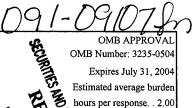
For Internal Use Only Sec File No. 9-

Submit 1 Original and 4 copies





Telephone Number:

Date:

Manual Signature of Official Responsible for Form:

UNITED STATES SECURITIES AND EXCHANGE COMMISSIO WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Tracking a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Grail Advisors 3. Class of New Derivative Securities Product: **Investment Company Unit** 4. Name of Underlying Instrument: RFF: Common Stocks; RPQ: Common Stocks; RPX: Common Stocks; RWG: Common Stocks 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: 6. Ticker Symbol(s) of New Derivative Securities Product: RFF, RPQ, RPX, RWG 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: RFF: AMEX, ARCA, NYSE, NASDAQ; RPQ: AMEX, ARCA, NYSE, NASDAQ; RPX: AMEX, ARCA, NYSE, NASDAQ; RWG: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess General Counsel Title:

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 2 3 2010

201-942-8239

21-Jul-10