For Internal Use (	Duly	Submit 1 Original	
Sec File No. 9-	RECEIVED	and 4 copies	OMB Numbe Expires
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	SEC / TM	UNITED STATES FURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)	1004
		d of a Self-Regulatory Organization Listing and Tra t Pursuant to Rule 19b-4(e) Under the Securities Ex	
		TRUCTIONS PRIOR TO COMPLETING F	
F	Part I	Initial Listing Report	
		sting New Derivative Securities Product:	
EDGX Exchang		es Product (e.g., clearinghouse, broker-dealer,	corporation etc.):
	sset Management LLC	les Product (e.g., clearinghouse, broker-dealer,	corporation, etc.).
	ew Derivative Securities Produ	ct:	
Closed-End Fu	nd nderlying Instrument:		
CIK: High Yiel		e Whether it is Broad-Based or Narrow-based:	
5. If Underlyi N/A 6. Ticker Sym		· · · · · · · · · · · · · · · · · · ·	
5. If Underlyi N/A 6. Ticker Sym CIK	ng Instrument is an Index, Stat nbol(s) of New Derivative Secu	· · · · · · · · · · · · · · · · · · ·	
5. If Underlyi N/A 6. Ticker Sym CIK	ng Instrument is an Index, Stat nbol(s) of New Derivative Secu	irities Product:	
<ol> <li>5. If Underlyi N/A</li> <li>6. Ticker Sym CIK</li> <li>7. Market or N</li> <li>CIK: OTC US</li> <li>8. Settlement</li> <li>T+3, Physical S</li> </ol>	ng Instrument is an Index, Stat nbol(s) of New Derivative Secu Markets Upon Which Securitie Methodology of New Derivati	rities Product: s Comprising Underlying Instrument Trades: ve Securities Product:	
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Act	Securities Exchange Act of 1934		
Section	19b-4		
Rule	19b-4(e)		
Public Availability:	JUL 2 7 2010		



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July 26, 2010

Ms. Gail S. Jackson Paralegal Specialist U.S. Securities and Exchange Commission Division of Trading and Markets 100 F Street, NE – Room 6628 Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGX Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega Legal Assistant

Act	Securities Exchange Act of 1934		
Section	19b-4		
Rule	19b-4(e)		
Public Availability:	JUL 27 2010		

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