

091-09109168

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

RECEIVED

2010 AUG -3 AM 9:20

SEC / TM

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2010
Estimated average burden	
Hours per response.....	3.60

### FORM 19b-4(e)

#### Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**



10048336

#### Part I Initial Listing Report

- Name of Self-Regulatory Organization Trading New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
Russell 1000® Retail Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
RETL
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic equities markets
- Settlement Methodology of New Derivative Securities Product:  
cash
- Position Limits of New Derivative Securities Product (if applicable):

#### Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8893

Manual Signature of Official Responsible for Form:

Date: July 27, 2010

<b>Act</b>	<b>Securities Exchange Act of 1934</b>
<b>Section</b>	<b>19b-4</b>
<b>Rule</b>	<b>19b-4(e)</b>
<b>Public Availability:</b>	<b>AUG 3 2010</b>



James C. Yong  
Chief Regulatory Officer  
james.yong@nsx.com

RECEIVED

2010 AUG -3 AM 9:19

SEC / TM

July 27, 2010

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- |         |          |          |          |
|---------|----------|----------|----------|
| 1. GRV  | 7. AXDI  | 13. AXMT | 19. EMLC |
| 2. MLPG | 8. AXSL  | 14. AXTE |          |
| 3. RETL | 9. AXEN  | 15. AXUT |          |
| 4. RETS | 10. AXHE | 16. XXV  |          |
| 5. FCGL | 11. AXID | 17. AADR |          |
| 6. FCGS | 12. AXIT | 18. LIT  |          |

Please contact me if you have any questions on the enclosed materials.

Very truly yours

Encls.

<b>Act</b>	<b>Securities Exchange Act of 1934</b>
<b>Section</b>	<b>19b-4</b>
<b>Rule</b>	<b>19b-4(e)</b>
<b>Public Availability:</b>	<b>AUG 3 2010</b>