| Sec File No. 9- RECEI | AEF | and 4 copies | OMB Number: 3 Expires July |
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| For Internal Use Only Sec File No. 9- 2010 JUL 27 | РМ 4:26 | | Estimated avera hours per respo |
| SEC | / TM | | nours per respo |
| 364 | | UNITED STATES | |
| | | ES AND EXCHANGE COMMISS VASHINGTON, D.C. 20549 | |
| | | AMENDED FORM 19b-4(e) | 1004786 |
| Info | rmation Required of a S | elf-Regulatory Organization Listir | g and Trading a New |
| | | ant to Rule 19b-4(e) Under the Sec | |
| Part I | LAD ALL INSTRUC | TIONS PRIOR TO COMPLI Initial Listing Report | |
| | Drganization Listing N | New Derivative Securities Produ | act: |
| EDGX Exchange, Inc | | | |
| * - | | duct (e.g., clearinghouse, broke | r-dealer, corporation, etc.): |
| American Investors Advisors In 3. Class of New Derivative S | | | · · · · |
| Closed-End Fund | | | |
| 4. Name of Underlying Instru | iment: | | |
| EGX: Common Stocks | | | |
| EGA, Common Stocks | | | |
| 5 1611-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1- | Indana State Wile | than it is Dread Deced on Norma | hood. |
| N/A | s an index, State whe | ther it is Broad-Based or Narro | w-daseu: |
| 6. Ticker Symbol(s) of New 1 | Derivative Securities | Product: | |
| | | | |
| | | mining The doubying Transforment | |
| | Which Securities Com | prising Underlying Instrument | Irades: |
| | Which Securities Com | prising Underlying Instrument | Irades: |
| 7. Market or Markets Upon V | | prising Underlying Instrument | Irades: |
| EGX 7. Market or Markets Upon V EGX: AMEX, ARCA, NASDAQ | | prising Underlying Instrument | Irades: |
| 7. Market or Markets Upon V | | prising Underlying Instrument | Irades: |
| 7. Market or Markets Upon V EGX: AMEX, ARCA, NASDAQ | 9, NYSE | | Irades: |
| Market or Markets Upon V EGX: AMEX, ARCA, NASDAQ 8. Settlement Methodology o | 9, NYSE | | Irades: |
| Market or Markets Upon V EGX: AMEX, ARCA, NASDAQ 8. Settlement Methodology o T+3, Physical Settlement | 9, NYSE f New Derivative Sec | urities Product: | Irades: |
| Market or Markets Upon V EGX: AMEX, ARCA, NASDAQ Settlement Methodology o T+3, Physical Settlement Position Limits of New De Not Applicable | 9, NYSE f New Derivative Sec | urities Product: oduct (if applicable): | Irades: |
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| 7. Market or Markets Upon V EGX: AMEX, ARCA, NASDAQ 8. Settlement Methodology o T+3, Physical Settlement 9. Position Limits of New De Not Applicable Part II The undersigned represents that the | 9, NYSE f New Derivative Sec privative Securities Pro- e governing body of the a | urities Product: oduct (if applicable): <u>Execution</u> above-referenced Self-Regulatory Or | ganization has duly approved, or has duly |
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| Section | 19b-4 | | | | |
|---------------|----------|----|------|---|--|
| Rule | 19b-4(e) | | | | |
| Public | | | | | |
| Availability: | | 27 | 2010 | | |
| | à. | | | : | |

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July 26, 2010

Ms. Gail S. Jackson Paralegal Specialist U.S. Securities and Exchange Commission Division of Trading and Markets 100 F Street, NE – Room 6628 Washington, DC 20549

Dear Ms. Jackson:

Enclosed please find 19-b4(e) filings for EDGX Exchange, Inc. and four copies, as requested. Should you have any questions about the documents enclosed, please contact Rebecca Taveirne at 201-942-8284.

Thank you very much.

Edgar Ortega Legal Assistant

| Act | Securities Exchange Act of 1934 | | |
|-------------------------|---------------------------------|--|--|
| Section | 19b-4 | | |
| Rule | 19b-4(e) | | |
| Public Availability: | JUL 27 2010 | | |

Direct Edge ECN LLC, 545 Washington Boulevard, Jersey City, NJ 07310 Tel 201.942.8220 Fax 201.557.8019 www.directedge.com