For Internal Use Only Sec File No. 9-

RECEIVED

Submit 1 Original and 4 copies

OMB APPROVAL
OMB Number: 3235-0504
Expires July 31, 2004
Estimated average burder
hours per response. . 2.00

2010 JUL 28 AM 5: 50

SEC / TH

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 193

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  ALPS Advisors Inc  S. Class of New Derivative Securities Product:  nvestment Company Unit  D. Name of Underlying Instrument:  CRBQ: Thomson Reuters/Jefferies CRB In-The-Ground Global Commodity Equity Index  S. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  The 1 index referred to in item 4 above is Broad-Based  S. Ticker Symbol(s) of New Derivative Securities Product:  CRBQ  Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  S. Settlement Methodology of New Derivative Securities Product:  1-3. Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading not recedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Manual Signature of Official Responsible for Form:  Manual Signature of Official Responsible for Form:	Derivative Securities Product P	Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
Name of Self-Regulatory Organization Listing New Derivative Securities Product:  DGA Exchange, Inc  Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  Llass of New Derivative Securities Product:  Class of New Derivative Securities Product:  Name of Underlying Instrument:  Name of Underlying Instrument:  RBQ: Thomson Reuters/Jefferies CRB In-The-Ground Global Commodity Equity Index  If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  The 1 index referred to in item 4 above is Broad-Based  Ticker Symbol(s) of New Derivative Securities Product:  RBQ  Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  RBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  3. Settlement Methodology of New Derivative Securities Product:  1-3. Physical Settlement  Position Limits of New Derivative Securities Product (if applicable):  OP Part II Execution  The undersigned represents his the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate proval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading in rocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  General Counsel  Telephone Number:  Manual Signature of Official Responsible for Form:  Manual Signature of Official Responsible for Form:	READ ALL INSTR	RUCTIONS PRIOR TO COMPLETING FORM
EDGA Exchange, Inc  2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  ALPS Advisors Inc  3. Class of New Derivative Securities Product:  Investment Company Unit  I. Name of Underlying Instrument:  CRBQ: Thomson Reuters/Jefferies CRB In-The-Ground Global Commodity Equity Index  5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  The 1 index referred to in item 4 above is Broad-Based  5. Ticker Symbol(s) of New Derivative Securities Product:  CRBQ  7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  3. Settlement Methodology of New Derivative Securities Product:  14-3, Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate provoal to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rustoredures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Title:  General Counsel  Hanual Signature of Official Responsible for Form:  Manual Signature of Official Responsible for Form:	Part I	Initial Listing Report
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  ALPS Advisors Inc  S. Class of New Derivative Securities Product:  nvestment Company Unit  D. Name of Underlying Instrument:  CRBQ: Thomson Reuters/Jefferies CRB In-The-Ground Global Commodity Equity Index  S. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  The 1 index referred to in item 4 above is Broad-Based  S. Ticker Symbol(s) of New Derivative Securities Product:  CRBQ  Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  S. Settlement Methodology of New Derivative Securities Product:  1-3. Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading not recedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Manual Signature of Official Responsible for Form:  Manual Signature of Official Responsible for Form:	1. Name of Self-Regulatory Organization Listing	ng New Derivative Securities Product:
ALPS Advisors Inc  Class of New Derivative Securities Product:  Name of Underlying Instrument:  CRBQ: Thomson Reuters/Jefferies CRB In-The-Ground Global Commodity Equity Index  Fig. 1 (Inderlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  The 1 index referred to in item 4 above is Broad-Based  Ticker Symbol(s) of New Derivative Securities Product:  CRBQ  Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  Settlement Methodology of New Derivative Securities Product:  Fi-3, Physical Settlement  Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading no procedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Fille:  General Counsel  Elelphone Number:  Manual Signature of Official Responsible for Form:	EDGA Exchange, Inc	
3. Class of New Derivative Securities Product:  nvestment Company Unit  1. Name of Underlying Instrument:  CRBQ: Thomson Reuters/Jefferies CRB In-The-Ground Global Commodity Equity Index  5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  The 1 index referred to in item 4 above is Broad-Based  5. Ticker Symbol(s) of New Derivative Securities Product:  CRBQ  7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  8. Settlement Methodology of New Derivative Securities Product:  1-3, Physical Settlement  9. Position Limits of New Derivative Securities Product (if applicable):  1-4 Not Applicable  1-5 Part II  1-6 Execution  1-7 The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate pproval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading referenced responsible for Form:  1-7 Eric W Hess  1-7 Elephone Number:  1-7 Elephone Number:	2. Type of Issuer of New Derivative Securities	Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Name of Underlying Instrument:    Name of Underlying Instrument:	ALPS Advisors Inc	
I. Name of Underlying Instrument:  CRBQ: Thomson Reuters/Jefferies CRB In-The-Ground Global Commodity Equity Index  5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  The 1 index referred to in item 4 above is Broad-Based  5. Ticker Symbol(s) of New Derivative Securities Product:  CRBQ  7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  3. Settlement Methodology of New Derivative Securities Product:  F+3, Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading not recedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Fittle:  General Counsel  Felephone Number:  201-942-8239  Manual Signature of Official Responsible for Form:	3. Class of New Derivative Securities Product:	
CRBQ: Thomson Reuters/Jefferies CRB In-The-Ground Global Commodity Equity Index  5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  The 1 index referred to in item 4 above is Broad-Based  5. Ticker Symbol(s) of New Derivative Securities Product:  CRBQ  7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  8. Settlement Methodology of New Derivative Securities Product:  1-3. Physical Settlement  2. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated upproval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading referenced, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Filte:  General Counsel  Felephone Number:  201-942-8239  Manual Signature of Official Responsible for Form:	Investment Company Unit	
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  The 1 index referred to in item 4 above is Broad-Based  5. Ticker Symbol(s) of New Derivative Securities Product:  CRBQ  7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  S. Settlement Methodology of New Derivative Securities Product:  T-3, Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate upproval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading procedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Title:  General Counsel  Elephone Number:  201-942-8239  Manual Signature of Official Responsible for Form:	4. Name of Underlying Instrument:	
The 1 index referred to in item 4 above is Broad-Based 5. Ticker Symbol(s) of New Derivative Securities Product:  CRBQ 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  8. Settlement Methodology of New Derivative Securities Product:  F+3, Physical Settlement  9. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  Che undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading reprocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Fitle:  General Counsel  Felephone Number:  201-942-8239  Manual Signature of Official Responsible for Form:	CRBQ: Thomson Reuters/Jefferies CRB In-The-Gr	ound Global Commodity Equity Index
5. Ticker Symbol(s) of New Derivative Securities Product:  CRBQ 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  3. Settlement Methodology of New Derivative Securities Product:  F+3, Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading reprocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Fittle:  General Counsel  Felephone Number:  291-942-8239  Manual Signature of Official Responsible for Form:	5. If Underlying Instrument is an Index, State V	Whether it is Broad-Based or Narrow-based:
CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  3. Settlement Methodology of New Derivative Securities Product:  (F+3, Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading reprocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Fitle:  General Counsel  Felephone Number:  201-942-8239  Manual Signature of Official Responsible for Form:	The 1 index referred to in item 4 above is Broad-Bas	sed
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  CRBQ: US, Canada, UK, Russia, Switzerland  (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  3. Settlement Methodology of New Derivative Securities Product:  F+3, Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rusprocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Fitle:  General Counsel  Felephone Number:  201-942-8239  Manual Signature of Official Responsible for Form:	6. Ticker Symbol(s) of New Derivative Securit CRBQ	ties Product:
(Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)  3. Settlement Methodology of New Derivative Securities Product:  (F+3, Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading ruprocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Fitle:  General Counsel  Felephone Number:  Manual Signature of Official Responsible for Form:		Comprising Underlying Instrument Trades:
S. Settlement Methodology of New Derivative Securities Product:  T+3, Physical Settlement D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading not procedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  General Counsel  Telephone Number:  Manual Signature of Official Responsible for Form:	CRBQ: US, Canada, UK, Russia, Switzerland	
Texa, Physical Settlement  D. Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading not proceedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  General Counsel  Telephone Number:  Manual Signature of Official Responsible for Form:	(Please note, underlying components n	nay trade on additional exchanges in countries/regions not mentioned here.)
Por Position Limits of New Derivative Securities Product (if applicable):  Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading represents, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  General Counsel  Telephone Number:  Manual Signature of Official Responsible for Form:	8. Settlement Methodology of New Derivative	Securities Product:
Not Applicable  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading nurrocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  General Counsel  Telephone Number:  Manual Signature of Official Responsible for Form:	T+3, Physical Settlement	
Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading reprocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  Fitle:  General Counsel  Felephone Number:  Manual Signature of Official Responsible for Form:	9. Position Limits of New Derivative Securities	s Product (if applicable):
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading reprocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  General Counsel  Telephone Number:  Manual Signature of Official Responsible for Form:	Not Applicable	
pproval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading ruprocedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Eric W Hess  General Counsel  Felephone Number:  Manual Signature of Official Responsible for Form:	Part II	Execution
Fitle: General Counsel Felephone Number: 201-942-8239  Manual Signature of Official Responsible for Form:	approval to the undersigned for, the listing and trading	of the above-referenced new derivative securities product according to its relevant trading rules
Fitle: General Counsel Felephone Number: 201-942-8239  Manual Signature of Official Responsible for Form:	Name of Official Responsible for Form:	Eric W Hess
Felephone Number: 201-942-8239  Manual Signature of Official Responsible for Form:	Title:	
Manual Signature of Official Responsible for Form:	Telephone Number:	
	Date:	

Act	Securities Exchange Act of 1934		
Section	19b-4		
Rule	19b-4(e)		
Public Availability:	JUL 28 2010		

## RECEIVED 2010 JUL 28 AM 5: 48 SEC / TM



July 26, 2010

Ms. Gail S. Jackson
Paralegal Specialist
U.S. Securities and Exchange Commission
Division of Trading and Markets
100 F Street, NE – Room 6628
Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGA Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega Legal Assistant

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	ا ما
Public Availability:	JUL 2 8 2010	e e e e e e e e e e e e e e e e e e e