For Internal Use Only		
	Submit 1 Original	OMB APPROVAL
Sec File No. 9- RECEIVED	and 4 copies	OMB Number: 3235-0504
KE O LIVE -		Expires July 31, 2004
2010 JUL 28 AM 5: 52		Estimated average burden hours per response 2.00
SEC / TM U	NITED STATES	
	NTED STATES ND EXCHANGE COMMISSION	
	IINGTON, D.C. 20549	
	(DED FORM 19b-4(e)	10048121
Information Required of a Self-Re	gulatory Organization Listing and	
Derivative Securities Product Pursuant to		
READ ALL INSTRUCTIO	NS PRIOR TO COMPLETING	G FORM
	nitial Listing Report	
. Name of Self-Regulatory Organization Listing New I	Derivative Securities Product:	
EDGA Exchange, Inc		
2. Type of Issuer of New Derivative Securities Product (	(e.g., clearinghouse, broker-deale	er, corporation, etc.):
Western Asset/Legg Mason		
3. Class of New Derivative Securities Product:		
Closed-End Fund		
4. Name of Underlying Instrument:		
OMO: Mortgage-Backed Securities; IGI: Investment Grade ( 5. If Underlying Instrument is an Index, State Whether i		:d:
VA		
5. Ticker Symbol(s) of New Derivative Securities Produ	ict:	
DMO, IGI		
7. Market or Markets Upon Which Securities Comprisin	ng Underlying Instrument Trades	:
DMO: OTC US; IGI: OTC US		
DMO: OTC US; IGI: OTC US		
DMO: OTC US; IGI: OTC US 8. Settlement Methodology of New Derivative Securitie		
OMO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securitie F+3, Physical Settlement	s Product:	
OMO: OTC US; IGI: OTC US B. Settlement Methodology of New Derivative Securitie <b>7+3, Physical Settlement</b> D. Position Limits of New Derivative Securities Product	s Product:	
DMO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securities <b>1+3, Physical Settlement</b> 9. Position Limits of New Derivative Securities Product Not Applicable	s Product: (if applicable):	
DMO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securitie <b>F+3, Physical Settlement</b> 9. Position Limits of New Derivative Securities Product Not Applicable Part II	s Product: (if applicable): Execution	
DMO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securitie (+3, Physical Settlement D. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above-	s Product: (if applicable): Execution referenced Self-Regulatory Organizat	ion has duly approved, or has duly delegated it
DMO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securities (+3, Physical Settlement D. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- proval to the undersigned for, the listing and trading of the above-	s Product: (if applicable): Execution referenced Self-Regulatory Organizat	ion has duly approved, or has duly delegated it s product according to its relevant trading rules
DMO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securities (+3, Physical Settlement D. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading for the undersigned for t	s Product: (if applicable): Execution referenced Self-Regulatory Organizat	ion has duly approved, or has duly delegated it s product according to its relevant trading rules
OMO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securities (+3, Physical Settlement D. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- proval to the undersigned for, the listing and trading of the above- rocedures, surveillance programs and listing standards.	s Product: (if applicable): Execution referenced Self-Regulatory Organizat	s product according to its relevant trading rules
20MO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securities 7+3, Physical Settlement 2. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- proval to the undersigned for, the listing and trading of the above- procedures, surveillance programs and listing standards. Name of Official Responsible for Form:	s Product: (if applicable): Execution referenced Self-Regulatory Organizat ve-referenced new derivative securities Eric W Hes	s product according to its relevant trading rules
OMO: OTC US; IGI: OTC US B. Settlement Methodology of New Derivative Securitie <b>F+3, Physical Settlement</b> D. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- pproval to the undersigned for, the listing and trading of the above- porcedures, surveillance programs and listing standards. Name of Official Responsible for Form: Fitle:	s Product: (if applicable): Execution referenced Self-Regulatory Organizat ve-referenced new derivative securities Eric W Hess General Co	s product according to its relevant trading rules s unsel
DMO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securitie <b>F+3, Physical Settlement</b> D. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- pproval to the undersigned for, the listing and trading of the above- rocedures, surveillance programs and listing standards. Name of Official Responsible for Form: Title: Telephone Number:	s Product: (if applicable): Execution referenced Self-Regulatory Organizat ve-referenced new derivative securities Eric W Hest General Co 201-942-823	s product according to its relevant trading rules s unsel
DMO: OTC US; IGI: OTC US 8. Settlement Methodology of New Derivative Securitie <b>F+3, Physical Settlement</b> 9. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- approval to the undersigned for, the listing and trading of the above- procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Title: Telephone Number: Manual Signature of Official Responsible for Form:	s Product: (if applicable): Execution referenced Self-Regulatory Organizat ve-referenced new derivative securities Eric W Hess General Co	s product according to its relevant trading rules s unsel
DMO: OTC US; IGI: OTC US 8. Settlement Methodology of New Derivative Securitie <b>F+3, Physical Settlement</b> 9. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- approval to the undersigned for, the listing and trading of the above- procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Title: Telephone Number: Manual Signature of Official Responsible for Form:	s Product: (if applicable): Execution referenced Self-Regulatory Organizat ve-referenced new derivative securities Eric W Hess General Co 201-942-823 کرمدیام 26-Jul-10	s product according to its relevant trading rules s unsel
DMO: OTC US; IGI: OTC US 3. Settlement Methodology of New Derivative Securitie <b>F+3, Physical Settlement</b> 9. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- upproval to the undersigned for, the listing and trading of the above- procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Title: Telephone Number: Manual Signature of Official Responsible for Form:	s Product: (if applicable): Execution referenced Self-Regulatory Organizat ve-referenced new derivative securities Eric W Hess General Co 201-942-823 Cra Wh 26-Jul-10 Act Securi	s product according to its relevant trading rules s unsel i9
DMO: OTC US; IGI: OTC US 8. Settlement Methodology of New Derivative Securitie <b>F+3, Physical Settlement</b> 9. Position Limits of New Derivative Securities Product Not Applicable Part II The undersigned represents that the governing body of the above- approval to the undersigned for, the listing and trading of the above- procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Title: Telephone Number: Manual Signature of Official Responsible for Form:	s Product: (if applicable): Execution referenced Self-Regulatory Organizat ve-referenced new derivative securities Eric W Hess General Co 201-942-823 C	s product according to its relevant trading rules s unsel i9 ties Exchange Act of 1934
DMO: OTC US; IGI: OTC US 8. Settlement Methodology of New Derivative Securitie T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product Not Applicable	s Product: (if applicable): Execution referenced Self-Regulatory Organizat ve-referenced new derivative securities Eric W Hess General Co 201-942-823 Cra Wh 26-Jul-10 Act Securi	s product according to its relevant trading rules s unsel i9 ties Exchange Act of 1934

4

è.



RECEIVED 2010 JUL 28 AM 5: 48 SEC / TM

July 26, 2010

Ms. Gail S. Jackson Paralegal Specialist U.S. Securities and Exchange Commission Division of Trading and Markets 100 F Street, NE – Room 6628 Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGA Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega Legal Assistant

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	JUL 282010	

Direct Edge ECN LLC, 545 Washington Boulevard, Jersey City, NJ 07310 Tel 201.942.8220 Fax 201.557.8019 www.directedge.com