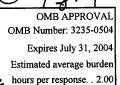
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Telephone Number:

Date:

Manual Signature of Official Responsible for Form:

Submit 1 Original and 4 copies





UNITED STATES SECURITIES AND EXCHANGE COMMISSION **WASHINGTON, D.C. 20549** AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Tra

Tof 1934 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Thomas J Herzfeld Advisors 3. Class of New Derivative Securities Product: Closed-End Fund 4. Name of Underlying Instrument: CUBA: US & Foreign Common Stocks 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: CUBA: US, Mexico, Caymen Islands, Panama, Bahamas (Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable **Execution** Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Eric W Hess Name of Official Responsible for Form: General Counsel Title:

Securities Exchange Act of 1934 Act Section 19b-4 19b-4(e) Rule Public JUL 23 2010 Availability:

201-942-8239