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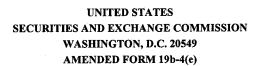
Telephone Number:

Date:

Manual Signature of Official Responsible for Form:

Submit 1 Original and 4 copies

OMB APPROVAL umber: 3235-0504



Information Required of a Self-Regulatory Organization Listing and Trading a New
Information Required of a Self-Regulatory Organization Listing and Trading a New  Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM
Part I Initial Listing Report
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGX Exchange, Inc
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Montgomery Funds
3. Class of New Derivative Securities Product:
Closed-End Fund
4. Name of Underlying Instrument:
MTS: Corporate & Government Bonds
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:
N/A
6. Ticker Symbol(s) of New Derivative Securities Product:
MTS
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NAMES OFFICIAL
MTS: OTC US
8. Settlement Methodology of New Derivative Securities Product:
T+3, Physical Settlement
9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable
Part II Execution
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rul procedures, surveillance programs and listing standards.
Name of Official Responsible for Form:  Eric W Hess  Convert Coursel
Title: General Counsel

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 2 3 2010

201-942-8239

21-Jul-10