Organization Listing and Tr	ading a New
	ve Securities Product Pursuant to Rule 19b-4(e) Under the Securities E	
	READ ALL INSTRUCTIONS PRIOR TO COMPLETING	
Part I	Initial Listing Report	
1. Name of Self-Regulator	y Organization Listing New Derivative Securities Product:	
EDGX Exchange, Inc		
	Derivative Securities Product (e.g., clearinghouse, broker-dealer,	, corporation, etc.):
Tortoise Capital Advisors		
3. Class of New Derivative	e Securities Product:	
Closed-End Fund	······································	····
4. Name of Underlying Ins	strument:	
	erships nt is an Index, State Whether it is Broad-Based or Narrow-based	:
5. If Underlying Instrumer N/A	nt is an Index, State Whether it is Broad-Based or Narrow-based	:
 5. If Underlying Instrumer N/A 6. Ticker Symbol(s) of Ne 		:
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 5. If Underlying Instrumer N/A 6. Ticker Symbol(s) of Ne NTG 7. Market or Markets Upon NTG: AMEX, ARCA, NASD 8. Settlement Methodology 	nt is an Index, State Whether it is Broad-Based or Narrow-based w Derivative Securities Product: n Which Securities Comprising Underlying Instrument Trades:	:
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Section	19b-4
Rule	19b-4(e)
Public	AUG - 4 2010
Availability:	A00 - 4 2010





2010 AUG -4 AM 11:48

Aug 3, 2010

SEC / TM

Gail S. Jackson
Paralegal Specialist
U.S. Securities and Exchange Commission
Division of Trading and Markets
100 F Street, NE - Room 6628
Washington, D.C. 20549

Dear Ms. Jackson

Please find enclosed two 19b-4 forms for EDGA Exchange, Inc. and another two forms for EDGX Exchange, Inc. Four sets of copies of the forms are also included as requested. Should you have any questions about these forms, please contact Jeffrey Rosenstrock directly at 201-942-8295.

Sincerely,

Edgar Ortega Legal Assistant

rities Exchange Act of 1934 4 4(e) G - 4 2010

Direct Edge, 545 Washington Boulevard, 6th Fl., Jersey City, NJ 07310 Tel 201.942.8220 Fax 201.557.8019 www.directedge.com