For Internal Use Only Sec File No. 9Submit 1 Original and 4 copies



Expires July 31, 2004 Estimated average burden

hours per response. . 2.00



## UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19h-4(e)

AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Aprof 19: READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: **EDGX Exchange, Inc** 

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Claymore Advisors LLC
- 3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

OTP: Ocean Tomo 300 Patent Index; OTR: Ocean Tomo Growth Index; STH: Sabrient Stealth Index; ULQ: Capital Markets Liquidity Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 4 indexes referred to in item 4 above are Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

OTP, OTR, STH, ULQ

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

OTP: AMEX, ARCA, NASDAQ, NYSE; OTR: AMEX, ARCA, NASDAQ, NYSE; STH: AMEX, ARCA, NASDAQ, NYSE; ULQ: OTC US

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:	Eric W Hess
Title:	General Counsel
Telephone Number:	201-942-8239
Manual Signature of Official Responsible for Form:	Et
Date:	20-Jul-10

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	JUL 23 2010	