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| | UNITED STATES | 10 K |
| 10047728 | SECURITIES AND EXCHANGE COMMISSION | |
| •• | WASHINGTON, D.C. 20549 5. C AMENDED FORM 19b-4(e) | |
| | AMENDED FORM 190-4(c) | To the ta |
| Informatio | on Required of a Self-Regulatory Organization Listing and Tracking a N | New 2 2 |
| | ies Product Pursuant to Rule 19b-4(c) Under the Securities Exchange | |
| | ALL INSTRUCTIONS PRIOR TO COMPLETING FOR | |
| Part I | Initial Listing Report | R. |
| 1. Name of Self-Regulatory Organ | ization Listing New Derivative Securities Product: | ELS. |
| EDGX Exchange, Inc | | - |
| 2. Type of Issuer of New Derivativ | ve Securities Product (e.g., clearinghouse, broker-dealer, corpo | oration, etc.): |
| Direxion Funds | | |
| 3. Class of New Derivative Securi | ties Product: | |
| Investment Company Unit | | |
| 4. Name of Underlying Instrument | | |
| | ndex; SOXS: PHLX Semiconductor Sector Index | |
| 5. If Underlying Instrument is an I | index, State Whether it is Broad-Based or Narrow-based: | |
| 5. If Underlying Instrument is an I The 2 indexes referred to in item 4 abo 6. Ticker Symbol(s) of New Deriv | Index, State Whether it is Broad-Based or Narrow-based: ve are Narrow-Based | |
| 5. If Underlying Instrument is an I The 2 indexes referred to in item 4 abo 6. Ticker Symbol(s) of New Deriv SOXL, SOXS | Index, State Whether it is Broad-Based or Narrow-based: ve are Narrow-Based vative Securities Product: | |
| 5. If Underlying Instrument is an I The 2 indexes referred to in item 4 abo 6. Ticker Symbol(s) of New Deriv SOXL, SOXS | Index, State Whether it is Broad-Based or Narrow-based: ve are Narrow-Based | |
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| 5. If Underlying Instrument is an I The 2 indexes referred to in item 4 abo 6. Ticker Symbol(s) of New Deriv SOXL, SOXS 7. Market or Markets Upon Which SOXL: AMEX, ARCA, NYSE, NASD/ 8. Settlement Methodology of New | Index, State Whether it is Broad-Based or Narrow-based: ve are Narrow-Based rative Securities Product: n Securities Comprising Underlying Instrument Trades: AQ; SOXS: AMEX, ARCA, NYSE, NASDAQ | |
| 5. If Underlying Instrument is an I The 2 indexes referred to in item 4 abo 6. Ticker Symbol(s) of New Deriv SOXL, SOXS 7. Market or Markets Upon Which SOXL: AMEX, ARCA, NYSE, NASD/ 8. Settlement Methodology of New T+3, Physical Settlement | Index, State Whether it is Broad-Based or Narrow-based: ve are Narrow-Based rative Securities Product: n Securities Comprising Underlying Instrument Trades: AQ; SOXS: AMEX, ARCA, NYSE, NASDAQ w Derivative Securities Product: | |
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| 5. If Underlying Instrument is an I The 2 indexes referred to in item 4 abo 6. Ticker Symbol(s) of New Deriv SOXL, SOXS 7. Market or Markets Upon Which SOXL: AMEX, ARCA, NYSE, NASD/ 8. Settlement Methodology of New T+3, Physical Settlement 9. Position Limits of New Derivat Not Applicable Part II The undersigned represents that the gover | Index, State Whether it is Broad-Based or Narrow-based: ve are Narrow-Based rative Securities Product: n Securities Comprising Underlying Instrument Trades: AQ; SOXS: AMEX, ARCA, NYSE, NASDAQ w Derivative Securities Product: ive Securities Product (if applicable): Execution ming body of the above-referenced Self-Regulatory Organization has duly a | approved, or has duly delegat |
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| 5. If Underlying Instrument is an I The 2 indexes referred to in item 4 abo 6. Ticker Symbol(s) of New Derive SOXL, SOXS 7. Market or Markets Upon Which SOXL: AMEX, ARCA, NYSE, NASD/ 8. Settlement Methodology of New T+3, Physical Settlement 9. Position Limits of New Derivat Not Applicable Part II The undersigned represents that the gover approval to the undersigned for, the listin procedures, surveillance programs and listin Name of Official Responsible for Title: | Index, State Whether it is Broad-Based or Narrow-based: ve are Narrow-Based rative Securities Product: In Securities Comprising Underlying Instrument Trades: AQ; SOXS: AMEX, ARCA, NYSE, NASDAQ w Derivative Securities Product: ive Securities Product (if applicable): Execution ming body of the above-referenced Self-Regulatory Organization has duly a g and trading of the above-referenced new derivative securities product acc string standards. Form: Eric W Hess | approved, or has duly delegat ording to its relevant trading |
| 5. If Underlying Instrument is an I The 2 indexes referred to in item 4 abo 6. Ticker Symbol(s) of New Derive SOXL, SOXS 7. Market or Markets Upon Which SOXL: AMEX, ARCA, NYSE, NASD/ 8. Settlement Methodology of New T+3, Physical Settlement 9. Position Limits of New Derivat Not Applicable Part II The undersigned represents that the gover approval to the undersigned for, the listin procedures, surveillance programs and listin Name of Official Responsible for | Index, State Whether it is Broad-Based or Narrow-based: ve are Narrow-Based rative Securities Product: In Securities Comprising Underlying Instrument Trades: AQ; SOXS: AMEX, ARCA, NYSE, NASDAQ w Derivative Securities Product: ive Securities Product (if applicable): Execution rning body of the above-referenced Self-Regulatory Organization has duly a g and trading of the above-referenced new derivative securities product acc sting standards. Form: Eric W Hess General Counsel 201-942-8239 | approved, or has duly delegat ording to its relevant trading |

| Act | Securities Exchange Act of 1934 | |
|-------------------------|---------------------------------|--|
| Section | 19b-4 | |
| Rule | 19b-4(e) | |
| Public Availability: | JUL 2 3 2010 | |