Fer hered Use Ody       Sabard I Original       OMB PRPOX.         See File No. 9-       and 4 copies       OMB PRPOX.       SEE Securities 23:50         INTED STATES       UNITED STATES       Securities AND EXCHANCE COMMISSION       With Memory 23:50         Information Required of a Self-Regulatory Organization Listing and Trading a New       Information Required of a Self-Regulatory Organization Listing and Trading a New         Part I       Initial Listing Report       Initial Listing Report       Initial Listing Report         1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:       Coherential Securities Product: (Coherential Securities Product: Coherential Securities Product: Coherential Securities Product: Coherential Securities Product:       Coherential Securities Product: Coher	n	<u> </u>	
	For Internal Use Only	Submit 1 Original	
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Personant to Rule 196-4(c) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part 1 Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Cohen & Steer Capital Management Inc 3. Class of New Derivative Securities Product: Closed-Ead Fund 4. Name of Underlying Instrument: RFI: Common Stocks & REITS; RNP: Preferred Stocks & REITS, Convertible Debt & Preferred Securities; RQI: Common Stocks, Preferred Stocks, & REITS; UTP: Common & Preferred Stocks & REITS, Convertible Debt & Preferred Securities; RQI: Common Stocks, Preferred Stocks, & REITS; UTP: Common & Preferred Stocks 5. If Underlying Instrument: RFI: Common Stocks & REITS; UTP: Common & Preferred Stocks & REITS, Convertible Debt & Preferred Securities; RQI: Common Stocks, Preferred Stocks, & REITS; UTP: Common & Preferred Stocks 6. Ticker Symbol(s) of New Derivative Securities Product: RFI, RNP, RQI, UTF 7. Market or Markets Upon Which Securities Product: RFI: AMEX, ARCA, NASDAQ, NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE; OTC US; RQI: AMEX, ARCA, NASDAQ, NYSE; UT AMEX, ARCA, NASDAQ, NYSE 8. Settlement Methodology of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlemen		and 4 copies	τ.
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3. Class of New Derivative Securities Product:         Closed-End Fund         4. Name of Underlying Instrument:         RFI: Common Stocks & REITS; RNP: Preferred Stocks & REITS, Convertible Debt & Preferred Securities; RQI: Common Stocks, Preferred Stocks, & REITS; UTF: Common & Preferred Stocks         5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:         N/A         6. Ticker Symbol(s) of New Derivative Securities Product:         RFI, RNP, RQI, UTF         7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:         RFI: AMEX, ARCA, NASDAQ, NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AMEX, ARCA, NASDAQ, NYSE; UT AMEX, ARCA, NASDAQ, NYSE; Broduct:         T+3, Physical Settlement         9. Position Limits of New Derivative Securities Product (if applicable):         Not Applicable         Part II       Execution         The undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing standards.         Name of Official Responsible for Form:       Eric W Hess         Title:       General Counsel         Title:       General Counsel         Telephone Number:       201-942-8230         Manual Signature of Official Responsible for Form:       201-942-8230			
<ul> <li>4. Name of Underlying Instrument:</li> <li>RFI: Common Stocks &amp; REITS; RNP: Preferred Stocks &amp; REITS, Convertible Debt &amp; Preferred Securities; RQI: Common Stocks, Preferred Stocks, &amp; REITS; UTF: Common &amp; Preferred Stocks</li> <li>5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:</li> <li>N/A</li> <li>6. Ticker Symbol(s) of New Derivative Securities Product:</li> <li>RFI, RNP, RQI, UTF</li> <li>7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:</li> <li>RFI: AMEX, ARCA, NASDAQ, NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AMEX, ARCA, NASDAQ, NYSE; UT AMEX, ARCA, NASDAQ, NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AMEX, ARCA, NASDAQ, NYSE;</li> <li>8. Settlement Methodology of New Derivative Securities Product:</li> <li>T+3, Physical Settlement</li> <li>9. Position Limits of New Derivative Securities Product (if applicable):</li> <li>Not Applicable</li> <li>Part II</li> <li>Execution</li> <li>The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading ru procedures, surveillance programs and listing standards.</li> <li>Name of Official Responsible for Form:</li> <li>Eric W Hess</li> <li>Title:</li> <li>General Counsel</li> <li>Telephone Number:</li> <li>201-942-8239</li> <li>Manual Signature of Official Responsible for Form:</li> </ul>	3. Class of New Derivative Se	ecurities Product:	
RFI: Common Stocks & REITS; RNP: Preferred Stocks & REITS, Convertible Debt & Preferred Securities; RQI: Common Stocks, Preferred Stocks, & REITS; UTF: Common & Preferred Stocks         5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:         N/A         6. Ticker Symbol(s) of New Derivative Securities Product:         RFI, RNP, RQI, UTF         7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:         RFI: AMEX, ARCA, NASDAQ, NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AMEX, ARCA, NASDAQ, NYSE; UT AMEX, ARCA, NASDAQ, NYSE;         8. Settlement Methodology of New Derivative Securities Product:         T+3, Physical Settlement         9. Position Limits of New Derivative Securities Product (if applicable):         Not Applicable         Part II       Execution         The undersigned frepresents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading reprocedures, surveillance programs and listing standards.         Name of Official Responsible for Form:       Eric W Hess         Title:       General Counsel         Telephone Number:       201-942-8239         Manual Signature of Official Responsible for Form:       Convert	Closed-End Fund		
Preferred Stocks, & REITS; UTF: Common & Preferred Stocks         5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:         N/A         6. Ticker Symbol(s) of New Derivative Securities Product:         RFI, RNP, RQI, UTF         7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:         RFI: AMEX, ARCA, NASDAQ, NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AMEX, ARCA, NASDAQ, NYSE; UT AMEX, ARCA, NASDAQ, NYSE         8. Settlement Methodology of New Derivative Securities Product:         T+3, Physical Settlement         9. Position Limits of New Derivative Securities Product (if applicable):         Not Applicable         Part II         Execution         The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading ruprocedures, surveillance programs and listing standards.         Name of Official Responsible for Form:       Eric W Hess         Title:       General Counsel         Telephone Number:       201-942-8239         Manual Signature of Official Responsible for Form:       Cul-942-8239	4. Name of Underlying Instru	ment:	
RFI, RNP, RQI, UTF         7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:         RFI: AMEX, ARCA, NASDAQ, NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AMEX, ARCA, NASDAQ, NYSE; UT AMEX, ARCA, NASDAQ, NYSE         8. Settlement Methodology of New Derivative Securities Product:         T+3, Physical Settlement         9. Position Limits of New Derivative Securities Product (if applicable):         Not Applicable         Part II         Execution         The undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards.         Name of Official Responsible for Form:       Eric W Hess         Title:       General Counsel         Telephone Number:       201-942-8239         Manual Signature of Official Responsible for Form:       Context	Preferred Stocks, & REITS; UTI	?: Common & Preferred Stocks	
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:         RFI: AMEX, ARCA, NASDAQ, NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AMEX, ARCA, NASDAQ, NYSE; UT         AMEX, ARCA, NASDAQ, NYSE         8. Settlement Methodology of New Derivative Securities Product:         T+3, Physical Settlement         9. Position Limits of New Derivative Securities Product (if applicable):         Not Applicable         Part II         Execution         The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading ruprocedures, surveillance programs and listing standards.         Name of Official Responsible for Form:       Eric W Hess         Title:       General Counsel         Telephone Number:       201-942-8239         Manual Signature of Official Responsible for Form:       Wess	Preferred Stocks, & REITS; UTI 5. If Underlying Instrument is N/A	R: Common & Preferred Stocks an Index, State Whether it is Broad-Based or Narrow-based	
RFI: AMEX, ARCA, NASDAQ, NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AMEX, ARCA, NASDAQ, NYSE; UT         AMEX, ARCA, NASDAQ, NYSE         8. Settlement Methodology of New Derivative Securities Product:         T+3, Physical Settlement         9. Position Limits of New Derivative Securities Product (if applicable):         Not Applicable         Part II         Execution         The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading ruprocedures, surveillance programs and listing standards.         Name of Official Responsible for Form:       Eric W Hess         Title:       General Counsel         Telephone Number:       201-942-8239         Manual Signature of Official Responsible for Form:       Counsel	Preferred Stocks, & REITS; UTI 5. If Underlying Instrument is N/A 6. Ticker Symbol(s) of New I	R: Common & Preferred Stocks an Index, State Whether it is Broad-Based or Narrow-based	
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Not Applicable       Execution         Part II       Execution         The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading ruprocedures, surveillance programs and listing standards.         Name of Official Responsible for Form:       Eric W Hess         Title:       General Counsel         Telephone Number:       201-942-8239         Manual Signature of Official Responsible for Form:       General Counsel	<ul> <li>Preferred Stocks, &amp; REITS; UTI</li> <li>5. If Underlying Instrument is N/A</li> <li>6. Ticker Symbol(s) of New I RFI, RNP, RQI, UTF</li> <li>7. Market or Markets Upon W</li> <li>RFI: AMEX, ARCA, NASDAQ, NASDAQ, NYSE</li> </ul>	R: Common & Preferred Stocks an Index, State Whether it is Broad-Based or Narrow-based Derivative Securities Product: /hich Securities Comprising Underlying Instrument Trades: NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AN	d:
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General Counsel       Title:     General Counsel       Telephone Number:     201-942-8239       Manual Signature of Official Responsible for Form:     Constant	<ul> <li>Preferred Stocks, &amp; REITS; UTI</li> <li>5. If Underlying Instrument is N/A</li> <li>6. Ticker Symbol(s) of New I RFI, RNP, RQI, UTF</li> <li>7. Market or Markets Upon W</li> <li>RFI: AMEX, ARCA, NASDAQ, I AMEX, ARCA, NASDAQ, NYSE</li> <li>8. Settlement Methodology of T+3, Physical Settlement</li> <li>9. Position Limits of New De Not Applicable</li> </ul>	R: Common & Preferred Stocks an Index, State Whether it is Broad-Based or Narrow-based Derivative Securities Product: //hich Securities Comprising Underlying Instrument Trades: NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AN f New Derivative Securities Product: rivative Securities Product (if applicable):	d:
General Counsel       Title:     General Counsel       Telephone Number:     201-942-8239       Manual Signature of Official Responsible for Form:     Chi	Preferred Stocks, & REITS; UTI 5. If Underlying Instrument is N/A 6. Ticker Symbol(s) of New I RFI, RNP, RQI, UTF 7. Market or Markets Upon W RFI: AMEX, ARCA, NASDAQ, I AMEX, ARCA, NASDAQ, NYSE 8. Settlement Methodology of T+3, Physical Settlement 9. Position Limits of New De Not Applicable Part II The undersigned represents that the approval to the undersigned for, the	Common & Preferred Stocks     an Index, State Whether it is Broad-Based or Narrow-based     Derivative Securities Product:     //hich Securities Comprising Underlying Instrument Trades:     NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AN     f New Derivative Securities Product:     ivative Securities Product (if applicable):         Execution     governing body of the above-referenced Self-Regulatory Organization h     listing and trading of the above-referenced new derivative securities product	d: MEX, ARCA, NASDAQ, NYSE; UT
Telephone Number:     201-942-8239       Manual Signature of Official Responsible for Form:     Image: Comparison of Comparison	Preferred Stocks, & REITS; UTI 5. If Underlying Instrument is N/A 6. Ticker Symbol(s) of New I RFI, RNP, RQI, UTF 7. Market or Markets Upon W RFI: AMEX, ARCA, NASDAQ, I AMEX, ARCA, NASDAQ, NYSE 8. Settlement Methodology of T+3, Physical Settlement 9. Position Limits of New De Not Applicable Part II The undersigned represents that the approval to the undersigned for, the procedures, surveillance programs a	Common & Preferred Stocks     an Index, State Whether it is Broad-Based or Narrow-based     Derivative Securities Product:     //hich Securities Comprising Underlying Instrument Trades:     NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AN     f New Derivative Securities Product:         rivative Securities Product (if applicable):	d: MEX, ARCA, NASDAQ, NYSE; UT as duly approved, or has duly delegated duct according to its relevant trading r
Manual Signature of Official Responsible for Form:	Preferred Stocks, & REITS; UTI 5. If Underlying Instrument is N/A 6. Ticker Symbol(s) of New I <b>RFI, RNP, RQI, UTF</b> 7. Market or Markets Upon W <b>RFI: AMEX, ARCA, NASDAQ, I</b> <b>AMEX, ARCA, NASDAQ, NYSE</b> 8. Settlement Methodology of <b>T+3, Physical Settlement</b> 9. Position Limits of New De <b>Not Applicable</b> <b>Part II</b> The undersigned represents that the approval to the undersigned for, the procedures, surveillance programs a Name of Official Responsible	Common & Preferred Stocks     an Index, State Whether it is Broad-Based or Narrow-based     Derivative Securities Product:     //hich Securities Comprising Underlying Instrument Trades:     NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AN     f New Derivative Securities Product:         rivative Securities Product (if applicable):	d: MEX, ARCA, NASDAQ, NYSE; UT as duly approved, or has duly delegated duct according to its relevant trading re
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	Preferred Stocks, & REITS; UTI 5. If Underlying Instrument is N/A 6. Ticker Symbol(s) of New I <b>RFI, RNP, RQI, UTF</b> 7. Market or Markets Upon W <b>RFI: AMEX, ARCA, NASDAQ, I</b> <b>AMEX, ARCA, NASDAQ, NYSE</b> 8. Settlement Methodology of <b>T+3, Physical Settlement</b> 9. Position Limits of New De <b>Not Applicable</b> Part II The undersigned represents that the approval to the undersigned for, the procedures, surveillance programs a Name of Official Responsible Title: Telephone Number:	P: Common & Preferred Stocks P: an Index, State Whether it is Broad-Based or Narrow-based Poerivative Securities Product: //hich Securities Comprising Underlying Instrument Trades: NYSE; RNP: AMEX, ARCA, NASDAQ, NYSE, OTC US; RQI: AM f New Derivative Securities Product: rivative Securities Product (if applicable): Execution governing body of the above-referenced Self-Regulatory Organization h listing and trading of the above-referenced new derivative securities pro nd listing standards. e for Form: Eric W Hess General Cou 201-942-8239	d: MEX, ARCA, NASDAQ, NYSE; UT as duly approved, or has duly delegated duct according to its relevant trading re- nsel

Act	Securities Exchange Act of 1934
Section	19b-4
Rule Public	19b-4(e)
Availability:	JUL 2 3 2010

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