

091-09416 fm

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 4 copies

OMB APPROVAL
OMB Number: 3235-0504
Expires July 31, 2004
Estimated average burden
hours per response. . 2.00

RECEIVED

2010 JUL 27 PM 4: 34

SEC / TM

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



10048048

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Pioneer Investments

3. Class of New Derivative Securities Product:

Closed-End Fund

4. Name of Underlying Instrument:

HNW: US & Foreign Corporate Bonds

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

N/A

6. Ticker Symbol(s) of New Derivative Securities Product:

HNW

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

HNW: US, Caymen Islands, UK, Canada, Argentina

(Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Eric W Hess

Title:

General Counsel

Telephone Number:

201-942-8239

Manual Signature of Official Responsible for Form:

Date:

26-Jul-10

Act Securities Exchange Act of 1934
Section 19b-4
Rule 19b-4(e)
Public
Availability: JUL 27 2010

RECEIVED

2010 JUL 27 PM 4:25

SEC / TM



July 26, 2010

Ms. Gail S. Jackson
Paralegal Specialist
U.S. Securities and Exchange Commission
Division of Trading and Markets
100 F Street, NE – Room 6628
Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGX Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega
Legal Assistant

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 27 2010