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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

## **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGX Exchange, Inc

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Allianz Global Investors Fund Management
- 3. Class of New Derivative Securities Product:

Closed-End Fund

4. Name of Underlying Instrument:

PHK: High Yield Corporate Debt Obligations; PKO: Corporate and Government Debt, Mortgage & Asset Backed Securities; PMF: Municipal Bonds; PML: Municipal Bonds; PMX: Municipal Bonds; PNF: New York Municipal Bonds; PNI: New York Municipal Bonds; PTY: Corporate Debt Obligations; PYN: New York Municipal Bonds; PZC: California Municipal Bonds

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

N/A

6. Ticker Symbol(s) of New Derivative Securities Product:

PHK, PKO, PMF, PML, PMX, PNF, PNI, PTY, PYN, PZC

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

PHK: OTC US; PKO: OTC US; PMF: OTC US; PML: OTC US; PMX: OTC US; PNF: OTC US; PNI: OTC US; PTY: OTC US; PYN: OTC US; PZC: OTC US

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:	Eric W Hess	
Title:	General Counsel	
Telephone Number:	201-942-8239	
Manual Signature of Official Responsible for Form:	6.45	
Date:	20-Jul-10	

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
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