



UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 234

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Nuveen Advisory Corp
- 3. Class of New Derivative Securities Product:

Closed-End Fund

4. Name of Underlying Instrument:

NQI: Municipal Bonds; NQJ: Municipal Bonds; NQM: Municipal Bonds; NQN: Municipal Bonds; NQP: Municipal Bonds; NQS: Municipal Bonds; NQU: Municipal Bonds; NRB: Municipal Bonds; NRK: Municipal Bonds; NSL: Adjustable Rate Senior Loans, Common Stocks, Warrants; NTC: Municipal Bonds; NTX: Municipal Bonds; NUC: Municipal Bonds; NUJ: Municipal Bonds; NUM: Municipal Bonds

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

N/A

6. Ticker Symbol(s) of New Derivative Securities Product:

NQI, NQJ, NQM, NQN, NQP, NQS, NQU, NRB, NRK, NSL, NTC, NTX, NUC, NUJ, NUM

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NQI: OTC US; NQJ: OTC US; NQM: OTC US; NQN: OTC US; NQP: OTC US; NQS: OTC US; NQU: OTC US; NRB: OTC US; NRK: OTC US; NSL: AMEX, ARCA, NASDAQ, NYSE, OTC US; NTC: OTC US; NTX: OTC US; NUC: OTC US; NUJ: OTC US; NUM: OTC US

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:	Eric W Hess
Title:	General Counsel
Telephone Number:	201-942-8239
Manual Signature of Official Responsible for Form:	£#
Date:	21-Jul-10

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19h-4(e)	
Public Availability:	JUL 23 2010	