

091-09331 ja

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 4 copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires July 31, 2004  
Estimated average burden  
hours per response . . . 2.00

RECEIVED  
2010 JUL 27 PM 4:30

SEC / TM  
UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)



10047965

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

First Trust Advisors

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

FAN: ISE Global Wind Energy Index; FFR: FTSE EPRA/NAREIT Global Real Estate Index ; FLM: ISE Global Engineering and Construction Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 3 indexes referred to in item 4 above are Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

FAN, FFR, FLM

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

FAN: US, Germany, Denmark, UK, France; FFR: US, Australia, Hong Kong, Japan, UK; FLM: US, Japan, Spain, Netherlands, France

(Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Eric W Hess

Title:

General Counsel

Telephone Number:

201-942-8239

Manual Signature of Official Responsible for Form:

*Eric W Hess*

Date:

26-Jul-10

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 27 2010

RECEIVED

2010 JUL 27 PM 4:25

SEC / TM



July 26, 2010

Ms. Gail S. Jackson  
Paralegal Specialist  
U.S. Securities and Exchange Commission  
Division of Trading and Markets  
100 F Street, NE – Room 6628  
Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGX Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega  
Legal Assistant

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 27 2010