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SEC / TM UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)



10047964

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
First Trust Advisors

3. Class of New Derivative Securities Product:  
Closed-End Fund

4. Name of Underlying Instrument:  
FAV: Common & Preferred Stocks; FCM: Senior Secured Floating Rate Corporate Loans; FCT: Senior Secured Floating Rate Corporate Loans; FEN: Master Limited Partnerships; FFA: Common Stocks; FGB: Common Stocks; FHI: (Sub) Investment Grade Bonds, Common & Preferred Stocks; FHO: High Yield Bonds; FHY: (Sub) Investment Grade Bonds, Common & Preferred Stocks; FMY: US Government Mortgage Backed Securities & Mortgage Obligations

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:  
N/A

6. Ticker Symbol(s) of New Derivative Securities Product:  
FAV, FCM, FCT, FEN, FFA, FGB, FHI, FHO, FHY, FMY

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
FAV: AMEX, ARCA, NASDAQ, NYSE; FCM: OTC US; FCT: OTC US; FEN: AMEX, ARCA, NASDAQ, NYSE; FFA: AMEX, ARCA, NASDAQ, NYSE; FGB: AMEX, ARCA, NASDAQ, NYSE; FHI: Amex, Arca, NYSE, Nasdaq, OTC US; FHO: OTC US; FHY: OTC US; FMY: OTC US

8. Settlement Methodology of New Derivative Securities Product:  
T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Eric W Hess  
Title: General Counsel  
Telephone Number: 201-942-8239  
Manual Signature of Official Responsible for Form: *Eric W Hess*  
Date: 26-Jul-10

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 27 2010

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SEC / TM



July 26, 2010

Ms. Gail S. Jackson  
Paralegal Specialist  
U.S. Securities and Exchange Commission  
Division of Trading and Markets  
100 F Street, NE – Room 6628  
Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGX Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega  
Legal Assistant

<b>Act</b>	<b>Securities Exchange Act of 1934</b>
<b>Section</b>	19b-4
<b>Rule</b>	19b-4(e)
<b>Public Availability:</b>	JUL 27 2010