

091-09138 *ru*

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 4 copies

OMB APPROVAL
OMB Number: 3235-0504
Expires July 31, 2004
Estimated average burden
hours per response: . 2.00



10047771

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

DIVISION OF TRADING AND MARKET REGULATION
JUL 23 2010
RECEIVED
SECURITIES AND EXCHANGE COMMISSION

Information Required of a Self-Regulatory Organization Listing and Trading New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Nuveen Advisory Corp

3. Class of New Derivative Securities Product:
Closed-End Fund

4. Name of Underlying Instrument:
NKX: Municipal Bonds; NMA: Municipal Bonds; NMB: Municipal Bonds; NMD: Municipal Bonds; NMI: Municipal Bonds; NMO: Municipal Bonds; NMP: Municipal Bonds; NMT: Municipal Bonds; NMY: Municipal Bonds; NMZ: Municipal Bonds; NNB: Municipal Bonds; NNC: Municipal Bonds; NNF: Municipal Bonds; NNJ: Municipal Bonds; NNO: Municipal Bonds

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:
N/A

6. Ticker Symbol(s) of New Derivative Securities Product:
NKX, NMA, NMB, NMD, NMI, NMO, NMP, NMT, NMY, NMZ, NNB, NNC, NNF, NNJ, NNO

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NKX: OTC US; NMA: OTC US; NMB: OTC US; NMD: OTC US; NMI: OTC US; NMO: OTC US; NMP: OTC US; NMT: OTC US; NMY: OTC US; NMZ: OTC US; NNB: OTC US; NNC: OTC US; NNF: OTC US; NNJ: OTC US; NNO: OTC US

8. Settlement Methodology of New Derivative Securities Product:
T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **Eric W Hess**

Title: **General Counsel**

Telephone Number: **201-942-8239**

Manual Signature of Official Responsible for Form: *EWH*

Date: **21-Jul-10**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	JUL 23 2010
Availability:	