	09	1-04054-h
For Internal Use Only	Submit 1 Original	OMB APPROVA
Sec File No. 9-	and 4 copies	OMB Number: 3235-050
		Expires July 31, 200 Estimated average burde
		hours per response 2.
	Q.	
	UNITED STATES	
10047687	SECURITIES AND EXCHANGE COMMISSION	
	AMENDED FORM 19b-4(e)	
	on Required of a Self-Regulatory Organization Listing and Tr ies Product Pursuant to Rule 19b-4(e) Under the Securities E	rading a New
and the state of t	ALL INSTRUCTIONS PRIOR TO COMPLETIN	a na anala a
Part I	Initial Listing Report	
1. Name of Self-Regulatory Organ	ization Listing New Derivative Securities Product:	
EDGX Exchange, Inc		••••••••••••••••••••••••••••••••••••••
2. Type of Issuer of New Derivativ Ares Capital Corporation	ve Securities Product (e.g., clearinghouse, broker-deale	er, corporation, etc.):
3. Class of New Derivative Securit	ties Product:	
Closed-End Fund		
4. Name of Underlying Instrument		
ARCC: First and Second Lien Senior I	Debt, Subordinated Debt, and Common Stocks	
	ndex, State Whether it is Broad-Based or Narrow-base	ed:
N/A 6. Ticker Symbol(s) of New Deriv	ative Securities Product:	
ARCC		
7. Market or Markets Upon Which	Securities Comprising Underlying Instrument Trades	\$:
ARCC: AMEX, ARCA, NASDAQ, NY	SE, OTC US	
8. Settlement Methodology of New	v Derivative Securities Product:	
T+3, Physical Settlement		
9. Position Limits of New Derivat	ive Securities Product (if applicable):	
Not Applicable Part II	Execution	
	Execution	
	ning body of the above-referenced Self-Regulatory Organization I	
approval to the undersigned for, the listing procedures, surveillance programs and list	g and trading of the above-referenced new derivative securities pro	oduct according to its relevant trading rule
	~	
Name of Official Responsible for D Title:	Form: Eric W Hess General Cou	
Telephone Number:	201-942-823	
Manual Signature of Official Resp	A A A A A A A A A A A A A A A A A A A	
Date:	20-Jul-10	
Securities Exchange	Act of 1934	
SOULIELES EACHERING		
n 19b-4		× · · · .
19b-4(e)		
JUL 2 3 2010		
ability: JOL 2 5 2010		

 \sim