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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

DIVISION OF TRADING & MARKETS
JUL 23 2010
SECURITIES AND EXCHANGE COMMISSION
RECEIVED

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGX Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Allianz Global Investors Fund Management

3. Class of New Derivative Securities Product:
Closed-End Fund

4. Name of Underlying Instrument:
NCV: Convertible Securities & High-Yield Fixed-Income Securities; NCZ: Convertible Securities & High-Yield Fixed-Income Securities;
NFJ: Common Stocks & Convertible Securities; NIE: Common & Preferred Stocks; PCK: California Municipal Bonds; PCM:
Commercial Mortgage-Backed Securities, credit Derivatives; PCN: Corporate Debt Obligations; PCQ: California Municipal Bonds; PFL:
Floating-Rate Debt Instruments; PFN: Floating-Rate Debt Instruments

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:
N/A

6. Ticker Symbol(s) of New Derivative Securities Product:
NCV, NCZ, NFJ, NIE, PCK, PCM, PCN, PCQ, PFL, PFN

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NCV: OTC US; NCZ: OTC US; NFJ: AMEX, ARCA, NASDAQ, NYSE, OTC US; NIE: AMEX, ARCA, NASDAQ, NYSE; PCK: OTC
US; PCM: OTC US; PCN: OTC US; PCQ: OTC US; PFL: OTC US; PFN: OTC US

8. Settlement Methodology of New Derivative Securities Product:
T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Eric W Hess
Title: General Counsel
Telephone Number: 201-942-8239
Manual Signature of Official Responsible for Form: E.H.
Date: 20-Jul-10

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 23 2010