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SEC / TM

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)



Information Required of a Self-Regulatory Organization Listing and Trading a New

•	an-Regulatory Organization Listing and Trading a New
	ant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
READ ALL INSTRUCT	TIONS PRIOR TO COMPLETING FORM
Part I	Initial Listing Report
1. Name of Self-Regulatory Organization Listing N	ew Derivative Securities Product:
EDGX Exchange, Inc	
2. Type of Issuer of New Derivative Securities Prod	luct (e.g., clearinghouse, broker-dealer, corporation, etc.):
Evergreen Investments	
3. Class of New Derivative Securities Product:	
Closed-End Fund	
4. Name of Underlying Instrument:	
EAD: Corporate Bonds	•
5. If Underlying Instrument is an Index, State Whet	her it is Broad-Based or Narrow-based:
N/A	
6. Ticker Symbol(s) of New Derivative Securities P	Product:
EAD	
7. Market or Markets Upon Which Securities Comp	prising Underlying Instrument Trades:
•	
EAD: OTC US	
	•
8. Settlement Methodology of New Derivative Secu	urities Product:
T+3, Physical Settlement	
9. Position Limits of New Derivative Securities Pro	oduct (if applicable):
Not Applicable	
Part II	Execution
	4 0 1010 1 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1
The undersigned represents that the governing body of the a	bove-referenced Self-Regulatory Organization has duly approved, or has duly delegated is a bove-referenced new derivative securities product according to its relevant trading rule
procedures, surveillance programs and listing standards.	e above-referenced new derivative securities product according to its relevant trading rule
procedures, surveniance programs and fishing standards.	
Name of Official Responsible for Form:	Eric W Hess
Title:	General Counsel
Telephone Number:	201-942-8239,
Manual Signature of Official Responsible for Form	: Core Wh
Date:	26-Jul-10

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	JUL 2 7 2010	

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July 26, 2010

Ms. Gail S. Jackson
Paralegal Specialist
U.S. Securities and Exchange Commission
Division of Trading and Markets
100 F Street, NE – Room 6628
Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGX Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega Legal Assistant

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	JUL 2 7 2010	