091-092768

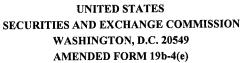
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Manual Signature of Official Responsible for Form:

Date:

Submit 1 Original and 4 copies

OMB APPROVAL
OMB Number: 3235-0504
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Information l	Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities	Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
READ AL	L INSTRUCTIONS PRIOR TO COMPLETING FORM
Part I	Initial Listing Report
1. Name of Self-Regulatory Organiza	ation Listing New Derivative Securities Product:
EDGA Exchange, Inc	
2. Type of Issuer of New Derivative S	Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Chartwell Investment	
3. Class of New Derivative Securities	s Product:
Closed-End Fund	
4. Name of Underlying Instrument:	
CWF: Common & Preferred Stocks, Cor	porate Bonds
5. If Underlying Instrument is an Ind	ex, State Whether it is Broad-Based or Narrow-based:
N/A	
6. Ticker Symbol(s) of New Derivati	ve Securities Product:
CWF	
7. Market or Markets Upon Which Se	ecurities Comprising Underlying Instrument Trades:
CWF: Amex, Arca, NYSE, Nasdaq; OTC	TUS
CWF. Amex, Area, W1519, Washaq, OTC	, , , , , , , , , , , , , , , , , , , ,
8. Settlement Methodology of New I	Derivative Securities Product:
T+3, Physical Settlement	
9. Position Limits of New Derivative	Securities Product (if applicable):
Not Applicable	T
Part II	Execution
The undersigned represents that the govern	ning body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated
approval to the undersigned for, the listing	and trading of the above-referenced new derivative securities product according to its relevant trading rul
procedures, surveillance programs and listi	ng standards.
N. COCCUID TILE T	orm: Eric W Hess
Name of Official Responsible for Fo	General Counsel
Title:	201-942-8239
Telephone Number:	201-742-0237

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 2 7 2010

26-Jul-10

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July 26, 2010

Ms. Gail S. Jackson
Paralegal Specialist
U.S. Securities and Exchange Commission
Division of Trading and Markets
100 F Street, NE – Room 6628
Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGX Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega Legal Assistant

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	JUL 2 7 2010	