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Manual Signature of Official Responsible for Form:

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Western Asset/Legg Mason 3. Class of New Derivative Securities Product: Closed-End Fund 4. Name of Underlying Instrument: DMO: Mortgage-Backed Securities; IGI: Investment Grade Corporate Bonds 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: DMO: OTC US; IGI: OTC US 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Eric W Hess Name of Official Responsible for Form: Title: **General Counsel** 201-942-8239 Telephone Number:

| Act | Securities Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | JUL 27 2010 |

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July 26, 2010

Ms. Gail S. Jackson
Paralegal Specialist
U.S. Securities and Exchange Commission
Division of Trading and Markets
100 F Street, NE – Room 6628
Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGX Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega Legal Assistant

| Act | Securities Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | JUL 2 7 2010 |